AO 10
Rev. 1/2007

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Erickson, Ralph R	District of North Dakota	05/11/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
US District Judge--Active	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	01/01/2006 to 12/31/2006
7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
Quentin Burdick US Courthouse 655 1st Ave. N., Ste. 410 Fargo, ND 58102-4952	Reviewing Officer______________ Date__________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Personal Representative	Estate #1
2.	Trustee	Trust #2
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1/15/88	401K Ohnstad Twichell, P.C.
2. 2/15/93	NDPERS County Retirement Plan
3. 1/02/05	NDPERS State Judicial Retirement Plan
4. 12/31/96	457(b) Plan State of North Dakota

Name of Person Reporting	Date of Report
Erickson, Ralph R	05/11/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	12/31/06	Optometrist--Self Employed
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[X] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.		
2.		
3.		
4.		
5.		

Name of Person Reporting	Date of Report
Erickson, Ralph R	05/11/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Erickson, Ralph R	05/11/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AWSHX	A	Dividend	J	T					
2. AGR B (X)		None	J	T					
3. Avaya, Inc., common stock		None	J	T					
4. Intel, Inc., common stock	A	Dividend	J	T					
5. Lucent Technologies, Inc., common stock		None	J	T	exchange	12/01	J	A	
6. Alcatel, common stock	A	Dividend	J	T	exchange	12/01	J	A	
7. Oakmark Select, mutual fund	A	Dividend	J	T	transfer	12/28	J		
8. Proctor & Gamble, common stock	A	Dividend	J	T					
9. Wells Fargo & Co., common stock	A	Dividend	J	T					
10. PIMCO High Yield Fund #108, Alerus Financial (SEP IRA) PHIYX	A	Interest	J	T					
11. Federated Kaufmann Small Cap Alerus SEP IRA SEP IRA FKASX	A	Dividend	K	T					
12. Goldman Sachs Real Estate Securities Fund #639 SEP IRA GREIX	A	Dividend	J	T					
13. Fidelity Advisors Midcap Fund #533 Alerus SEP IRA FMCCX	A	Dividend	K	T					
14. Goldman Sachs Core US Equity Alerus SEP IRA GSELX	A	Dividend	L	T					
15. Oppenheimer Real Asset Fund Alerus SEP IRA ORAYX	A	Dividend	K	T					
16. Royce Opportunity Fund Alerus SEP IRA RYPNX	A	Dividend	K	T	buy	4/20	J		
17. Fidelity Investors Diversified Int. Fd. Alerus	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Erickson, Ralph R	05/11/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
SEP FDVIX									
18. Federated Capital Reserve Fund, State Bank & Trust (X) 401K	A	Dividend	K	T					
19. ING Aetna 457(b) ING (Aetna) VP Index Plus Large Cap.	A	Div. & Int.	K	T					
20. ING Aetna 457(b) Fidelity VIP Growth Portfolio 109	A	Div. & Int.	K	T					
21. ING Aetna 457(b) T.Rowe Price MC Gr-Init-449	A	Dividend	J	T					
22. ING Aetna 457(b) Oppenheimer Global Pt-Init--432	A	Dividend	J	T					
23. Gate City Savings--Savings Account	A	Interest	J	T					
24. Digital Broadcast Corporation		None	J	W					
25. Coin Collection--Estate #1			J	W	distributed	6/1	J		
26. Phoenix Home Mutual Life Insurance Policy--Trust #1			K	T					
27. Guardian Insurance [redacted] Life Insurance Cash Value)	C	Dividend	L	T					
28. Guardian Insurance (Cash Value)	A	Dividend	K	T					
29. Guardian Insurance (Cash Value)	B	Dividend	K	T					
30. Jackson National Life	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Erickson, Ralph R	05/11/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Sec. VII Lines 5 and 6. Exchanged Lucent stock for Alcatel stock as the result of a merger.

Sec. VII Line 7. Transferred part of the Oakmark shares to a charity.

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Erickson, Ralph R	05/11/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] Date May 14, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544