AO 10 Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GARCIA, ORLANDO L.	U.S. DISTRICT COURT	05/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
ARTICLE III JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

John H. Wood, Jr. US Courthse.
655 E. Durango Blvd.
San Antonio, TX 78206

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	12/1/2002	State legislative and judicial pension accounts which have vested and are created under Texas state law
2.	12/1/2012	I am presently receiving my state legislative pension which began at age 50; and I will receive my state judicial pension at age 60, which will begin on 12-1-12
3.		

Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2011	Employees Retirement System of Texas (state legislative pension-paid in monthly installments)	$23,864.04
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2011	Northeast ISD - salary
2.	2011	Northern Trust Company - annuity
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BLACKROCK ALL-CAP GLOBAL RESOURCE	A	Int./Div.	J	T	Buy (add'l)	01/20/11	K		
2.					Sold (part)	02/08/11	K		
3.					Buy (add'l)	02/16/11	J		
4.					Sold (part)	08/08/11	J		
5.					Buy (add'l)	08/12/11	K		
6.					Buy (add'l)	10/06/11	J		
7. BLACKROCK GLOBAL ALLOCATION	A	Int./Div.	J	T	Sold (part)	01/19/11	J		
8.					Sold (part)	02/08/11	J		
9.					Sold (part)	08/09/11	K		
10.					Buy (add'l)	08/12/11	J		
11.					Buy (add'l)	08/16/11	J		
12. BLACKROCK GNMA	A	Int./Div.			Sold	01/19/11	J		
13. DELAWARE DIVERSIFIED INCOME	A	Int./Div.	J	T	Sold (part)	01/19/11	J		
14.					Sold (part)	08/08/11	J		
15.					Buy (add'l)	08/12/11	J		
16.					Sold (part)	10/25/11	J		
17. FEDERATED ADJUSTABLE RATE	A	Int./Div.			Sold	01/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N = $250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. FIDELITY ADV LEVERAGED STOCK	A	Interest			Sold	01/19/11	J		
19. FIRST EAGLE GLOBAL	A	Int./Div.	K	T	Sold (part)	01/19/11	K		
20.					Sold (part)	02/08/11	J		
21.					Sold (part)	08/08/11	K		
22.					Buy (add'l)	08/12/11	K		
23.					Buy (add'l)	08/16/11	K		
24. IVY ASSET STRATEGY	A	Int./Div.	K	T	Sold (part)	01/19/11	K		
25.					Buy (add'l)	01/20/11	K		
26.					Sold (part)	02/08/11	J		
27.					Buy (add'l)	08/03/11	K		
28.					Sold (part)	08/08/11	K		
29.					Sold (part)	08/09/11	K		
30.					Buy (add'l)	08/12/11	J		
31.					Buy (add'l)	08/16/11	J		
32. MATTHEWS ASIAN GROWTH & INCOME	A	Int./Div.	K	T	Buy (add'l)	01/20/11	J		
33.					Sold (part)	02/09/11	J		
34.					Buy (add'l)	02/15/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U = Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	03/31/11	J		
36.					Buy (add'l)	08/03/11	J		
37.					Sold (part)	08/09/11	J		
38.					Sold (part)	10/25/11	J		
39. PIMCO REAL RETURN	A	Int./Div.	K	T	Sold (part)	08/08/11	J		
40.					Buy (add'l)	08/12/11	J		
41.					Buy (add'l)	08/23/11	J		
42.					Sold (part)	08/24/11	J		
43.					Sold (part)	10/27/11	J		
44. RYDEX MANAGED FUTURES	A	Int./Div.	K	T	Buy (add'l)	01/19/11	J		
45.					Sold (part)	02/08/11	J		
46.					Buy (add'l)	08/12/11	J		
47.					Sold (part)	08/27/11	J		
48. ALLIANCEBERNSTEIN GLOBAL BOND	A	Int./Div.			Sold	02/08/11	J		
49. PERMANENT PORTFOLIO	A	Int./Div.			Sold	01/19/11	K		
50. HIGHBRIDGE STATISTICAL NEUTRAL	A	Int./Div.			Sold	02/08/11	J		
51. RIVERSOURCE ABSOLUTE RETURN	A	Int./Div.			Sold	02/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. PIMCO DELVELOPING LOCAL MARKETS	A	Int./Div.	J	T	Buy	01/20/11	J		
53.					Sold (part)	08/08/11	J		
54. VANGUARD BAL INDEX FD	A	Int./Div.	J	T	Buy (add'l)	05/18/11	J		
55. HIGHLAND FUNDS	A	Int./Div.	J	T	Buy	10/25/11	J		
56.					Buy (add'l)	10/27/11	J		
57. OPPENHEIMER DEV MARKETS	A	Int./Div.	J	T	Buy	01/20/11	K		
58.					Buy (add'l)	02/08/11	J		
59.					Buy (add'l)	08/12/11	J		
60.					Buy (add'l)	08/16/11	J		
61.					Buy (add'l)	10/25/11	J		
62.					Buy (add'l)	10/29/11	J		
63. OPPENHEIMER INTL BD FD	A	Int./Div.	J	T	Buy	02/08/11	J		
64.					Buy (add'l)	08/12/11	J		
65.					Sold (part)	10/25/11	J		
66. PRUDENTIAL GLOBAL FD	A	Int./Div.	J	T	Buy	01/20/11	J		
67.					Buy (add'l)	02/08/11	J		
68.					Buy (add'l)	04/14/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	08/08/11	J		
70.					Buy (add'l)	08/12/11	J		
71.					Buy (add'l)	10/27/11	J		
72. PUTMAN FUNDS	A	Int./Div.	J	T	Buy	08/16/11	J		
73. NATIXIS FDS	A	Int./Div.	J	T	Buy	02/08/11	J		
74.					Sold (part)	08/08/11	J		
75.					Buy (add'l)	08/12/11	J		
76.					Buy (add'l)	10/25/11	J		
77.					Buy (add'l)	10/27/11	J		
78. YACKTMAN FOCUSED FD	A	Int./Div.	J	T	Buy	10/25/11	J		
79. EATON VANCE RETURN FD	A	Int./Div.	J	T	Buy	02/08/11	J		
80.					Sold (part)	08/08/11	J		
81.					Buy (add'l)	08/12/11	J		
82.					Buy (add'l)	10/24/11	J		
83.					Buy (add'l)	10/27/11	J		
84. PIMCO DEV MARKETS	A	Int./Div.	J	T	Buy	01/20/11	J		
85.					Sold (part)	08/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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GARCIA, ORLANDO L.	05/02/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	08/12/11	J		
87. TEMPLETON INCOME FDS	A	Int./Div.	J	T	Buy	02/08/11	J		
88.					Sold (part)	08/08/11	J		
89.					Buy (add'l)	08/12/11	J		
90. FROST BANK CHECKING	A	Int./Div.	J	T					
91. FROST BANK SAVINGS	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Part II related to Agreements, in previous reports I had listed the year 2013 as when my Texas state judicial pension would vest. In fact, it will begin on December 1, 2012.

Name of Person Reporting	Date of Report
GARCIA, ORLANDO L.	05/02/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ORLANDO L. GARCIA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544