

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U S C app §§ 101-111)*

1. Person Reporting (last name, first, middle initial) GIBBONS, JULIA S.	2. Court or Organization US COURT OF APPEALS SIXTH CIRCUIT	3. Date of Report 5/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 970 FEDERAL LBUILDING 167 N MAIN STREET MEMPHIS, TN 38103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/2011	STATE OF TN - SALARY AS DISTRICT ATTORNEY GENERAL
2. 1/2011	SHELBY COUNTY - COUNTY SUPPLEMENT AS DISTRICT ATTORNEY GENERAL
3. 1/15-12/11	STATE OF TN - SALARY AS COMMISSONER OF SAFETY & HOMELAND SECURITY
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1	UNIVERSITY OF TENNESSEE	10/20/11-10/23/11	KNOXVILLE, TN	MOOT COURT	TRANSPORTATION, LODGING, MEALS
2.					
3.					
4					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U. S BANK	CREDIT CARD	K
2.			
3.			
4.			
5.			

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Name of Person Reporting GIBBONS, JULIA S.	Date of Report 5/11/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
2.	IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3.	SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4.	FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
5.	STATE OF TN 401(K)									
6.	- REGIONS BANK	A	Interest	J	T					
7.	- FIDELITY PUTNAM FUND	A	Dividend	J	T					
8.	IRA ROLLOVER RETIREMENT PLAN									
9.	- AMERICAN FUNDS: INVESTMENT FUND OF AMERICA	A	Dividend	J	T					
10.	- HARTFORD MUTUAL FDS: HARTFORD CAPITAL APPRECIATION FUND	A	Dividend	J	T					
11.	- FRANKLIN FDS: FRANKLIN TEMPLETON MUTUAL DISCOVERY FUND	A	Dividend	J	T					
12.	- HENDERSON INTERNATIONAL OPPORTUNITIES FUND	A	Dividend	J	T					
13.	- EATON VANCE FDS: GREATER INDIA FUND	A	Dividend	J	T					
14.	- AMERICAN FUND: CAPITAL WORLD GROWTH & INCOME FUND	A	Dividend	J	T					
15.	- IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					
16.	- VIRTUS MUTUAL FDS: ALTERNATIVES DIVERSIFIER FUND	A	Dividend	J	T					

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. - ING FDS: GROWTH & INCOME FUND	A	Dividend	J	T	Sold (part)	11/25/11	J	A	
18. - PIONEER FUND: PIONEER FUND CLASS A M/F	A	Dividend	J	T					
19. - CONVERGYS CORP - STOCK		None	J	T					
20. - SUNAMERICA 2015 HIGH WATERMARK FUND		None			Sold (part)	4/25/11	J	A	
21.					Sold	9/27/11	J	A	
22. - REGIONS FDIC CASH ACCOUNT	A	Interest	J	T					
23. - CITIGROUP INC-STOCK	A	Dividend	J	T					
24. - FEDERATED MDT SMALL CAP VALUE FUND A		None	J	T	Sold (part)	1/28/11	J	A	
25.					Sold (part)	10/18/11	J	A	
26. - PIONEER CULLEN VALUE FUND A	A	Dividend	J	T	Sold (part)	6/13/11	J	A	
27.					Sold (part)	10/14/11	J	A	
28.					Sold (part)	11/7/11	J	A	
29. - ING EMERGING COUNTRIES FUND CL A	A	Dividend	J	T					
30 - E HOUSE CHINA HOLDINGS-STOCK	A	Dividend	J	T					
31. - FIRST TRUST HIGH INCOME LONG/ SHORT FUND	A	Dividend	J	T	Sold (part)	1/20/11	J	A	
32. - BANK OF AMERICA		None			Sold (part)	1/11/11	J	A	
33					Sold (part)	2/8/11	J	A	

1 Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3 Value Method Codes
(See Column C2)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	34.					Sold	2/22/11	J	A
35. - RS GLOBAL NATURAL RESOURCES	A	Dividend	J	T					
36. - ORACLE SYSTEMS	A	Dividend			Sold	4/5/11	J	A	
37. - EPOCRATES		None	J	T	Buy	11/25/11	J		
38. - FORD MOTOR CO		None	J	T	Buy	1/28/11	J		
39.					Buy (add'l)	3/14/11	J		
40. - RARE ELEMENT RES		None	J	T	Buy	4/15/11	J		
41.					Buy (add'l)	4/25/11	J		
42.					Buy (add'l)	5/4/11	J		
43.					Sold (part)	11/7/11	J	A	
44. - HIGHLAND EQUITY OPPORTUNITIES		None	J	T	Buy	12/23/11	J		
45. - CISCO SYSTEMS	A	Dividend			Buy	1/20/11	J		
46.					Buy (add'l)	8/25/11	J		
47.					Buy (add'l)	9/6/11	J		
48					Sold (part)	10/28/11	J	A	
49.					Sold (part)	11/2/11	J	A	
50.					Sold	11/8/11	J	A	

1 Income Gain Codes
(See Columns B1 and D4)

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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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51. - BEACON ROOFING SUPPLY		None			Buy	4/6/11	J		
52.					Sold	7/21/11	J	A	
53. - RF MICRO DEVICES		None			Buy	6/13/11	J		
54.					Sold	8/3/11	J	A	
55.					Buy	8/25/11	J		
56.					Sold	8/31/11	J	A	
57 - FINISAR CORPORATION		None			Buy	8/4/11	J		
58					Buy (add'l)	8/5/11	J		
59.					Sold (part)	8/12/11	J	A	
60.					Sold	8/23/11	J	A	
61.					Buy	10/10/11	J		
62.					Buy (add'l)	10/14/11	J		
63.					Sold (part)	10/27/11	J	A	
64.					Sold	10/28/11	J	A	
65. - RESEARCH IN MOTION		None	J	T	Buy	10/17/11	J		
66.					Buy (add'l)	10/31/11	J		
67					Buy (add'l)	11/7/11	J		

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(See Columns B1 and D4)

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O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3 Value Method Codes
(See Column C2)

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	68.					Buy (add'l)	11/14/11	J	
69.					Sold (part)	12/19/11	J	A	
70. - MARVELL TECHNOLOGY GROUP		None			Buy	11/2/11	J		
71.					Buy (add'l)	11/3/11	J		
72.					Sold (part)	11/11/11	J	A	
73.					Sold	12/7/11	J	A	
74. AXA EQUITABLE LIFE INS CO	A	Interest	J	T					
75. AXA EQUITABLE LIFE INS CO	A	Interest	K	T					
76.									
77.									
78.									
79.									
80.									
81.									
82.									

1 Income Gain Codes (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JULIA S. GIBBONS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544