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Rev. 1/2010

# FINANCIAL DISCLOSURE REPORT
# FOR CALENDAR YEAR 2009

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Conti, Joy Flowers | U.S. District Court WDPA | 05/12/2010 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>Active Article III Judge | 5a. Report Type (check appropriate type)<br>☐ Nomination, Date<br>☐ Initial ☑ Annual ☐ Final<br>5b. ☐ Amended Report | 6. Reporting Period<br>01/01/2009 to 12/31/2009 |
| 7. Chambers or Office Address<br>5250 US Courthouse<br>700 Grant Street<br>Pittsburgh, PA 15219 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br>Reviewing Officer ______ Date ______ | |

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Board of Directors | Catholic Charities of the Diocese of Pittsburgh, Inc. |
| 2. | Counselor | W. Edward Sell American Inn of Court |
| 3. | Board of Directors | Catholic Charities Health Care Center, Inc. |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

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| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

| Name of Person Reporting | Date of Report |
|---|---|
| Conti, Joy Flowers | 05/12/2010 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | 2009 | Commonwealth Securities and Investments, Inc. - Salary (01/01/09 through 12/15/09) |
| 2. | 2009 | Ross, Sinclaire & Associates, LLC - Salary (12/16/09 through 12/31/09) |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | DePaul University | April 15-16, 2009 | Chicago, IL | 7th Annual DePaul Business & Commercial Law Journal Symposium | Food, Lodging, Transportation, Parking, |
| 2. | Allegheny County Bar Association | June 18-19, 2009 | Champion, PA | Allegheny County Bench Bar Conference | Food, Lodging, Conference Registration |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| Conti, Joy Flowers | 05/12/2010 |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

[✓] NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

[✓] NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

# FINANCIAL DISCLOSURE REPORT



| Name of Person Reporting | Date of Report |
|---|---|
| Conti, Joy Flowers | 05/12/2010 |

## VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

[ ] NONE *(No reportable income, assets, or transactions.)*

| | A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | BROKERAGE ACCOUNT #1 (Part VIII) | | | | | | | | | |
| 2. | -Neoprobe Corp Stock | | None | J | T | | | | | |
| 3. | -Pa Muni Cash Trust (Money Market) | A | Dividend | J | T | | | | | |
| 4. | -Bedford Cnty, PA IDA Bond (7.125% due 2/1/09) | A | Interest | | | Redeemed | 02/01/09 | J | A | |
| 5. | IRA ROLLOVER ACCOUNT #1 (Part VIII) | D | Int/Div | M | T | | | | | |
| 6. | -Pershing Government Money Market Fund | | | | | | | | | |
| 7. | -General Motors Accep Corp Smartnotes (7.125% due 8/15/12) | | | | | | | | | |
| 8. | -General Motors Accep Corp Smartnotes (7.25% due 9/15/17) | | | | | | | | | |
| 9. | -GS Mtg Secs Corp 2005-7F Mtg Notes (5% due 9/25/35) | | | | | | | | | |
| 10. | -General Motors Accep Corp Med Term (8.4% due 4/15/10) | | | | | | | | | |
| 11. | -Deere & Co Stock | | | | | | | | | |
| 12. | -General Motors Accep Corp Smartnotes (7% due 1/15/13) | | | | | | | | | |
| 13. | RETIREMENT PLAN #2 | C | Interest | N | T | | | | | |
| 14. | -CREF Stock Fund | | | | | | | | | |
| 15. | -TIAA Traditional Annuities | | | | | | | | | |
| 16. | BROKERAGE ACCOUNT #3 (Y) Part VIII) | | | | | | | | | |
| 17. | 401(k) ACCOUNT (CLOSED 2009) | A | Dividend | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| Conti, Joy Flowers | 05/12/2010 |

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| | A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|---|
| 18. | -BlackRock Money Market Fund | | | | | Sold | 09/30/09 | K | A | |
| 19. | IRA ROLLOVER ACCOUNT #3 (Part VIII) | C | Int./Div. | M | T | | | | | |
| 20. | -Pershing Government Money Market Fund | | | | | | | | | |
| 21. | -Neoprobe Corp Stock | | | | | | | | | |
| 22. | -Quanta Svcs, Inc - Stock | | | | | | | | | |
| 23. | -Genl Mtrs Accep Corp Smartnotes (7% due 01/15/13) | | | | | | | | | |
| 24. | -Alcoa Inc - Stock | | | | | Sold | 06/17/09 | J | A | |
| 25. | -Goldman Sachs Group - Stock | | | | | | | | | |
| 26. | -Ishares TR Dow Jones Select Div Fund | | | | | | | | | |
| 27. | -Standard & Poors Depository Receipts - (SPDR's) | | | | | | | | | |
| 28. | IRA ROLLOVER ACCOUNT #6 | | None | J | T | | | | | |
| 29. | -Gateway Bank Of Pennsylvania Stock | | | | | | | | | |
| 30. | IMMEDIUS, INC CONV PREFERRED STOCK | | None | J | T | | | | | |
| 31. | IMMEDIUS, INC COMMON STOCK | | None | J | T | | | | | |
| 32. | MELLON BANK: ACCOUNTS | | None | K | T | | | | | |
| 33. | CITIZENS BANK: ACCOUNTS | | None | J | T | | | | | |
| 34. | MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY | | None | J | T | | | | | |

1. Income Gain Codes (See Columns B1 and D4): A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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# FINANCIAL DISCLOSURE REPORT
Page 6 of 8

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|---|---|
| Conti, Joy Flowers | 05/12/2010 |

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| | A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 35. | MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY | | None | J | T | | | | | |
| 36. | NORTHWESTERN: WHOLE LIFE INS POLICY | | None | J | T | | | | | |
| 37. | METROPOLITAN LIFE: WHOLE LIFE POLICY | | None | J | T | | | | | |
| 38. | GENERAL AMERICAN: WHOLE LIFE POLICY | | None | J | T | | | | | |
| 39. | MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY | | None | J | T | | | | | |
| 40. | METROPOLITAN LIFE: WHOLE LIFE POLICY | | None | J | T | | | | | |
| 41. | NORTHWESTERN: WHOLE LIFE INS POLICY | | None | J | T | | | | | |

| Name of Person Reporting | Date of Report |
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| Conti, Joy Flowers | 05/12/2010 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Additional information for Part VI (Liabilities)

Items previously reported are no longer required to be reported

Additional Information for Part VII (Investments and Trusts):

From prior years:

There is no Brokerage Account # 2 , # 4 or # 5

There is no Retirement Plan # 1

There is no IRA/IRA Rollover Account # 2, # 4 or # 5

Current year:

Line 1 Brokerage Account #1; Line 5 IRA Rollover Account #1, Line 19 IRA Rollover Account #3

Assets in each of these accounts were transferred to a new brokerage firm on 12/21/09. Since the accounts were transferred intact, reporting of information on these assets is shown on a combined basis for activity within the old/new accounts

Line 16 Brokerage Account #3

Account is no longer required to be reported - account belongs to individual who is no longer a

| Name of Person Reporting | Date of Report |
| --- | --- |
| Conti, Joy Flowers | 05/12/2010 |

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544