

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Colloton, Steven M	<b>2. Court or Organization</b>  US Ct. of Appeals-8th Circuit	<b>3. Date of Report</b>  05/11/2009
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. Circuit Judge - Active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2008 to 12/31/2008
<b>7. Chambers or Office Address</b>  110 E. Court Avenue, Suite 461 Des Moines, IA 50309-2053	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	James Madison Memorial Fellowship Foundation
2.	
3.	
4.	
5.	

RECEIVED  
 2009 MAY 15 P 1:48  
 FINANCIAL  
 DISCLOSURE OFFICE

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Colloton, Steven M

Date of Report

05/11/2009

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The First Amendment Center at Vanderbilt University	Feb. 21-24	Nashville, TN	Moot court competition	Travel, meals, hotel
2. The University of California at Davis Law School	Apr. 18-20	Davis, CA	Moot court competition	Travel, meals, hotel
3.				
4.				
5.				

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting	Date of Report
Colloton, Steven M	05/11/2009

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Colloton, Steven M

Date of Report

05/11/2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MidWestOne (formerly Iowa State Bank and Trust) - Accounts	D	Interest	M	T					
2. Vanguard Prime Money Market Fund	A	Dividend	K	T	Sold (part)	01/02	L		
3. Vanguard Insured Long-Term Tax-Exempt Fund Investor Shares	A	Dividend			Buy	01/02	K		
4.					Sold	12/12	K		
5. Vanguard Intermediate Term Tax- Exempt Muni Bond Fund	A	Dividend	K	T					
6. Vanguard Long-Term Tax- Exempt Fund Investor Shares	A	Dividend	K	T	Buy	12/12	K		
7. Vanguard Ext. Market Index Fund Investor Shares	A	Dividend	K	T	Buy	01/02	K		
8. Vaguard 500 Index Fund Investor Shares	B	Dividend	L	T	Buy	01/02	K		
9. Vanguard Total International Stock Index Fund	A	Dividend	K	T	Buy	01/02	K		
10. UNI, St. Bd. Of Regents Dorm Rev Bonds, 3.375%, Due 7/1/2010	A	Interest	J	T					
11. MidWestOne Financial Group Inc. (formerly ISB)-Common Stock	A	Dividend	J	T					
12. 401(k) [REDACTED]					Closed	05/28	J		
13. Calamos Growth Inst Mutual Fund - [REDACTED]	A	Dividend			Sold	05/28	J		
14. Fidelity Capital Appreciation - [REDACTED]	A	Dividend			Sold	05/28	J		
15. Nationwide Life Insurance Company-Whole Life Policy	A	Dividend	J	U					
16. Coralville IA, Pbl Imp Gen Ob Bond, 5.375 %, Due 6/1/2023	A	Interest	J	T					
17. Coralville IA, Pbl Imp Gen Ob Bond, 5.375 %, Due 6/1/2012	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting Colloton, Steven M	Date of Report 05/11/2009
--	------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Coralville IA, Urban Renewal Tax Alloc Bond, 5%, Due 6/1/21	A	Interest			Redeemed	06/01	J		
19. West Des Moines IA, Pbl Imp Gen Ob Bond 3.4%, Due 6/1/2011	A	Interest	J	T					
20. U of IA, Rec Facs Rev Bond, 4.1%, Due - 7/1/2019	A	Interest	J	T					
21. U of IA, Rec Facs Rev Bond, 4.5%, Due - 7/1/2027	A	Interest	J	T					
22. U of IA, Rev Acad Bldg Bond, 4%, Due - 7/1/2017	A	Interest	J	T	Buy	06/19	J		
23. State Univ IA Univ Rev Bond, 4%, Due - 7/1/2018	A	Interest	K	T	Buy	08/28	K		
24. Principal Bank - Account	C	Interest	L	T					
25. FNBO Direct - Account	B	Interest	L	T	Open	08/21	L		
26. HSBC - Account	A	Interest	L	T	Open	09/30	L		
27. College Savings Iowa 529 Plan	A	Dividend	K	T	Open	01/03	K		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

Name of Person Reporting

Colloton, Steven M

Date of Report

05/11/2009

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

1) Part VII, page 4, line 1 - During 2008 Iowa State Bank & Trust merged into MidWestOne.

2) Part VII, page 4, lines 4 and 6 - On December 12, 2008, the Vanguard Insured Long-Term Tax-Exempt Fund, Investor Shares merged into the Vanguard Long-Term Tax-Exempt Fund, Investor Shares.

3) Part VII, page 4, line 11 - On March 19, 2008, Iowa State Bank & Trust merged into MidWestOne. During the Corporate Merger, [REDACTED] of ISB Financial Corp Common Stock were exchanged for [REDACTED] of MidWestOne Financial Group Inc. Common Stock.

**FINANCIAL DISCLOSURE REPORT**

Page 7 of 7

Name of Person Reporting

Colloton, Steven M

Date of Report

05/11/2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544