



CHAMBERS OF
PETER T. FAY, SENIOR JUDGE

(305) 536-8874
99 N.E. FOURTH ST.
SUITE 1250
MIAMI, FLORIDA 33132

Judge Bobby R. Baldock
Chair, Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

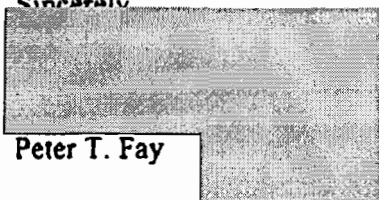
Re: Calendar Year 2010 Filing

Dear Judge Baldock:

In response to your inquiry of June 29, 2011, please be advised that we first bought into the Merrill Lynch PLA Conservative Portfolio on March 19, 2010. The funds we used were those gained by the sales reflected in my report.

I am submitting this in letter form because my Judicial Assistant of 35 years retired and I am severely limited in my computer abilities. Your very helpful staff member, Rachael, suggested this approach after leading me through the procedure to read your letter. She could not have been nicer and I am most appreciative.

Sincerely,



Peter T. Fay

RECEIVED
2011 JUL -8 A 9:28
FINANCIAL
DISCLOSURE OFFICE

Fay, Peter T.

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) FAY, PETER T.	2. Court or Organization U.S. Court of Appeals, 11 Cir.	3. Date of Report 04/15/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address Federal Justice Building 99 N.E. 4 Street, Suite 1255 Miami, Florida 33132	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Emeritis Member of Board of Trustees	University of Miami, Miami, Florida
2. Emeritis Member of Board of Trustees	University of Florida School of Law, Gainesville, Florida
3. St. Thomas School of Law Board of Advisors	St. Thomas School of Law, Miami, Florida
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting FAY, PETER T.	Date of Report 04/15/2011
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Common stock - Central Ill. Pub. Service Corp.	A	Dividend	J	T					
2.									Left blank intentionally
3. Land near Milton, Florida		None	K	W					
4. Mineral lease on land near Milton, Florida	A	Royalty	J	W					
5. Coca Cola Co.	A	Dividend	J	T					
6. New York City Mun. Water&Sewer Sys.	A	Interest	K	T					
7. Nuveen Mun. Fund	B	Dividend	K	T					
8. New York City Municipal Water Authority	A	Interest	K	T					
9. Fidelity High Yield Tax Free	A	Dividend	K	T					
10. Monster Worldwide Inc.		None			Sold	04/19/10	J		
11. Spartan Florida Mun. Fund changed names to Fidelity Mun. Inc	A	Dividend	J	T					
12. Nuveen Mun. Market Opportunity Fund	A	Dividend	J	T					
13. Harbor Capital Appreciation Fund	A	Dividend	J	T					
14. Vanguard Group, 500 Portfolio	A	Dividend	K	T					
15. Vanguard Group, European Portfolio	A	Dividend	K	T					
16. Wells Fargo acct. now at Bank of America	A	Dividend	K	T					
17. Time Warner	A	Dividend			Sold	04/19/10	J		

1. Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000
 (See Columns B1 and D4) F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H1 =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000

2. Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000
 (See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000
 P3 =\$25,000,001 - \$50,000,000 P4 =More than \$50,000,000

3. Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
 (See Column C2) U =Book Value V =Other W =Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-I)		Code 2 (J-P)	Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	
18. American International Group Inc.		None			Sold	04/19/10	J		
19. Amgen Inc.		None			Sold	04/19/10	J		
20. Broadcom Corp. Class A		None			Sold	04/19/10	J		
21. Home Depot Inc.	A	Dividend			Sold	04/19/10	J		
22. Melbourne, Fla. Water & Sewer Rev.	A	Interest	K	T					
23.									Left blank intentionally
24. Microsoft Corp. Washington	A	Dividend			Sold	04/19/10	J		
25. Brevard County Fla. G/O LTD B/E	B	Interest	K	T					
26. Cape Coral, Fla. WST WTR & IRR	A	Interest	K	T					
27. Walmart Stores Inc.	A	Dividend	K	T					
28. Bank of America Time Deposit (CD)	A	Interest			Sold	08/20/10	K	A	
29. Alaska Univ. Revs Genl. Ser.	A	Interest	K	T					
30.									Left blank intentionally
31.									Left blank intentionally
32. Port Palm Beach Dist. Fla. Rev.	B	Interest	K	T					
33. Pina CNTY AZ STR & HWY REV	A	Interest	K	T					
34.									Left blank intentionally

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
 (See Column C2) U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1)	(2)	(3)	(4)	(5)
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Greene City Ohio Special Assessment	B	Interest	K	T					
36.									Left blank intentionally
37. Condo unit, Melbourne Beach, Fla.		None	M	W					
38. Ft. Myers Fla. Gas Tax Revenue	B	Interest	K	T					
39. Orlando Fla., Waste Water	A	Interest			Sold	04/01/10	K	A	
40. Lauderdale, Fla. Water & Sewer	B	Interest	K	T					
41. Tampa Sports Authority	B	Interest	K	T					
42. Brevard County Fuel Tax Revenue Bond	B	Interest	K	T					
43.									Left blank intentionally
44. Houston TX Independent School District	B	Interest	K	T					
45. Petroleo Brasileiro Spon Adr	A	Dividend			Sold	04/19/10	J		
46. Central Puget Sound WA. Regl Tran. Auth.Sales & Use Tax	B	Interest	K	T					
47. Merrill Lynch PIA Conservative Portfolio	C	Dividend	M	T					

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
HI = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Boards of Trustees referred to in Part I are governing boards of universities and not trusts or estates holding assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **PETER T. FAY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544