

AO 10  
Rev. 1/2008

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Fish, Allen J	<b>2. Court or Organization</b>  United States District Court, Northern District of Texas	<b>3. Date of Report</b>  05/15/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  United States District Judge (Senior)	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  1100 Commerce Street Suite 1404 Dallas, Texas 75242	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

RECEIVED  
2008 MAY 20 A 9: 56  
FINANCIAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 8

Name of Person Reporting

Fish, Allen J

Date of Report

05/15/2008

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	St. John's Episcopal School - Salary
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Houston Law School	March 1-2	Houston, Texas	CLE Program Presenter	Transportation, lodging, food
2.	State Bar of Texas	June 20-22	San Antonio, Texas	Bd. of Directors Meeting	Transportation, lodging, food
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 8

Name of Person Reporting  
Fish, Allen J

Date of Report  
05/15/2008

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 8

Name of Person Reporting

Fish, Allen J

Date of Report

05/15/2008

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. City of Irving, Texas Jr. Lien Texas Stadium Revenue Bonds	A	Interest	J	T					
2. Texas Federal Credit Union Accounts, Dallas, TX	A	Dividend	K	T					
3. Guardian Ins. & Ann. Co. deferred annuity									
4. - Guardian Stock Fund	A	Dividend	K	T					
5. - Centurion Fund	A	Dividend	J	T					
6. TIAA-CREF deferred annuity									
7. - CREF Stock Fund	A	Dividend	J	T					
8. - TIAA Real Estate Fund	A	Dividend	J	T					
9. - CREF Inflation Linked Bond Fund	A	Dividend	J	T					
10. IRA #1									
11. - Vanguard Total Stock Mkt Index Fund (VTSMX)	A	Dividend	K	T					
12. - Vanguard Inflation Protected Securities Fund (VIPSX)	A	Dividend	K	T					
13. IRA #2									
14. - Vanguard Total Stock Mkt Index Fund (VTSMX)	A	Dividend	J	T					
15. - Vanguard Inflation Protected Securities Fund (VIPSX)	A	Dividend	J	T					
16. IRA #3									
17. - Vanguard Total Stock Mkt VIPERS (VTI)	A	Dividend	J	T	Part Sale	10/03	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 8

Name of Person Reporting

Fish, Allen J

Date of Report

05/15/2008

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - iShares TIPS Bond Fund (TIP)	A	Dividend	J	T	Part Sale	10/03	J	A	
19. - iShares EAFE Index Fund (EFA)	A	Dividend	J	T	Part Sale	10/03	J	A	
20. -Vanguard REIT VIPERS (VNQ)	A	Dividend	J	T	Part Sale	10/03	J	A	
21. - SPDR Select Sector-Energy (XLE)	A	Dividend	J	T	Part Sale	10/03	J	A	
22. - American Century Int'l Bond Fund (BEGBX)	A	Dividend	J	T	Part Sale	10/03	J	A	
23. - iShares FTSE Xinhua China 25 Index (FXI)	A	Dividend	J	T	Buy	01/19	J		
24. - iShares Russell 1000 Value Index (IWD)	A	Dividend	J	T	Buy	10/04	J		
25. -iShares Russell 2000 Value Index (IWN)	A	Dividend	J	T	Buy	10/04	J		
26. -iShares EAFE Value Index (EFV)	A	Dividend	J	T	Buy	10/04	J		
27. -Vanguard Emerging Markets VIPERS (VWO)	A	Dividend	J	T	Buy	10/04	J		
28. IRA #4									
29. - Vanguard Total Stock Mkt VIPERS (VTI)	A	Dividend	J	T					
30. -iShares TIPS Bond Fund (TIP)	A	Dividend	J	T					
31. -iShares EAFE Index Fund (EFA)	A	Dividend	J	T					
32. - Vanguard REIT VIPERS (VNQ)	A	Dividend	J	T					
33. - SPDR Select Sector-Energy (XLE)	A	Dividend	J	T					
34. - Northern Global Fixed Income Fund (NOIFX)	A	Dividend			Sale	01/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 8

<b>Name of Person Reporting</b> Fish, Allen J	<b>Date of Report</b> 05/15/2008
--------------------------------------------------	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -iShares FTSE Xinhua China 25 Index (FXI)	A	Dividend	J	T	Buy	01/19	J		
36. Exxon Mobil Common Stock (XOM)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

# FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting	Date of Report
Fish, Allen J	05/15/2008

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. No reportable positions are set forth in paragraph I. All duties as Independent Executor of the Estate of [REDACTED] deceased, a position reported in the report dated May 15, 2007, were completed by October 30, 2007.
2. No liabilities are set forth in paragraph VI. The liability to Ed Financial, reported in the report dated May 15, 2007, was extinguished by payment of the indebtedness in full. The source of funds for such payment was a home equity line of credit, secured by real property that is my personal residence, which is non-reportable.
3. The items reported in paragraph VII(2) are credit union accounts. Detailed transactional data are not being provided in accordance with the instructions.
4. The bank account reported in paragraph VII(13) of the report filed May 15, 2007 was closed and the funds therein transferred to the credit union checking account reported in paragraph VII(2) of this report.
5. The shares in paragraph VII(23) were purchased with dividends and cash accumulated in the account.
6. The shares in paragraphs VII(24), (25), (26), and (27) were purchased with proceeds of the partial sale of shares reported in paragraphs VII(17), (18), (19), (20), (21), and (22).
7. The shares in paragraph VII(35) were purchased with proceeds of the sale of shares reported in paragraph VII(34).

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 8

Name of Person Reporting

Fish, Allen J

Date of Report

05/15/2008

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544