

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b> Erickson, Ralph R.	<b>2. Court or Organization</b> District of North Dakota	<b>3. Date of Report</b> 05/10/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> US District Judge--Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b> Quentin Burdick US Courthouse 655 1st Ave. N., Ste. 410 Fargo, ND 58102-4952	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Director	Sharehouse Foundation, Fargo, ND
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/15/88	401K Ohnstad Twichell, P.C.
2. 2/15/93	NDPERS County Retirement Plan
3. 1/02/05	NDPERS State Judicial Retirement Plan
4. 12/31/96	457(b) Plan State of North Dakota

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2010	Self-employed Optometrist
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		1.	AWSHX	A	Dividend	J	T			
2.	Lsi Logic Corp.		None	J	T					
3.	Intel, Inc., common stock	A	Dividend	J	T					
4.	Alcatel Lucent common stock		None	J	T					
5.	Oakmark Select, mutual fund	A	Dividend	J	T					
6.	Proctor & Gamble, common stock	A	Dividend	J	T					
7.	Wells Fargo & Co., common stock	A	Dividend	J	T					
8.	Trust, SEP IRA (No Investment Authority)	C	Int./Div.	M	T					
9.	Federated Capital Reserve Fund, State Bank & Trust (X) 401K	A	Interest	K	T					
10.	ING Aetna 457(b) ING (Aetna) VP Index Plus Large Cap.	A	Int./Div.	K	T					
11.	ING Aetna 457(b) Fidelity VIP Growth Portfolio 109	A	Int./Div.	K	T					
12.	ING Aetna 457(b) T.Rowe Price MC Gr-Init-449	A	Int./Div.	J	T					
13.	ING Aetna 457(b) Oppenheimer Global Pt-Init-432	A	Int./Div.	J	T					
14.	Gate City Savings--Savings Account	A	Interest	J	T					
15.	Digital Broadcast Corporation		None	J	W					
16.	Guardian Insurance Trust #1	D	Dividend	M	T					
17.	Guardian Insurance Life Insurance (Cash Value)	E	Dividend	M	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
(See Column C2) U = Book Value V = Other W = Estimated

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Guardian Insurance (Cash Value)	D	Dividend	L	T					
19. Guardian Insurance (Cash Value)	C	Dividend	K	T					
20. Guardian Insurance (Cash Value)	A	Dividend	J	T					
21. Jackson National Life [REDACTED] Life Insurance)	A	Interest	K	T					

- 1. Income Gain Codes:      A = \$1,000 or less                      B = \$1,001 - \$2,500                      C = \$2,501 - \$5,000                      D = \$5,001 - \$15,000                      E = \$15,001 - \$50,000
- F = \$50,001 - \$100,000                      G = \$100,001 - \$1,000,000                      H1 = \$1,000,001 - \$5,000,000                      H2 = More than \$5,000,000
- 2. Value Codes                      J = \$15,000 or less                      K = \$15,001 - \$50,000                      L = \$50,001 - \$100,000                      M = \$100,001 - \$250,000
- (See Columns C1 and D3)                      N = \$250,001 - \$500,000                      O = \$500,001 - \$1,000,000                      P1 = \$1,000,001 - \$5,000,000                      P2 = \$5,000,001 - \$25,000,000
- P3 = \$25,000,001 - \$50,000,000                      P4 = More than \$50,000,000
- 3. Value Method Codes                      Q = Appraisal                      R = Cost (Real Estate Only)                      S = Assessment                      T = Cash Market
- (See Column C2)                      U = Book Value                      V = Other                      W = Estimated

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

VII Investments and Trusts. Line 8. On January 2, 2011 [REDACTED] SEP IRA was restated. In the new trust documents she has no authority over any investments. The IRA is held in a mixture of Bonds, Cash Equivalents, and Equities as determined by the plan administrator at Alerus Financial.

VII Investments and Trusts. Line 20. The policy was acquired on October 1, 2007 but had no cash value until October 1, 2010.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ralph R. Erickson**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
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