AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gorton, Nathaniel M.	District Court - Massachusetts	05/08/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
U. S. District Court - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

United States District Court
1 Courthouse Way, Suite 3110
Boston, MA 02210

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Stockholder, Clerk/Secretary and Director	SG Seafood Holdings, Inc., Boston, MA (closely held [redacted] seafood business) a MA corporation.
2.	Member of the Corporation	The New England Home for Little Wanderers, Boston, MA (non-profit, private child-welfare agency)
3.	Power of Attorney/Trustee (see NOTE in Part VIII)	Fidelity money market accounts (for all stockholders of SG Seafood Holdings Inc., [redacted],
4.		[redacted]
5.	Trustee	Irrevocable Trust (created in connection with the estate plan [redacted]
6.	Trustee	1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan [redacted]

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Gorton, Nathaniel M.	05/08/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. August 19	SG Seafood Holdings, Inc. (Closely held seafood business) corporate clerk/secretary, director, custodian, trustee and accounting duties	$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association				reimbursement for lodging and travel by train w/spouse from Boston to NYC to attend annual N.Y.I.P.L.A. dinner 3/24/11 at Waldorf Astoria
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gorton, Nathaniel M.	05/08/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	SG Seafood Holdings, Inc. (a MA corporation) (common stock)	G		P1	Q					See Section VIII
2.	Fidelity Cash Reserves (individual)	A	Dividend	K	T					
3.	Fidelity Money Market Accounts (POA,trustee)(Att#1,¶4)	A	Dividend	N	T					
4.	Charitable Remainder Trust	E	Int./Div.							See Section VIII
5.	Ross Stores, Inc.					Buy	01/06/11	J		
6.	Target Corp.					Sold	01/06/11	J	A	
7.	Costco Whse Corp					Sold	04/12/11	J	B	
8.	Exxon Mobil Corporation					Sold (part)	04/12/11	J	B	
9.	Market Vectors Gold Miners					Buy	05/23/11	J		
10.	Costco Whse Corp					Sold	05/19/11	J	B	
11.	SPDR Gold Trust					Sold	05/23/11	J	C	
12.	AT&T					Sold	05/26/11	J	A	
13.	Automatic Data Processing					Sold	06/08/11	J	D	
14.	Cisco Corp.					Sold	08/18/11	J		
15.	Market Vectors Gold Miners					Buy (add'l)	08/18/11	J		
16.	Netapp, Inc.					Buy	08/18/11	J		
17.	HSBC Holdings PLC ADR					Buy	11/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U = Book Value	V = Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Public Storage, Inc.					Buy	11/11/11	J		
19. Boston Financial Management, Inc. IRA	F	Distribution	P1	T					See Section VIII
20. Vanguard FTSE All World FX US Index					Buy	01/20/11	K		
21. Ishares TR EAFE INDX					Sold	01/20/11	K		
22. Exxon Mobil Corporation					Sold	04/12/11	J	C	
23. Lazard Ltd					Buy	05/10/11	J		
24. Public Storage Q 6.50%-CL					Buy	05/10/11	J		
25. Total S A ADR					Buy	05/10/11	J		
26. Healthcare REIT Inc.					Buy	05/19/11	J		
27. NETAPP Inc.					Buy	05/19/11	J		
28. Citrix Sys Inc.					Sold	05/19/11	J	C	
29. Novartis Capital Corp.					Sold	05/19/11	K		
30. Wells Fargo Cap					Sold	05/19/11	J	A	
31. Market Vectors Gold Miners					Buy	05/23/11	L		
32. SPDR Gold Trust					Sold	05/23/11	L	E	
33. AT&T					Sold	05/26/11	J	A	
34. Diageo PLC ADR					Buy	07/01/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Anadarko Pete Corp					Sold	07/01/11	J	D	
36. Citrix Sys Inc./					Sold	07/01/11	J	C	
37. HSBC Holdings					Buy	07/07/11	K		
38. Financial Select Sector SPDR					Sold	07/07/11	K	D	
39. HSBC Holdings					Buy	08/09/11	J		
40. Gulf Power					Buy	08/18/11	J		
41. Market Vectors Gold Miners					Buy	08/18/11	J		
42. NETAPP Inc					Buy	08/18/11	J		
43. Cisco Corp.					Sold	08/18/11	J		
44. Market Vectors Gold Miners					Buy	09/01/11	J		
45. United Parcel SVC Inc. CL B					Buy	09/01/11	J		
46. Fedex Corp					Sold	09/01/11	K		
47. HSBC Holdings PLC ADR					Buy	09/09/11	J		
48. Lazard Ltd TB-A					Sold	09/09/11	J		
49. Vanguard FTSE All World EX-US Index					Sold	10/25/11	K		
50. Matthews Asia Small Cos					Buy	10/26/11	K		
51. Federal Home Ln Bks					Sold	12/16/11	K		

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52. Boston Financial Management, Inc. revocable trust	B	Int./Div.	M	T					See Section VIII
53. Anheuser Busch Corp.					Buy	03/29/11	J		
54. Cisco Corp.					Buy	03/29/11	J		
55. Diageo PLC ADR					Buy	03/29/11	J		
56. HCP, Inc.					Buy	03/29/11	J		
57. IShares INC MSCI PAC J IDX					Buy	03/29/11	J		
58. IShares TR MSCI EMERG MKT					Buy	03/29/11	J		
59. McCormick & Co., Inc.					Buy	03/29/11	J		
60. Northern Trust Corp.					Sold	04/04/11	J		
61. Public Storage Corp.					Buy	05/10/11	J		
62. Total AL S A ADR					Buy	05/10/11	J		
63. Health Care REIT Inc..					Buy	05/11/11	J		
64. Intel Corp.					Buy	08/11/11	J		
65. Western Union Co.					Buy	08/11/11	J		
66. Cisco Corp.					Sold	08/11/11	J		
67. PowerShares Global Water Portfolio					Sold	09/23/11	J		
68. Boston Financial Mangement, Inc. revocable trust	B	Int./Div.	K	T					See Section VIII

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69. Costco Wholesale Corp					Sold	01/21/11	J	B	
70. Nextera Energy, Inc.					Buy	03/24/11	J		
71. Exelon Corp.					Sold	03/24/11	J		
72. Total S A ADR					Buy	05/10/11	J		
73. HSBC Holdings					Buy	07/07/11	J		
74. Financial Select Sector SPDR					Sold	07/07/11	J	A	
75. Bank of America	A	Interest	J	T					
76.									
77.									
78.									
79.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 5 - NOTE: None of the beneficiaries of the following trusts and accounts of which the reporting party is a trustee (or holds a power of attorney) is a dependent of the reporting party and neither the reporting party nor his spouse has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

Part VII, Line 1 - Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of SG Seafood Holdings, Inc. (" Holdings") which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised biennially by a certified financial analyst.

Part VII, Line 4 - in 1997 [redacted] funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Part VII, Lines 4, 19, 52 and 68 - The assets listed on these lines (and the transactions noted in the lines that follow) are managed by Boston Financial Management, Inc., Boston, MA and consist of stocks and bonds in publicly held companies and equity holdings based on indices listed in Attachment #2.

Part VII, Line 19 - The reporting party is now required to withdraw a certain minimum amount from his IRA account each year.

Name of Person Reporting	Date of Report
Gorton, Nathaniel M.	05/08/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nathaniel M. Gorton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544