

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b>  DUBOIS, JAN E.	<b>2. Court or Organization</b>  U.S.D.C., E.D., PA	<b>3. Date of Report</b>  07/28/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  JUDGE, U.S.D.C.	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <hr/> <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  12613 U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 8

<b>Name of Person Reporting</b> DUBOIS, JAN E.	<b>Date of Report</b> 07/28/2011
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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New York Intellectual Property Law Association	March 25, 2010	New York City, New York	Annual Dinner	The Waldorf-Astoria (meals, garage and hotel)
2.					
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 8

Name of Person Reporting

DUBOIS, JAN E.

Date of Report

07/28/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

# FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting <b>DUBOIS, JAN E.</b>	Date of Report <b>07/28/2011</b>
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1)	(2)	(3)	(4)	(5)
		Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	10. General Electric Co.	C	Dividend	M	T					
2.	58. Home Depot Inc. (5) IRA	B	Dividend	L	T					
3.	59. Intel Corp. (5) IRA	B	Dividend	L	T	Buy (add'l)	04/20/10	K		
4.	69. Merck & Co. (5) (42) IRA	C	Dividend	M	T	Buy (add'l)	04/20/10	K		
5.	80. Emerson Electric Co. (9)	B	Dividend	L	T					
6.	88. South Jersey Ind. Inc. (9)		None			Sold	03/02/10	K	E	
7.	94. Wells Fargo (10)	A	Interest	J	T					
8.	99. NCR Corporation (51)		None	J	T					
9.	102. CitiGroup (5) IRA		None	K	T	Buy (add'l)	03/04/10	K		
10.	125. Citigroup(S)(9)(35)		None	J	T					
11.	134. Oracle Corp. (5) IRA	A	Dividend	L	T					
12.	137. Cisco Sys Inc. (5) IRA		None	M	T					
13.	139. Visteon Corp. )(9)(36)		None			Redeemed	10/05/10	J		
14.	141. Zimmer Hldgs. Inc. (38)		None			Sold	12/21/10	J	B	
15.	142. Zimmer Hldgs. Inc. (38)		None			Sold	12/21/10	J	B	
16.	145. IL HLTH FAS-A BE (07-01-10)	B	Interest			Redeemed	07/01/10	K		
17.	152. Pfizer, Inc. (5) IRA	C	Dividend	L	T	Buy (add'l)	04/20/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

DUBOIS, JAN E.

Date of Report

07/28/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.	153. Templeton Foreign Fund C (6)		None			Sold	11/29/10	L		
19.	159. Medco Health Solutions, Inc. (5)(42) IRA		None	J	T					
20.	161. IShares MSCI Japan Index Fund (6) IRA	A	Dividend	K	T					
21.	162. Ishares MSCI EAFE Fund (6) IRA	B	Dividend	L	T					
22.	163. Allscripts Healthcare Shs Inc. (6) IRA		None	K	T					
23.	165. BDP-Morgan Stanley Bank (47)	A	Interest	L	T					
24.	166. Royal Dutch Shell PLC(43)	B	Dividend	K	T					
25.	167. Norwin School Dist 5625	B	Interest			Redeemed	04/01/10	K		
26.	171. Royal Dutch Shell PLC(9)(44)	C	Dividend	L	T					
27.	173. BDP Morgan Stanley Bank (48)	A	Interest	K	T					
28.	176. New York Community Bancorp, Inc. (5) IRA	B	Dividend			Sold	04/15/10	K		
29.	178. Whole Foods Markets, Inc. (5) IRA		None			Sold	05/25/10	K		
30.	182. Nuveen Global VLE Opport Fund	B	Dividend	K	T					
31.	183. Eaton Van Tax MNGD GLBL (9)	C	Dividend	K	T	Buy (add'l)	03/04/10	K		
32.	184. Morgan Stanley Bank (9) (46)	A	Interest	J	T					
33.	185. Nuveen Global VLE Opport Fund (9)	B	Dividend	K	T					
34.	186. Morgan Stanley Bank	A	Interest	M	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
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# FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting <b>DUBOIS, JAN E.</b>	Date of Report <b>07/28/2011</b>
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NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	188. Walgreen Co (5) IRA	B	Dividend	L	T					
36.	189. Starbuck Corp. Washington (5) IRA	A	Dividend	L	T					
37.	191. MS Emerging Mkts Domestic Debt (9)	B	Dividend	K	T					
38.	192. Discover Financial Services (49)(Trust)	A	Dividend			Sold	09/27/10	J		
39.	193. AT&T (50)	C	Dividend	L	T					
40.	194. Teradata (51)		None	J	T					
41.	196. The Financial Sel Sect Spdr. FD (5) IRA	A	Dividend	K	T					
42.	197. Manitek Intl Inc. Com. (53)		None	K	T	Buy (add'l)	01/05/10	J		
43.	198. Sirius XM Radio, Inc. (5) IRA (54)		None	K	T					
44.	199. Ishares DJ US Real Estate Index (5) IRA	B	Dividend			Sold	09/27/10	L	D	
45.	200. Blackrock Real Asset Equity (6) IRA	C	Dividend			Sold	12/07/10	K	D	
46.	201. Blackrock GBLB OPP EQ TR (6) (55) IRA	B	Dividend	K	T					
47.	202. Merge Healthcare, Inc. (6)		None	J	T	Buy	11/29/10	J		
48.	203. Wisdom Tree Int'l Large CAP (DOL) (6)	A	Dividend	K	T	Buy	11/29/10	K		
49.	204. Ishares MSCI Emerging Mkts FD(6)	A	Dividend	K	T	Buy	01/07/10	K		

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**FINANCIAL DISCLOSURE REPORT**

Page 7 of 8

Name of Person Reporting

DUBOIS, JAN E.

Date of Report

07/28/2011

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

- (5) (Lines 58, 59, 64, 69, 102, 106, 120, 132, 134, 136, 137, 151, 152, 176, 177, 178, 179, 188, 189, 196, 198, 199) Securities in an IRA Account with Morgan Stanley.
- (6) (Lines 161, 162, 163, 174, 200, 201, 202, 203, 204) Securities in [REDACTED] IRA Account with Morgan Stanley.
- (9) (Lines 80, 88, 125, 139, 171, 182, 183, 184, 185, 191, 192) Securities in [REDACTED] Trust of which [REDACTED] is co-trustee and income beneficiary.
- (10) (Line 94) Checking Account - First Union changed name to Wachovia. Wachovia changed name to Wells Fargo.
- (35) (Line 125) [REDACTED] shares of Associates First Cap CP A exchanged for [REDACTED] shares Citigroup.
- (36) (Line 139) Spin-off from Ford Motor Company.
- (38) (Lines 141 and 142) Spin-off from Bristol Myers-Squibb Company.
- (42) (Line 159) Stock dividend distributed to Merck & Co Shareholders (Line 69).
- (43) (Line 166) [REDACTED] shares of Royal Dutch Pete 2B exchanged for [REDACTED] shares of Royal Dutch Shell PLC.
- (44) (Line 171) [REDACTED] shares of Royal Dutch Pete 2B exchanged for [REDACTED] shares of Royal Dutch Shell PLC.
- (46) (Line 186) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (47) (Line 165) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (48) (Line 173) Conversion from Active Assets Account to Bank Deposit Program ("BDP") - Morgan Stanley Bank.
- (49) (Line 192) Stock dividend distributed by Morgan Stanley.
- (50) (Line 193) AT&T acquired Bell South Corp.
- (51) (Lines 99 and 194) Stock dividend distributed by NCR.
- (52) (Line 195) Discover Bank name changed to Morgan Stanley Trust Bank
- (53) (Lines 190 and 197) Ver-Tek Int'l Corp., changed its name to Manitek Int'l Inc. Com.
- (54) (Lines 179 and 198) XM Satellite Radio Hldg. CL A - changed its name to Sirius XM Radio, Inc.
- (55) (Lines 174 and 201) Exchanged [REDACTED] shares of Blackrock World Invest Trust stock for [REDACTED] shares of Blackrock Global Opportunity Equity Trust stock.

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 8

Name of Person Reporting

DUBOIS, JAN E.

Date of Report

07/28/2011

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAN E. DUBOIS

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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