

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2007**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Gregory, Roger L	<b>2. Court or Organization</b>  US Court of Appeals - 4th Cir.	<b>3. Date of Report</b>  05/14/2008
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Article III Judge - Active	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2007 to 12/31/2007
<b>7. Chambers or Office Address</b>  1000 East Main Street Suite 212 Richmond, VA 23219-3517	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Directors	Christian Children's Fund
2.	Board of Directors	Virginia Historic Society
3.		
4.		
5.		

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01/2007	Philip Morris, Incorporated
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Virginia Historical Society Board Retreat	March 21-22, 2007	Richmond, VA	Civic Org. Activity	Travel, Lodging and Meals
2.	Charles Hamilton Houston Institute for Race and Justice - Harvard Law School	April 5-7, 2007	Boston, MA	Dred Scott Conference	Travel, Lodging, Meals and Parking
3.	Regent University Law School - Liberty Under Law	April 12-13, 2007	Virginia Beach, VA	Symposium Presentation	Travel/Mileage, Lodging and Meals
4.	ABA Standing Committee on Gavel Awards Final Judging Meeting	May 11-12, 2007	Chicago, IL	ABA Meeting	Travel, Lodging, Meals and Parking

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5.	New York Law School, 31st Ann. Charles W. Froessel Intramural Moot Court Competitions	September 9, 2007	New York, NY	Moot Court Competition	Travel, Lodging, Meals and Parking
6.	Legal Aid Society of Eastern Virginia	October 12, 2007	Norfolk, VA	Keynote Speaker	Meal and Parking
7.	Omega Psi Phi Achievement Week	November 8-9, 2007	Chattanooga, TN	Keynote Speaker	Travel, Lodging and Meals
8.					
9.					
10.					
11.					
12.					
13.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank Mastercard	Credit Card	J
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Interest Checking	A	Interest	J	T					
2. Prudential Insurance Annuity	B	Dividend	K	T					
3. Philip Morris Profit Sharing Plan (401K)	E	Dividend	N	T					
4. ManuLife Financial - Common Stock	B	Dividend	L	T					
5. New York Life IRA - Fixed Annuity	A	Interest	J	T					
6. IDS Life Insurance Company IRA Fixed	A	Interest	K	T					
7. Consolidated Bank & Trust	A	Interest	K	T					
8. John Hancock Life Ins. (term/whole life ins. policy)		None	K	T					
9. New York Life Insurance (term/whole life ins. policy)		None	J	T					
10. Intel Corp. - Common Stock	A	Dividend	J	T					
11. Call Federal Credit Union	A	Interest	J	T					
12. SuperValu, Inc. - Common Stock		None			Options/Sold	05/07	M	E	See Explanation
13. Kraft Foods, Inc. - Common Stock	A	Dividend	J	T	Stock Issued	3/20	J	A	See Explanation
14. Metropolitan Life Ins. Co. (whole life policy)		None	K	T					See Explanation
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

## VII. Investments and Trusts

Item #12 - SuperValu, Inc. Common Stock: The 1997 and 1998 stock options were exercised and sold on the same date, 05/07/07. The gain reported is based on the difference between the sale price of the stock and the option price. No SuperValu stock was owned prior to this transaction

Item #13 - Kraft Foods, Inc. Common Stock: When Philip Morris, USA spun-off Kraft Foods, Inc., [REDACTED] as an employee, received a very small amount of Kraft Foods, Inc. stock.

Item #14 - Met Life Ins. Co. Whole Life Policy: [REDACTED] whole life insurance policy issued by Met Life Ins. Co. was inadvertently omitted from previous filings. I apologize for this mistake.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_

A large black rectangular redaction box covers the signature area.

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544