

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2004**

in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

Cote, Denise L

2. Court or Organization

U.S.D.C., S.D.N.Y.

3. Date of Report

5/13/2005

4. Title (Article III Judges indicate active or senior status;  
magistrate judges indicate full- or part-time)

U.S. District Judge, Active

5. ReportType (check appropriate type)

- Nomination,      Date
- Initial       Annual       Final

6. Reporting Period

1/1/2004  
to  
12/31/2004

7. Chambers or Office Address

U.S. District Court, Rm. 1040  
500 Pearl Street  
New York, NY 10007

8. On the basis of the information contained in this Report and any  
modifications pertaining thereto, it is, in my opinion, in compliance  
with applicable laws and regulations.

Reviewing Officer \_\_\_\_\_ Date \_\_\_\_\_

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

**RECEIVED**  
2005 MAY 20 A 10:34  
FINANCIAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.  

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**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.  

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**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. UCLA Law School

November 2004: Airplane fare

2. Yale Law School

Spring 2004: Transportation, meal, lodging

3. National Parks Service

Summer 2004: Katmai, Alaska volunteer: meals and room

# FINANCIAL DISCLOSURE REPORT

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

# FINANCIAL DISCLOSURE REPORT

Page 1 of 4

Name of Person Reporting  
Cote, Denise L

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Columbia University Pension (TIAA CREF)	E	Income	O	T					
2. Merrill Lynch Account									See items 3 through 10
3. Muni Inves Trust S. A. N.Y.	A	Interest	J	T	Prn pmt	2/25*	J		* Also: 4/26 5/25 10/26 12/7
4. ML Bank Deposit	A	Interest	J	T					
5. CD Galena St. Bk.	A	Interest	J	T					
6. CD MBNA American Bk, Newark DE	A	Interest	K	T	Redeem	5/10	K		
7. CD Capital One Bk, FSB, Falls Church VA	A	Interest	J	T	Redeem	11/15	J		
8. CD Georgian Bank	A	Interest	J	T	Buy	3/23	J		
9. CD Providian NB	A	Interest	K	T	Buy	5/26	K		
10. CD Flagstar Bank			K	T	Buy	12/8	K		
11. Twentieth Century Investors IRA		None			Rollover	3/15	M		
12. Janus Worldwide Fund		None			Rollover	3/15	M		
13. Janus Fund		None			Rollover	3/15	M		
14. Janus Mercury		None			Rollover	3/15	L		
15. Janus Global Technology		None			Rollover	3/15	J		
16. JB Global Fund			O	T	Rollover	3/15	O		See items 17 through 69
17. Eaton Vance WW					Buy	3/18	J		
18. Enterprise Group					Buy	3/18	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and E4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

# FINANCIAL DISCLOSURE REPORT

Page 2 of 4

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19. Excelsior Funds Energy					Buy	3/18	J		
20. Excelsior Funds Value					Buy	3/18	K		
21. Japan Fund					Buy	3/18	J		
22. Matthews Pacific					Buy	3/18	J		
23. Seligman Comm.					Buy	3/18	J		
24. Third Avenue Value					Buy	3/18	K		
25. Vanguard Fixed Income					Buy	3/18	M		
26. Vanguard Inflation Protected					Buy	3/18	M		
27. Excelsior Funds Value					Buy	3/23	K		
28. Third Avenue Value					Buy	3/23	J		
29. Vanguard Fixed Income					Buy	3/23	K		
30. Vanguard Inflation Protected					Buy	3/23	K		
31. Eaton Vance WW					Buy	3/24	J		
32. Enterprise Group Funds					Buy	3/24	J		
33. Excelsior Funds Energy					Buy	3/24	J		
34. Japan Fund					Buy	3/24	J		
35. Matthews Pacific Tiger					Buy	3/24	J		
36. Seligman Comm.					Buy	3/24	J		

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37. Excelsior Funds Value					Buy	3/25	J		
38. Excelsior Funds Value					Buy	4/29	J		
39. Excelsior Funds Value					Buy	5/10	K		
40. Vanguard Fixed Income					Sell	5/10	K		
41. Enterprise Group Funds					Sell	7/29	K		
42. Matthews Japan Fund					Buy	7/30	K		
43. Excelsior Funds Value					Buy	8/12	K		
44. Vanguard Inflation Protected					Sell	8/12	K		
45. Eaton Vance WW					Sell	9/23	K		
46. Vanguard Specialized Port					Buy	9/24	K		
47. Excelsior Funds Energy					Sell	10/11	J		
48. Seligman Comm.					Buy	10/12	J		
49. Wells Fargo Ultra Short					Buy	11/22	L		
50. Excelsior Funds Value					Sell	11/22	L		
51. Third Avenue Value					Sell	11/22	K		
52. Wells Fargo Ultra Short					Buy	11/23	K		
53. Excelsior Funds Value					Sell	11/30	M		
54. Vanguard Equity Income					Buy	11/30	M		

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# FINANCIAL DISCLOSURE REPORT

Page 4 of 4

Name of Person Reporting Cote, Denise L	Date of Report 5/13/2005
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55. Third Avenue Value					Sell	12/3	J		
56. Vanguard Inflation Protected					Sell	12/7	L		
57. Hancock John Strategic					Buy	12/8	L		
58. Excelsior Funds Energy	A	Dividend	J	T					
59. Excelsior Funds Value	A	Dividend							
60. Japan Fund		None	K	T					
61. Matthews Pacific Tiger	A	Dividend	J	T					
62. Seligman Comm.		None	K	T					
63. Third Avenue Value	A	Dividend	K	T					
64. Vanguard Fixed Income	C	Dividend	M	T					
65. Vanguard Inflation-Protected	B	Dividend	L	T					
66. Vanguard Specialized Port	A	Dividend	K	T					
67. Wells Fargo Ultra Short	A	Dividend	L	T					
68. Vanguard Equity Income	A	Dividend	M	T					
69. Hancock John Strategic		None	L	T					
70. Chase Accounts		None	J	T					

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS** (Indicate part of Report.)



**FINANCIAL DISCLOSURE REPORT**

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



Date \_\_\_\_\_

*May 13, 2005*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544