

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hochberg, Faith S.	2. Court or Organization District of New Jersey	3. Date of Report 06/30/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Post Office and Courthouse Federal Square Newark, N.J. 07101-0999	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. VP and Secretary	Hochberg Foundation, Inc.
2. Board of Trustees	Albert and Mary Lasker Foundation
3. Board of Trustees	New Jersey Women's Forum
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME <i>(yours, not spouse's)</i>
1. 12/31/2009	Artist - self employed	\$8,571.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 12/31/2009	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Sodoma Legal Institute Conference	October 21-23, 2009	Sodoma, AZ	Guest pamphlet on patent law	Transportation, lodging and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BBH		None	<input checked="" type="checkbox"/>	T	Sold (part)	12/14/09	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
2. BRK A	<input checked="" type="checkbox"/>	Dividend	<input checked="" type="checkbox"/>	T					
3. EWZ		None	<input checked="" type="checkbox"/>	T	Buy	10/14/09	<input checked="" type="checkbox"/>		
4. FXI		None	<input checked="" type="checkbox"/>	T					
5. IWN		None	<input checked="" type="checkbox"/>	T					
6. OAKGX		None	<input checked="" type="checkbox"/>	T					
7. NASDAQ 100 (QQQQ)		None	<input checked="" type="checkbox"/>	T					
8. OMEGA FUND		None	<input checked="" type="checkbox"/>	T					
9. S&P 500 Fund	<input checked="" type="checkbox"/>	Dividend	<input checked="" type="checkbox"/>	T					
10. SIGA		None	<input checked="" type="checkbox"/>	T					
11. Vanguard Emerging Markets Fund		None	<input checked="" type="checkbox"/>	T					
12. U.S. Treasury Obligations	<input checked="" type="checkbox"/>	Interest	<input checked="" type="checkbox"/>	T					
13. Pine Street Partners Limited Partnership	<input checked="" type="checkbox"/>	Distribution	<input checked="" type="checkbox"/>	T					
14. Tower Associates Limited Partnership		None	<input checked="" type="checkbox"/>	U					
15. Goldman Sachs Real Estate Trusts	<input checked="" type="checkbox"/>	Distribution	<input checked="" type="checkbox"/>	T					
16. United States Treasury Obligations	<input checked="" type="checkbox"/>	Interest	<input checked="" type="checkbox"/>	T	Buy	07/28/09	<input checked="" type="checkbox"/>		
17. U.S. Treasury Money Market Funds	<input checked="" type="checkbox"/>	Interest	<input checked="" type="checkbox"/>	T					

1. Income Gain Codes: A = \$1,000 or less
(See Columns B1 and D4) F = \$50,001 - \$100,000
2. Value Codes: J = \$15,000 or less
(See Columns C1 and D3) N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes: Q = Appraisal
(See Column C2) U = Book Value
B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TIAA-CREF	<input type="checkbox"/>	Distribution	<input type="checkbox"/>	T					
19. Merrill Lynch Bond Fund	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
20. Passaic Valley Sewer Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
21. Delaware/NJ Port Auth Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	03/18/09	<input type="checkbox"/>		
22. NJ Pension Fund	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	01/12/09	<input type="checkbox"/>		
23. PittsburghPA Stadium Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
24. North NJ Water Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
25. GloucesterCtyNJ Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
26. Atlantic Co., NJ Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
27. E. Orange NJ Bd Ed	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
28. Mercer County, NJ Muni Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
29. NJ Health Care Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
30. PlainfieldNJ Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
31. NJ Econ Development Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	06/05/09	<input type="checkbox"/>		
32. NJ Educational Dev Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
33. Fulco, GA Hosp Facilities Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
34. NJ Educ Facilities Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Montgomery MD Housing Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
36. Puerto Rico Bldg Auth Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
37. NJ Highway (Trpk) Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
38. ClaytonBoroNJ Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	04/02/09	<input type="checkbox"/>		
39. NorthNJWater Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	08/28/09	<input type="checkbox"/>		
40. Neptune, NJ Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	06/08/09	<input type="checkbox"/>		
41. NJ Healthcare Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
42. Lower Colorado River Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	07/15/09	<input type="checkbox"/>		
43. NJ Healthcare Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	08/14/09	<input type="checkbox"/>		
44. NJ Transit Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
45. Puerto Rico Bldg Auth Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
46. Southern NJ Transit Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T	Buy	09/09/09	<input type="checkbox"/>		
47. Arlington County Bonds	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
48. NJ Muni Bond Fund	<input type="checkbox"/>	Interest	<input type="checkbox"/>	T					
49. NJ Hsg Fin Auth Bond	<input type="checkbox"/>	Interest			Sold	02/13/09	<input type="checkbox"/>	<input type="checkbox"/>	
50. Virgin Islands Bonds	<input type="checkbox"/>	Interest			Sold	03/05/09	<input type="checkbox"/>	<input type="checkbox"/>	
51. NJ Hsg Fin Auth Bond	<input type="checkbox"/>	Interest			Sold	04/07/09	<input type="checkbox"/>	<input type="checkbox"/>	

1. Income Gain Codes (See Columns B1 and D4)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)

A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P3 = \$25,000,001 - \$50,000,000
 Q = Appraisal
 U = Book Value

B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 I = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated

D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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	52. NJ Educational Dev Bonds	<input checked="" type="checkbox"/>	Interest			Sold	06/08/09	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
53. NJ Hsg Fin Auth Bonds	<input checked="" type="checkbox"/>	Interest			Sold	10/30/09	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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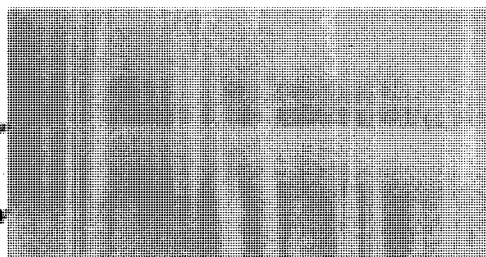
Date of Report
06/30/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES THIS REPORT IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

CT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544