

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

<b>1. Person Reporting (last name, first, middle initial)</b>  Graber, Susan P.	<b>2. Court or Organization</b>  U.S. Court of Appeals 9th Circuit	<b>3. Date of Report</b>  05/05/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. Circuit Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  The Pioneer Courthouse 700 SW 6th Ave Portland OR 97204	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1988	Oregon Public Employee's Retirement Systems (PERS) with former employer when I was a state court judge. (Statutorily defined benefits, no control)
2.	_____	_____
3.	_____	_____

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 8

Name of Person Reporting

Graber, Susan P.

Date of Report

05/05/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Portland General (retirement income)
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Washington Univ. Law School	1/27-29/2010	Washington DC	Van Vleck Moot Court Finals	Travel, food and lodging
2.	University of Texas	3/4-5/2010	Austin, TX	Univ. of Texas Judicial Clerk Workshop	Travel, food and lodging
3.	National Association of Attorneys General	6/17-18/2010	Washington DC	2010 State Solicitors & Appellate Chiefs Conference	Travel, food and lodging
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 8

Name of Person Reporting

Graber, Susan P.

Date of Report

05/05/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

**FINANCIAL DISCLOSURE REPORT**

Page 4 of 8

Name of Person Reporting <b>Graber, Susan P.</b>	Date of Report <b>05/05/2011</b>
---	-------------------------------------

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Charles Schwab Cash Reserves #1	A	Dividend	J	T					
2.	Charles Schwab Cash Reserves #2	A	Dividend	J	T					
3.	Charles Schwab Cash Reserves #3	A	Dividend	J	T					
4.	Oregon Savings Growth Plan		None	K	T					
5.	PERS account (see descript. in Part II (1))		None	N	W					
6.	██████ Farm LLC - appraisal dated 5/28/98	D	Distribution	M	Q					
7.	IRA #1 reported in the aggregate	E	Dividend							
8.	--Vanguard Short-Term Bond Index Fund			M	T					
9.	--Vanguard 500 Index Fund			L	T					
10.	--Vanguard Mid-Cap Index Fund			L	T					
11.	--Vanguard Total Stock Market Fund			N	T					
12.	--Vanguard GNMA Fund			M	T					
13.	--Janus Overseas Fund			L	T					
14.	IRA # 2 reported in the aggregate	E	Dividend							
15.	--Vanguard Short-Term Bond Index Fund			M	T					
16.	--Enron Common Stock			J	T					
17.	--Schwab US Treasury Money Market Fund					Sold (part)	03/09/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT**  
Page 5 of 8

Name of Person Reporting <b>Graber, Susan P.</b>	Date of Report <b>05/05/2011</b>
---	-------------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. --Schwab US Treasury Money Market Fund					Sold (part)	04/13/10	J		
19. --Schwab US Treasury Money Market Fund					Buy (add'l)	08/13/10	J		
20. --Schwab US Treasury Money Market Fund					Sold (part)	08/16/10	K		
21. --Schwab US Treasury Money Market Fund					Buy (add'l)	11/13/10	K		
22. --Schwab US Treasury Money Market Fund					Buy (add'l)	11/19/10	M		
23. --Schwab US Treasury Money Market Fund					Sold (part)	12/13/10	K		
24. --Schwab US Treasury Money Market Fund			K	T	Sold (part)	12/30/10	K		
25. --Vanguard GNMA fund			N	T					
26. --Vanguard Inflation Protected Securities			L	T					
27. --Vanguard Short Term Federal Fund					Sold	11/17/10	M		
28. --Cohen and Steers Realty Fund			L	T					
29. --Janus Overseas fund			L	T					
30. --Vanguard International Explorer Fund			L	T					
31. --Vanguard Prime Cap Core Fund			M	T					
32. --Vanguard Total Stock Market Index Fund			M	T	Sold (part)	08/09/10	J		
33. --Vanguard Small Cap Fund			K	T	Buy	12/27/10	K		
34. IRA # 3--Fidelity Spartan International Index Fund	A	Dividend			Sold	10/12/10	K		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000 P = Assessment W = Estimated  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Graber, Susan P.

Date of Report

05/05/2011

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
35. --Fidelity Spartan International Index Fund					Merged (with line 14)	10/13/10	K			
36.										

- |  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

**FINANCIAL DISCLOSURE REPORT**

Page 7 of 8

<b>Name of Person Reporting</b> Graber, Susan P.	<b>Date of Report</b> 05/05/2011
---	-------------------------------------

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, lines 1-3: The Charles Schwab Cash Reserve funds are cash-equivalent funds. Each account is listed separately.

Part VII - IRA accounts, lines 7,14, and 34. Gains and losses are not reported to us in detail in these accounts.

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 8

<b>Name of Person Reporting</b> Graber, Susan P.	<b>Date of Report</b> 05/05/2011
---	-------------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan P. Graber**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
--