

AO 10
Rev. 1/2012

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GARBUS, MARVIN J.	2. Court or Organization DISTRICT OF MARYLAND	3. Date of Report 07/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. COURTHOUSE 101 W. LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER, BOARD OF ADVISORS TO DEAN	UNIVERSITY OF BALTIMORE LAW SCHOOL
2.	MEMBER, BOARD OF DIRECTORS, EXECUTIVE COMMITTEE	INTERNATIONAL JUDICIAL ACADEMY
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	ONGOING	THOMSON (WEST SERVICES, INC.) HON. RONALD RUBIN AND PATRICIA MORGAN (DECEASED) ROYALTIES PAID AUTHORS OF CASEBOOK
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	INTERNATIONAL JUDICIAL ACADEMY	APRIL 25 - 29	MEXICO CITY, MEXICO	FACULTY, JUDICIAL EDUCATION SEMINAR	TRAVEL, MEALS, LODGING
2.	INTERNATIONAL JUDICIAL ACADEMY	AUGUST 12 - 19	LIMA, PERU AND BOGATA, COLOMBIA	FACULTY, JUDICIAL EDUCATION SEMINAR	TRAVEL, MEALS, LODGING
3.	ALI-ABA	OCTOBER 12 - 14	CHICAGO, ILLINOIS	FACULTY, SEMINAR ON PATENT TRIALS	TRAVEL, MEALS, LODGING
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PERSONALLY OWNED (HEADER)									
2. M & T CHECKING	A	Interest	J	T					
3. T ROWE PRICE TAX FREE BOND FUND	A	Dividend	J	T					
4. PINNACLE NORTHEAST, INC.		None	J	T					
5. BAIRD MONEY MARKET	A	Interest	J	T					
6.									
7. IRA (COMBINED FORMER IRA -1 AND IRA - 2) (HEADER)									
8. LEGG MASON VALUE TRUST LMVTX	A	Dividend	L	T	Sold	7/18/11	K	A	
9. ROYCE FUND PENNSYLVANIA RYPCX	A	Dividend	M	T					
10. TEMPLETON GROWTH FUND TMGBX	A	Dividend	K	T					
11. ROYCE FUNDS MICRO CAP RYMCX	A	Interest	J	T					
12. BAIRD MONEY MARKET	A	Interest	J	T					
13. LEGG MASON SPECIAL INVESTMENT TRUST LMASX	A	Dividend	M	T					
14. LEGG MASON OPPORTUNITY TRUST LMOPX	A	Dividend	L	T	Sold (part)	2/14/11	L	A	
15. FED EQ KAUFMAN FUND KAUBX	A	Dividend			Sold	12/12/11	K	A	
16. LEGG MASON, INC. LM		None			Sold	12/12/11	K	A	
17. HENDERSON INTERNATIONAL OPPORTUNITY FUND HPOBX	A	Dividend			Sold	2/14/11	J	A	

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
B = Bond (Bond Report Only) T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. FED INT SMALL-MID COMPANY FD ISCA X	A	Dividend	K	T				
19. BAIRD MONEY MARKET	A	Interest			Merged (with line 12)	07/26/11	J		
20. FRANKLIN GOLD FKRCX	A	Dividend	K	T					
21. COMPUGEN CGEN		None	J	T					
22. NEUBERGER BERMAN NMGAX		None	K	T	Buy	12/12/11	K		
23. PRUDENTIAL INV JENNISON EQUITY INCOME JEIBX		None	K	T	Buy	7/18/11	K		
24. PRUDENTIAL INV JENNISON 20/20 FOCUS PTWBX		None	K	T	Buy	7/18/11	K		
25. FEDRATED EQUITY RJMAX		None	K	T	Buy	2/14/11	K		

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
P = Cash (Real Estate Only)

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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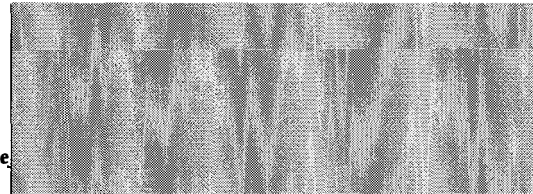
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A rectangular area containing a heavily redacted signature, appearing as a dense, noisy pattern of black and white pixels.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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