

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b>  GIBBONS, JULIA S.	<b>2. Court or Organization</b>  US COURT OF APPEALS SIXTH CIRCUIT	<b>3. Date of Report</b>  05/02/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. CIRCUIT JUDGE (ACTIVE)	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  970 FEDERAL LBUILDING 167 N MAIN STREET MEMPHIS, TN 38103	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</b>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	STATE OF TN - SALARY AS DISTRICT ATTORNEY GENERAL
2. 2010	SHELBY COUNTY - COUNTY SUPPLEMENT AS DISTRICT ATTORNEY GENERAL
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	FREEDOM FORUM	2/18-2/20/2010	NASHVILLE, TN	MOOT COURT	TRANSPORTATION, LODGING, MEALS
2.	UNIVERSITY OF TENNESSEE	9/17-9/18/2010	KNOXVILLE, TN	MOOT COURT	TRANSPORTATION, LODGING, MEALS
3.	HARVARD UNIVERSITY	11/15-11/17/2010	CAMBRIDGE, MA	MOOT COURT	TRANSPORTATION, LODGING, MEALS
4.					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. U. S. BANK	CREDIT CARD	J
2.		
3.		
4.		
5.		

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
2.	IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3.	SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4.	FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
5.	STATE OF TN 401(K)									
6.	- REGIONS BANK	A	Interest	J	T					
7.	- FIDELITY PUTNAM FUND	A	Dividend	J	T					
8.	IRA ROLLOVER RETIREMENT PLAN									
9.	- AMERICAN FUNDS: INVESTMENT FUND OF AMERICA	A	Dividend	J	T					
10.	- JOHN HANCOCK FDS: GREATER CHINA OPPORTUNITIES FUND		None			Sold	02/26/10	J	A	
11.	- IVY FDS: GLOBAL NATURAL RESOURCES FUND		None			Sold	11/19/10	J	A	
12.	- EATON VANCE FDS: DIVIDEND BUILDER FUND	A	Dividend			Sold (part)	02/23/10	J	A	
13.						Sold	05/07/10	J	A	
14.	- HARTFORD MUTUAL FDS: HARTFORD CAPITAL APPRECIATION FUND		None	J	T					
15.	- FRANKLIN FDS: FRANKLIN TEMPLETON MUTUAL DISCOVERY FUND	A	Dividend	J	T					
16.	- HENDERSON INTERNATIONAL OPPORTUNITIES FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17.	- EATON VANCE FDS: GREATER INDIA FUND		None	J	T					
18.	- AMERICAN FUND: CAPITAL WORLD GROWTH & INCOME FUND	A	Dividend	J	T					
19.	- IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					
20.	- VIRTUS MUTUAL FDS: ALTERNATIVES DIVERSIFIER FUND	A	Dividend	J	T					
21.	- ING FDS: GROWTH & INCOME FUND	A	Dividend	J	T					
22.	- PIONEER FUND: PIONEER FUND CLASS A M/F	A	Dividend	J	T					
23.	- CONVERGYS CORP - STOCK		None	J	T					
24.	- SUNAMERICA 2015 HIGH WATERMARK FUND	A	Dividend	J	T					
25.	- REGIONS FDIC CASH ACCOUNT	A	Interest	J	T					
26.	- CITIGROUP INC-STOCK		None	J	T	Buy (add'l)	02/22/10	J		
27.						Buy (add'l)	03/17/10	J		
28.						Sold (part)	04/15/10	J	A	
29.						Sold (part)	04/19/10	J	A	
30.						Sold (part)	04/26/10	J	A	
31.	- FEDERATED MDT SMALL CAP VALUE FUND A		None	J	T					
32.	- PIONEER CULLEN VALUE FUND A	A	Dividend	J	T					
33.	- ING EMERGING COUNTRIES FUND CL A		None	J	T					

1. Income Gain Codes: (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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GIBBONS, JULIA S.

Date of Report

05/02/2011

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. - E HOUSE CHINA HOLDINGS-STOCK		None	J	T	Buy (add'l)	01/15/10	J		
35.					Buy (add'l)	02/23/10	J		
36.					Sold (part)	03/08/10	J	A	
37.					Sold	03/09/10	J	A	
38.					Buy	04/27/10	J		
39.					Buy (add'l)	04/30/10	J		
40.					Buy (add'l)	05/07/10	J		
41.					Sold (part)	07/22/10	J	A	
42.					Sold (part)	07/27/10	J	A	
43.					Sold (part)	08/02/10	J	A	
44.					Sold	09/01/10	J	A	
45.					Buy	10/15/10	J		
46.					Buy (add'l)	12/03/10	J		
47.					Buy (add'l)	12/13/10	J		
48. - FIRST TRUST HIGH INCOME LONG/ SHORT FUND	A	Dividend	J	T	Buy	09/30/10	J		
49. - FRED'S	A	Dividend			Buy	02/26/10	J		
50.					Sold	04/12/10	J	A	

1. Income Gain Codes:      A = \$1,000 or less      B = \$1,001 - \$2,500      C = \$2,501 - \$5,000      D = \$5,001 - \$15,000      E = \$15,001 - \$50,000  
    (See Columns B1 and D4)      F = \$50,001 - \$100,000      G = \$100,001 - \$1,000,000      H1 = \$1,000,001 - \$5,000,000      H2 = More than \$5,000,000

2. Value Codes                      J = \$15,000 or less      K = \$15,001 - \$50,000      L = \$50,001 - \$100,000      M = \$100,001 - \$250,000  
    (See Columns C1 and D3)      N = \$250,001 - \$500,000      O = \$500,001 - \$1,000,000      P1 = \$1,000,001 - \$5,000,000      P2 = \$5,000,001 - \$25,000,000  
                                         P3 = \$25,000,001 - \$50,000,000      P4 = More than \$50,000,000

3. Value Method Codes              Q = Appraisal                      R = Cost (Real Estate Only)      S = Assessment                      T = Cash Market  
    (See Column C2)                      U = Book Value                      V = Other                                      W = Estimated

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
51.					Buy	08/10/10	J		
52.					Sold (part)	10/19/10	J	A	
53.					Sold	10/27/10	J	A	
54. - MOTOROLA		None			Buy	03/16/10	J		
55.					Buy (add'l)	03/18/10	J		
56.					Sold (part)	07/20/10	J	A	
57.					Sold	08/19/10	J	A	
58. - FORD MOTOR		None			Buy	03/29/10	J		
59.					Buy (add'l)	04/06/10	J		
60.					Sold (part)	04/29/10	J	A	
61.					Buy (add'l)	08/06/10	J		
62.					Sold	10/14/10	J	A	
63. - WENDYS/ARBYS	A	Dividend			Buy	08/18/10	J		
64.					Sold	11/16/10	J	A	
65. - OSHKOSH TRUCK CORP		None			Buy	09/27/10	J		
66.					Sold	10/25/10	J	A	
67. - BANK OF AMERICA	A	Dividend	J	T	Buy	10/20/10	J		

- |  |   |  |  |  |                         |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
68.					Buy (add'l)	10/28/10	J			
69. - FINISAR CORP		None			Buy	10/22/10	J			
70.					Buy (add'l)	11/01/10	J			
71.					Sold (part)	12/01/10	J	A		
72.					Sold	12/07/10	J	B		
73. - RS GLOBAL NATURAL RESOURCES		None	J	T	Buy	11/17/10	J			
74. - ORACLE SYSTEMS		None	J	T	Buy	12/31/10	J			
75. AXA EQUITABLE LIFE INS CO	A	Interest	J	T						
76. AXA EQUITABLE LIFE INS CO	A	Interest	K	T						
77.										
78.										
79.										
80.										
81.										
82.										
83.										

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

PART VII, LINE 5: 401(K) ACCOUNT HAS BEEN SPLIT INTO TWO LINES, #s 6 AND 7.

PART VII, LINE 7: ON THE 2009 REPORT THE ONLY INVESTMENT LISTED FOR THE 401(K) WAS REGIONS BANK. HOWEVER, FUNDS WERE INVESTED IN FIDELITY PUTNAM FUND AND THIS WAS INADVERTENTLY OMITTED FROM THE 2009 REPORT.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

**Signature: s/ JULIA S. GIBBONS**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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