

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|   |   |   |
|---|---|---|
| 1. Person Reporting (Last name, First name, Middle initial)<br>Daughtrey, Mantha C  | 2. Court or Organization<br>6th Circuit Court of Appeals  | 3. Date of Report<br>8/9/2005                       |
| 4. Title (Article III Judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>Circuit Judge | 5. Report Type (check appropriate type)<br><input type="radio"/> Nomination, <input type="radio"/> Date<br><input checked="" type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final     | 6. Reporting Period<br>1/1/2004<br>to<br>12/31/2004 |
| 7. Chambers or Office Address<br>300 Customs House<br>701 Broadway<br>Nashville, TN 37203   | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |   |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1975-93 Tennessee State Retirement System (former state judge)

2. 1972-75 TIAA-CREF (former law professor)

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DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Daugherty, Martha C

Date of Report

8/9/2005

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u>                             | <u>GROSS INCOME</u><br>(yours, not spouse's) |
|----|-------------|--|--|
| 1. | 7/04        | Institute of Judicial Administration, 1-wk seminar | 1500.00                                      |
| 2. | 2004        | Tennessee State Retirement System                  | 26,400                                       |
| 3. |             |  |  |

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u>                |
|----|-------------|---------------------------------------|
| 1. | 2004        | The Tennessean (freelance writing)    |
| 2. | 2004        | Social Security (retirement benefits) |

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

|    | <u>SOURCE</u>                            | <u>DESCRIPTION</u>  |
|----|--|---|
| 1. | New York University                      | 03/04/04 - New York City - Hauser Selection Committee - t,l,f |
| 2. | Institute of Judicial Administration/FJC | 7/12/04 - New York City - Appellate Judges Seminar - t,l,f    |
| 3. | Washington and Lee University            | 10/23/2024 - Lexington, VA - moot court - t,l,f               |
| 4. | BA                                       | 11/12/04 - Chicago - committee mtg - t,l,f                    |

# FINANCIAL DISCLOSURE REPORT

|  |                            |
|--|----------------------------|
| Name of Person Reporting<br>Danghrey, Martha C | Date of Report<br>8/9/2005 |
|--|----------------------------|

## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

| <u>CREDITOR</u>                       | <u>DESCRIPTION</u>             | <u>VALUE CODE</u> |
|---------------------------------------|--------------------------------|-------------------|
| 1. Countrywide Home Mortgage, Phoenix | partnership in [REDACTED] home | M                 |

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Name of Person Reporting  
Daughtrey, Martha C

Date of Report  
8/9/2005

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end of<br>reporting period |   | D.<br>Transactions during reporting period                |                                |                                 |                                |   |
|---|---|---|---|---|---|--------------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Account<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |   |
|   |   |   |   |   |   | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)   |   |   |   |   |   |                                |                                 |                                |   |
| 1. Alliance Money Reserves (Money Mkt) (now AllianceBernstein)  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 2. AmSouth (stock)  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 3. AmSouth (checking accounts)  | C                                       | Interest                                    | M   | T   |   |                                |                                 |                                |   |
| 4. Lincoln Trust, Denver (IRA)  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 5. Williams Co. (stock)   | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 6. Gannett, Inc. (stock)  | A                                       | Dividend                                    | I   | T   |   |                                |                                 |                                |   |
| 7. Alliance Cap. Res. (Money Mkt)(now AllianceBernstein)  | A                                       | Interest                                    | L   | T   |   |                                |                                 |                                |   |
| 8. American Electric Power (stock)  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 9. Bristol Meyer Co. (stock)  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 10. J.P. Morgan Chase (stock)   | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 11. Kroger Co. (stock)  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 12. Chevron Corp. (stock)   | C                                       | Dividend                                    | M   | T   |   |                                |                                 |                                |   |
| 13. Morgan Stanley Harbor I(annuity)  | C                                       | Interest                                    | L   | T   |   |                                |                                 |                                |   |
| 14. TIAA-CREF (stock and annuity)   | A                                       | Interest                                    | M   | T   |   |                                |                                 |                                |   |
| 15. Bank of America (checking acc)  | A                                       | Interest                                    | J   | T   |   |                                |                                 |                                |   |
| 16. Gannett 401(k) (Pension account)  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 17. Terra Industries (stock)  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 18. Longleaf Partners (mutual fund)   | A                                       | Dividend                                    | M   | T   |   |                                |                                 |                                |   |

|  |   |  |  |   |                       |
|--|---|--|--|---|-----------------------|
| 1. Income/Gain Codes:<br>(See Columns B) and D4) | A = \$1,000 or less<br>F = \$60,001-\$100,000                                     | B = \$1,001-\$2,500<br>G = \$100,001-\$1,000,000   | C = \$2,501-\$5,000<br>H = \$1,000,001-\$5,000,000                                       | D = \$5,001-\$15,000<br>I2 = More than \$5,000,000      | E = \$15,001-\$50,000 |
| 2. Value Code:<br>(See Columns C) and D3)        | J = \$15,000 or less<br>N = \$250,000-\$500,000<br>P1 = \$25,000,001-\$50,000,000 | K = \$15,001-\$50,000<br>O = \$500,001-\$1,000,000 | L = \$50,001-\$100,000<br>P2 = \$1,000,001-\$5,000,000<br>P4 = \$50,000,001-\$50,000,000 | M = \$100,001-\$250,000<br>P2 = \$5,000,001-\$5,000,000 |                       |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other           | S = Assessment<br>W = Estimated  | T = Cash/Market   |                       |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting  
Daughtrey, Martha C

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8/9/2005

## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value as end of<br>reporting period |   | D.<br>Transactions during reporting period                |                               |                                 |                                |   |
|--|---|---|---|---|---|-------------------------------|---------------------------------|--------------------------------|---|
|  | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(I-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |   |
|  |   |   |   |   |   | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code 2<br>(I-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 19. Mutual Series Beacon Fund (mutual fund)  | B                                       | Dividend                                    | M   | T   |   |                               |                                 |                                |   |
| 20. T Rowe Price Stock Fund (mutual fund)  | A                                       | Dividend                                    | K   | T   |   |                               |                                 |                                |   |
| 21. Kaufman Fund (mutual fund)(now Federated Kaufman)  | A                                       | Dividend                                    | K   | T   |   |                               |                                 |                                |   |
| 22. IKon Office Solutions (stock)  | A                                       | Dividend                                    | J   | T   | Sell  | 6/24                          | J                               | A                              |   |
| 23. Pershing Government Account (Money Market)   | A                                       | Interest                                    | K   | T   |   |                               |                                 |                                |   |
| 24. HSBC Holdings  | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 25. Exxon Mobil (stock)  | B                                       | Dividend                                    | L   | T   |   |                               |                                 |                                |   |
| 26. Dell Computer (stock)  | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 27. EMC Corp (stock)   | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 28. Intel Corp (stock)   | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 29. Oracle Corp (stock)  | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 30. Sun Micro Systems (stock)  | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 31. Pioneer Natural Resources, Midland TX  | A                                       | Royalty                                     | J   | W   |   |                               |                                 |                                |   |
| 32. Zimmer Hldgs Inc. (stock)  | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |
| 33. Plains Mktg., LP, Houston, TX  | A                                       | Royalty                                     | J   | W   |   |                               |                                 |                                |   |
| 34. Morgan Stanley Municipal Income Trust  | B                                       | Dividend                                    | K   | T   |   |                               |                                 |                                |   |
| 35. Morgan Stanley Sched. Annuity Manager  | C                                       | Interest                                    | L   | T   |   |                               |                                 |                                |   |
| 36. Morgan Stanley Multi-Mkt. (mutual fund)  | A                                       | Dividend                                    | J   | T   |   |                               |                                 |                                |   |

|  |   |                              |                              |                               |                       |
|--|---|------------------------------|------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:                    | A = \$1,000 or less<br>(See Columns B1 and D4)  | B = \$1,001-\$2,500          | C = \$2,501-\$5,000          | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
|  | F = \$50,001-\$100,000                          | G = \$100,001-\$1,000,000    | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:                          | J = \$15,000 or less<br>(See Columns C1 and D3) | K = \$15,001-\$30,000        | L = \$30,001-\$100,000       | M = \$100,001-\$250,000       |                       |
|  | N = \$250,000-\$500,000                         | O = \$500,001-\$1,000,000    | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 |                       |
|  | P3 = \$25,000,001-\$50,000,000                  |                              | P4 = More than \$50,000,000  |                               |                       |
| 3. Value Method Codes<br>(See Column C2) | Q = Appraisal                                   | R = Cost (Retail Basis Only) | S = Assessment               | T = Cash/Market               |                       |
|  | U = Book Value                                  | V = Other                    | W = Estimated                |                               |                       |

**FINANCIAL DISCLOSURE REPORT**  
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Name of Person Reporting  
 Daughtrey, Martha C

Date of Report  
 8/9/2005

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end of<br>reporting period |   | D.<br>Transactions during reporting period                |                                |                                 |                                |   |
|---|---|---|---|---|---|--------------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(I-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |   |
|   |   |   |   |   |   | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 37. Dodge & Cox Stock Fund (mutual fund)  | A                                       | Dividend                                    | L   | T   |   |                                |                                 |                                |   |
| 38. Vanguard 500 Fund   | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 39. Vanguard Spec. Health Care Fund   | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 40. Health Management Assoc. (stock)  | A                                       | Dividend                                    | J   | T   | Sell  | 1/13                           | J                               | A                              |   |
| 41. Meridian Growth Fund (mutual fund)  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 42.   |   |   |   |   |   |                                |                                 |                                |   |

|  |   |  |   |  |                       |
|--|---|--|---|--|-----------------------|
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# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Daughirey, Martha C

Date of Report

8/9/2005

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Daughtrey, Martha C

Date of Report

8/9/2005

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

08/09/05

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544