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Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Fisher, D. Michael	<b>2. Court or Organization</b>  U.S. Court of Appeals 3d Circuit	<b>3. Date of Report</b>  04/22/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. Circuit Judge, Active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b>  5360 U.S. Courthouse 700 Grant Street Pittsburgh, PA 15219-1906	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Circuit Judge	U.S. Court of Appeals, Third Circuit
2. Adjunct Professor	University of Pittsburgh School of Law
3. Member, Board of Trustees	University of Pittsburgh
4. Member, Board of Trustees	Senator John Heinz History Center
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1975-03	Pennsylvania State Employees Retirement Fund, Defined Benefit Pension Plan
2.	
3.	

Fisher, D. Michael

**FINANCIAL DISCLOSURE REPORT**  
Page 2 of 7

<b>Name of Person Reporting</b> Fisher, D. Michael	<b>Date of Report</b> 04/22/2010
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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	University of Pittsburgh School of Law	\$10,000.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Houghton Mifflin Harcourt Publishing (Consultant)
2. 2009	APC Solutions (Consultant)
3.	
4.	

**IV. REIMBURSEMENTS** – transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Pennsylvania Bar Association	2/5/09 - 2/8/09	St. Thomas, U.S. Virgin Islands	Midyear Meeting / Panel Member	Transportation, lodging, meals
2.	Villanova Law School	3/28/09 - 3/28/09	Villanova, PA	Moot Court Judge	Transportation, meals
3.	Academy of Trial Lawyers of Allegheny County	10/1/09 - 10/2/09	Farmington, PA	Retreat	Lodging, meals
4.	Georgetown University Law Center	10/5/09 - 10/8/09	Washington, DC	Moot Court Judge	Lodging
5.	Montgomery County Bar Association	10/9/09 - 10/11/09	Farmington, PA	Bench-Bar Conference	Lodging, meals
6.	University of Pittsburgh	11/8/09 - 11/9/09	Santa Barbara, CA	Distinguished Alumni	Transportation, lodging

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 7

<b>Name of Person Reporting</b>	<b>Date of Report</b>
Fisher, D. Michael	04/22/2010

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School of Law

Fellow Award Ceremony

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**FINANCIAL DISCLOSURE REPORT**  
Page 4 of 7

Name of Person Reporting Fisher, D. Michael	Date of Report 04/22/2010
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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Mortgage on Property	N
2.			
3.			
4.			
5.			

**FINANCIAL DISCLOSURE REPORT**  
Page 5 of 7

Name of Person Reporting <b>Fisher, D. Michael</b>	Date of Report <b>04/22/2010</b>
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Wellington Fund	C	Dividend	L	T					
2. Citizens Bank of Pennsylvania	B	Interest	K	T					
3. PNC Bank	C	Interest	L	T					
4. Scudder Investments, Money Market Fund, IRA		None	J	T					
5. PA State Employee Retirement Fund		None	PI	U					
6. Lincoln Choice Plus, Annuity IRA		None			Sold	05/01/09	N		
7. MassMutual Evolution Variable Annuity		None	N	T	Buy	05/08/09	N		
8. Huntington Bank, Stock	A	Dividend	J	T					
9. Ameriserve Financial, Stock		None	J	T					
10. Callon Consolidated Partners, Ltd. - Stock		None	J	T					
11. Legg Mason Value Trust, Mutual Fund		None	L	T					
12. C.M. Life Ins. Co. Flex. Prem. Adjust. Univ. Life Policy	A	Interest	K	T					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) Y = Other	S = Assessment W = Estimated	T = Cash Market	

<b>Name of Person Reporting</b> Fisher, D. Michael	<b>Date of Report</b> 04/22/2010
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**FINANCIAL DISCLOSURE REPORT**  
Page 7 of 7

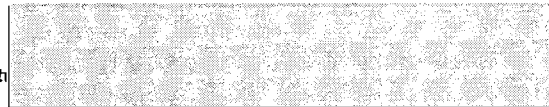
<b>Name of Person Reporting</b> Fisher, D. Michael	<b>Date of Report</b> 04/22/2010
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544