AO 10 Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Goldsmith, Mark A.	U.S. District Court for the Eastern District of Michigan	05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

U.S. Courthouse
600 Church Street
Flint MI 48502

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member of executive board of directors	Wayne State University Center for the Study of Citizenship
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2004	Agreement with Honigman Miller Schwartz and Cohn (former firm) re separation from firm
2	2004	Honigman Miller Schwartz and Cohn Income Deferral and Profit Sharing Plan (401K Plan)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2011	Honigman Miller Schwartz and Cohn separation agreement	$53,855.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2011	self-employed social worker
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Goldsmith, Mark A.	05/10/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Ally	Car lease	J
2.			
3.			
4.			
5.			

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Goldsmith, Mark A.	05/10/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco Charter fka AIM Charter (IRA-Brok Acct #1)	A	Dividend	L	T					
2. Calamos Growth (IRA-Brok Acct #1)	B	Dividend	K	T					
3. Fidelity Contra (IRA-Brok Acct #2)	A	Dividend	L	T					
4. Fidelity Int'l Discovery (IRA-Brok Acct #2)	A	Dividend	K	T					
5. Fidelity Int'l Small Cap (IRA-Brok Acct #2)	B	Dividend	K	T					
6. Fidelity Cash Reserves (IRA-Brok Acct #2)	A	Dividend	J	T					
7. TRowePrice Growth (401K-Brok Acct #3)	B	Dividend	N	T					
8. Invesco Van Kampen Growth Income (401K-Brok Acct #3)	C	Dividend	N	T					
9. JP Morgan Small Cap Value Select (401K-Brok Acct #3)	B	Dividend	M	T					
10. Vanguard Mid-Cap Index (401K-Brok Acct #3)	B	Dividend	M	T					
11. SsgA Russell 2000 Index (St of Mich 401K)		None	M	T					
12. Pacific One Variable Annuity		None	K	T					
13. TRowePrice Blue Chip Growth	A	Dividend	K	T					
14. Barron Asset (Brok Acct #4)	D	Dividend	L	T	Sold (part)	11/07/11	J	B	
15.					Sold (part)	12/20/11	J		
16. Janus 20 (Brok Acct #4)	D	Distribution	K	T					
17. Managers Fremont Microcap (Brok Acct #4)	D	Distribution	M	T					

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Vanguard 500 Index	B	Dividend	L	T					
19. Fidelity Muni Money (UGMA-Brok Acct #5)	A	Dividend	J	T					
20. Ishares TR S&P 500 Index (UGMA -Brok Acct #5)	A	Dividend	J	T					
21. TIAACREF 100% Equity (UGMA 529)		None	L	T					
22. Ann Taylor Stores Corp Common Stock		None			Sold	11/21/11	J	A	
23. Fidelity Cash Reserves (IRA-Brok Acct #6)	A	Dividend	J	T					
24. ING Life insurance (surrender value)	A	Interest	J	T					
25. Bank of America account	A	Interest	J	T					
26.									
27.									

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII lines 7-10 are the assets in the Honigman Miller Schwartz and Cohn Income Deferral and Profit Sharing Plan.

The reference in Part VII line 24 to "ING life insurance policy" is the same policy identified In the 2010 Report, Part VII line 25.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ Mark A. Goldsmith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544