AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gritzner, James E.	U.S. District Court SDIA	05/13/2011

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Active	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2010 to 12/31/2010

7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.
U.S. Courthouse 123 East Walnut Street Des Moines, Iowa 50309	Reviewing Officer______________________ Date__________

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Dakota Wesleyan University
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Gritzner, James E.	05/13/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Gritzner, James E.	05/13/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gritzner, James E.	05/13/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Acct. #1									
2. -USB Cash Reserves Fund	A	Dividend	J	T					
3. -Columbia Acorn Tr. Fd. A	B	Dividend	K	T					
4. -Euro Pac Growth Fd. Cl. F	A	Dividend	J	T					
5. -1st Eagle Global Fd	A	Dividend	J	T					
6. -Ivy Asset Strategy	A	Dividend	K	T	Buy	01/07/10	J		
7. -Goldman Sachs Fltg Rate	A	Dividend	J	T	Buy	01/07/10	J		
8. -JP Morgan Strategic Inc Opptys	A	Dividend	K	T	Buy	01/07/10	K		
9. -Templeton Global Total Return	A	Dividend	K	T	Buy	01/07/10	K		
10. IRA #1	D	Dividend	O	T					
11. -USB Liquid Assets Fd									
12. -Alliance Bernstein Sm/Md Value									
13. -American Funds Capital World Growth & Inc.									
14. -American Funds Euro Pac Growth Fd Cl F									
15. -Fidelity New Insights Fd A									
16. -Fidelity Adv Midcap									
17. -1st Eagle Global Fd									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gritzner, James E.	05/13/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Franklin Templeton Mut Ser Fd Cl A					Sold	08/02/10	J		
19. -Franklin Value Invs Tr									
20. -MFS Ser Tr Ix Research A					Sold	11/01/10	J		
21. -Black Rock Global Allocation Fd									
22. -Fidelity Strategic Inc									
23. -Loomis Sayles Bond									
24. -TCW Galileo Total Return Bond									
25. Brokerage Acct. #2	C	Dividend	O	T					
26. -USB Bank Dep Acct	A	Dividend	J	T					
27. -GE Money Bank CD	A	Interest		T					
28. -Compass Bank CD	B	Interest		T					
29. -Goldman Sachs CD	B	Interest		T					
30. -Capital One CD	B	Interest		T					
31. -Legg Mason Short Duration Muni Income Fd	A	Dividend			Sold	03/08/10	L	C	
32. -Legg Mason Intermediate Term Fd	A	Dividend			Sold	03/08/10	K	C	
33. -AIM Real Est Inc FD	A	Dividend			Buy	03/12/10	J		
34.					Sold	08/24/10	J	A	

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
Page 6 of 10	Gritzner, James E.	05/13/2011

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Blackrock Global Allocation FD	A	Dividend	J	T	Buy	03/12/10	J		
36. -Blackrock US Opptys		None			Buy	03/12/10	J		
37.					Sold	06/18/10	J	A	
38. -Columbia Short Term Muni Bond FD	A	Dividend			Buy	03/12/10	J		
39.					Sold	04/22/10	J		
40. -Franklin Fed Tax Free Inc FD	A	Dividend			Buy	03/12/10	J		
41.					Buy	04/22/10	J		
42.					Sold	09/23/10	J	A	
43. -Goldman Sachs Fltg Rate	A	Dividend			Buy	03/12/10	J		
44.					Sold	04/22/10	J		
45. -Ivy Asset Strategy FD	A	Dividend	K	T	Buy	03/12/10	J		
46.					Buy	12/21/10	J		
47. -JP Morgan Chase CD	A	Dividend	J	T	Buy	03/12/10	J		
48. -JPMorgan Strategic Inc Opptys FD	A	Dividend			Buy	03/12/10	J		
49.					Sold (part)	05/25/10	J		
50.					Sold	08/06/10	J	A	
51. -Legg Mason Western Asset Muni FD	A	Dividend	K	T	Buy	03/12/10	J		

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52.					Buy	04/22/10	J		
53. -Merrill Lynch Pref.	A	Dividend	J	T	Buy	04/24/10	J		
54. -MFS Emerging Mkts Bond	A	Dividend	J	T	Buy	03/12/10	J		
55. -Morgan Stanley CD	A	Dividend	J	T	Buy	08/02/10	J		
56. -Oppenheimer Dev. Mkts	A	Dividend	J	T	Buy	03/12/10	J		
57. -Permanent Portfolio FD	A	Dividend	J	T	Buy	05/21/10	J		
58. -PIMCO Unconstrained Bond FD	A	Dividend	J	T	Buy	03/12/10	J		
59.					Buy	10/21/10	J		
60. -PIMCO Total Return	A	Dividend	J	T	Buy	06/18/10	J		
61. -TCW Total Return Bond	A	Dividend			Buy	08/04/10	J		
62.					Buy	09/21/10	J		
63.					Sold	12/17/10	J		
64. -Templeton Global Total Return	A	Dividend	J	T	Buy	03/12/10	J		
65.					Buy	04/22/10	J		
66. -Thornburg Inc Bldr.	A	Dividend			Buy	03/12/10	J		
67.					Sold	05/14/10	J		
68. -Tortoise MLP FD	A	Dividend	J	T	Buy	08/02/10	J		

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69. -US Bank CD	A	Dividend			Buy	03/12/10	J		
70.					Sold	09/03/10	J	A	
71. -Wells Fargo CD	A	Dividend			Buy	03/12/10	J		
72.					Sold	04/22/10	J		
73. West Des Moines State Bank	A	Interest	K	T					

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Gritzner, James E.	05/13/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: IRA#2 and IRA#3 on the prior report were managed accounts which were liquidated in 2009 and the broker journaled all the proceeds into IRA#1.

Name of Person Reporting	Date of Report
Gritzner, James E.	05/13/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James E. Gritzner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544