AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
CAUTHRON, ROBIN J.	WESTERN DISTRICT OF OKLAHOMA	04/28/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U. S. DISTRICT JUDGE (ACTIVE)	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2009 to 12/31/2009
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
4001 U.S. COURTHOUSE 200 N.W. 4TH STREET OKLAHOMA CITY, OK 73102	Reviewing Officer________ Date______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Directors	Oklahoma Special Olympics
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
CAUTHRON, ROBIN J.	04/28/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1	2009	Self-employed lawyer
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	FBA, ABA, Nat'l Assn of Criminal Defense Lawyers	5/21-5/24/2009	Clearwater Beach, FL	Panelist	18th Annual National Seminar on the Federal Sentencing Guidelines (transporation & food)
2.					
3.					
4					
5.					

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CAUTHRON, ROBIN J.	04/28/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3			
4			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
CAUTHRON, ROBIN J.	04/28/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Allegiance Credit Union Oklahoma City, OK	A	Interest	K	T					
2.	Legg Mason Partners: Appreciation Fund (mutual fund)	A	Dividend	J	T					
3.	John Powell, Ltd. Partnership Tulsa County, OK real est.		None	K	R					See VIII, Explanations
4.	Winston Oil Co. 1981-1982 Ltd. Partnership, Marion, OH		None	J	W					
5.	Dage Oil Co., Ltd. Partnership Oklahoma City, OK		None	J	W					
6.	Mineral interest in Woods County, OK	A	Royalty	J	W					
7.	Persuasive Strategies, LLC	A	Interest	K	T					
8.	BROKERAGE ACCOUNT #1									
9.	-American Fund: American Balanced Fund C (mutual fund)	A	Dividend	J	T					
10.	-American Fund: Capital Income Builder Fund (mutual fund)	A	Dividend	J	T					
11.	-Amer. Fund: Capital World Growth & Income Fund C (mut fund)	A	Dividend	J	T					
12.	-Income Fund of America C (mutual fund)	A	Dividend	J	T					
13.	-Chesapeake Energy Corp (common stock)	A	Dividend	J	T					
14.	-Superior Energy Services, Inc. (common stock)	A	Dividend	J	T					
15.	-Janus Forty Fund	A	Dividend	J	T	Buy	11/30/09	J		
16.	-Mainstay Lg Cap Growth Fund	A	Dividend	J	T	Buy	11/30/09	J		
17.	-Blackrock Eq Dividend Fd	A	Dividend	J	T	Buy	11/30/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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CAUTHRON, ROBIN J.	04/28/2010

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Seligman Lg Cap Value Fd	A	Dividend	J	T	Buy	11/30/09	J		
19. -Alger Small Cap Growth Fd	A	Dividend	J	T	Buy	11/30/09	J		
20. -TCW Small Cap Growth Fd	A	Dividend	J	T	Buy	11/30/09	J		
21. -Perkins Small Cap Value	A	Dividend	J	T	Buy	11/30/09	J		
22. -Royce Premier Fund	A	Dividend	J	T	Buy	11/30/09	J		
23. -AIM Developing Mkts Fd	A	Dividend	J	T	Buy	11/30/09	J		
24. -Lazard Emerging Markets	A	Dividend	J	T	Buy	11/30/09	J		
25. -Henderson Int'l Opp Fund	A	Dividend	J	T	Buy	11/30/09	J		
26. -Nuveen Trwds Int'l Val Fd	A	Dividend	J	T	Buy	11/30/09	J		
27. -Thornburg Int'l Value Fd	A	Dividend	J	T	Buy	11/30/09	J		
28. New York Life Ins. (whole life)	A	Interest	K	T					
29. IRA ACCOUNT #1									
30. -American Funds: Growth Fund of America (mutual fund)	A	Dividend	J	T					
31. -American Funds: Investment Co. of America (mutual fund)	A	Dividend	K	T					
32. -Langston University bonds	A	Interest	J	T					
33. -Oklahoma City Municipal Bonds	A	Interest	J	T					
34. -Citigroup	A	Dividend	J	T	Buy	03/16/09	J		

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. -Continental Resources Inc. (common stock)	A	Dividend	J	T					
36. Stock Exchange Bank, Woodward, OK	A	Interest	K	T					
37. BROKERAGE ACCT #2									
38. -Berkshire Hathaway A	A	Dividend	L	T	Buy	11/03/09	L		
39. -Langston Universiy bonds	C	Interest	L	T					
40. -Oklahoma City Municipal Bonds	A	Interest	J	T					
41. -St. Louis, Mo. Land Clearance Municipal Bond	A	Interest			Matured	09/01/09	J	A	
42. -General Electric Co.	A	Dividend	J	T	Buy (add'l)	03/16/09	J		
43. -Sandridge Energy Co.	A	Dividend	K	T	Buy (add'l)	01/06/09	J		
44.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

PART VII. Investments and Trusts

Line 3 - John Powell Limited Partnership was purchased in 1973 for $26,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544