AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Cote, Denise L.	U.S.D.C., S.D.N.Y.	06/01/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U.S. District Judge, Active	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2009 to 12/31/2009
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
U.S. District Court, Room 1040 500 Pearl Street New York, NY 10007	Reviewing Officer ______ Date ______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Cote, Denise L.	05/01/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

[✓] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[✓] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[ ] NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Practicing Law Institute	3/20/09-3/25/09	San Francisco, CA	Non-FJC educational seminar or program	airline ticket round trip between New York and San Francisco
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Cote, Denise L.	05/01/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Amanda Straub	Car service to wedding and hotel accommodations	$627.50
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

✓ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Cote, Denise L.	06/01/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Account with JB Global									See lines 2 through 33
2. Clipper Fund	A	Dividend	L	T	Sold (part)	04/09/09	J		
3. Columbia Energy & Natural Resources Fund f/k/a Excelsior	A	Dividend	J	T	Sold (part)	9/23/09	J		
4.					Buy	6/10/09	J		
5. Japan Fund	A	Dividend	K	T					
6. Matthews Japan Fund	A	Dividend	J	T					
7. Matthews Pacific Tiger Fund	A	Dividend	J	T	Sold (part)	09/16/09	J		
8.					Sold (part)	09/17/09	J		
9.					Sold (part)	9/23/09	J		
10.					Buy	4/13/09	J		
11. Seligman Communications & Information Fund		None	J	T	Sold (part)	6/9/09	J		
12.					Sold (part)	8/31/09	J		
13.					Sold (part)	9/23/09	J		
14. Third Avenue Value Fund	A	Dividend	J	T					
15. Torray Fund	A	Dividend	K	T	Buy	2/23/09	J		
16.					Buy	3/3/09	J		
17.					Sold (part)	6/17/09	K		

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 = More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 = More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Cote, Denise L.	06/01/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	9/10/09	K		
19.					Sold (part)	9/23/09	J		
20. Vanguard Equity Income Fund	B	Dividend	K	T					
21. Vanguard Healthcare Fund	A	Dividend	K	T					
22. Davis Series Inc. Financial	A	Dividend	K	T	Sold (part)	9/16/09	J		
23.					Sold (part)	9/23/09	J		
24. Davis NY Venture Fund		None			Sold	9/16/09	K		
25. Eaton Vance Floating Rate Fund	A	Dividend			Sold	3/16/09	L		
26. Loomis Sayles Bond Fund	D	Dividend	L	T	Buy	3/17/09	L		
27.					Sold (part)	9/16/09	L		
28. Market Vectors Gold ETF	A	Dividend	K	T					
29. Schwab Advisor Cash Reserves	A	Dividend	L	T					
30. Consumer Staples SPDR ETF	A	Dividend	L	T	Buy	10/28/09	L		
31. Wells Fargo Ultra S/T Income Fund	A	Dividend	L	T	Buy	9/17/09	K		
32. T Rowe Price New Era Fund	A	Dividend	K	T	Buy	6/18/09	J		
33.					Sold (part)	9/23/09	J		
34. Columbia University Pension (TIAA CREF)	E	Distribution	O	T					

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35. Chase Accounts		None	J	T					
36. MERRILL LYNCH ACCOUNT									See lines 37 through 43
37. Muni Investment Trust SA	A	Interest	J	T					
38. Merrill Lynch Bank Deposit	A	Interest	J	T					
39. CD Western Bank	A	Interest			Sold	2/2/09	K		
40. CD Silverton Bank	A	Interest			Sold	5/7/09	K		
41. CD Provident Bank n/k/a M & T Bank	B	Interest	K	T	Sold (part)	5/4/09	J		
42. CD Compass Bank	A	Interest	K	T	Buy	6/3/09	K		
43. CD Goldman Sachs Bank	A	Interest	K	T	Buy	1/26/09	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Name of Person Reporting	Date of Report
Cote, Denise L.	06/01/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544