

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Cote, Denise L.	2. Court or Organization U.S.D.C., S.D.N.Y.	3. Date of Report 5/7/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. District Court, Room 1040 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President's Advisory Committee	St. Mary's College
2.	
3.	
4.	
5.	

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	1/27-2/3	Nevis	Attorney Conference	Transportation, meals, hotel
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Cote, Denise L.

Date of Report

5/7/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Cote, Denise L.

Date of Report

5/7/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Account with JBGlobal									See lines 2 through 31
2. Clipper Fund	B	Dividend	L	T					
3.	A	Distribution							
4. Columbia Energy & Natural Resources Fund f/k/a Excelsior	A	Distribution	J	T	Sold (part)	6/26	J		
5. Japan Fund	A	Dividend	K	T					
6. Matthews Japan Fund	A	Distribution	J	T					
7. Matthews Pacific Tiger Fund	A	Dividend	J	T	Sold (part)	1/10	J		
8.	A	Distribution			Sold (part)	1/18	J		
9. Seligman Communications & Information Fund		None	K	T	Sold (part)	1/23	J		
10.					Buy	1/11	J		
11. Third Avenue Value Fund	A	Dividend	J	T	Sold (part)	1/15	J		
12.	A	Distribution							
13. Torray Fund	B	Dividend	L	T	Buy	1/18	K		
14.	C	Distribution			Buy	1/22	K		
15.					Buy	6/26	J		
16. Vanguard Equity Income Fund	B	Dividend	K	T					
17.	A	Distribution							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. Vanguard Healthcare Fund	A	Dividend	K	T					
19.	B	Distribution							
20. Vanguard INFL-Protected Security Fund		None			Sold (part)	1/9	J		
21.					Sold	2/21	J		
22. Davis Series Inc Financial	A	Dividend	K	T	Buy	1/16	J		
23.	C	Distribution			Buy	1/23	J		
24.					Buy	1/24	J		
25.					Buy	7/8	J		
26. Davis NY Venture Fund	A	Dividend	K	T					
27. Eaton Vance Floating Rate Fund	C	Dividend	L	T	Buy	1/30	L		
28. Loomis Sayles Bond Fund	D	Dividend	L	T	Buy	1/30	L		
29.	A	Distribution							
30. Market Vectors Gold ETF		None	J	T	Buy	12/16	J		
31. Schwab Advisor Cash Reserves	B	Dividend	J	T					
32. Columbia University Pension (TIAA CREF)	E	Distribution	O	T					
33. Chase Accounts		None	J	T					
34. MERRILL LYNCH ACCOUNT									See lines 35 through 41

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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Cote, Denise L.

Date of Report

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35. Muni Investment Trust SA	A	Interest	J	T					
36. Merrill Lynch Bank Deposit	A	Interest	J	T					
37. Everbank	A	Interest			Redeemed	6/2	K		
38. Webster Bank	A	Interest			Redeemed	4/21	J		
39. CD Western Bank	A	Interest	K	T	-				
40. CD Silverton Bank	A	Interest	K	T	Buy	4/30	K		
41. CD Provident Bank		None	K	T	Buy	7/22	K		
42.									
43.									
44.									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting	Date of Report
Cote, Denise L.	5/7/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part IV. Reimbursements

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting	Date of Report
Cote, Denise L.	5/7/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544