

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

1. Person Reporting (last name, first, middle initial) Holwell, Richard J.	2. Court or Organization USDC/SDNY	3. Date of Report 07/21/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 500 Pearl St. Chambers 1950 New York, NY 10007-1312	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Van Duyn (SEE SECTION VIII, Paragraph 1)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 8/01/2000	Please see section VIII, paragraph 2.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2010	White & Case LLP (post retirement payments fixed at time of retirement on November 1, 2003.)	\$31,737.96
2. 2010	White & Cse LLP (Pension)	\$52,468.44
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Self-employed architect
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1)	(2)	(3)	(4)	(5)
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Van Duyn Assoc., NY, NY	E	Rent	P1	W					
2. Bell South Common Stock (certificate)	A	Dividend	J	T					
3. Brokerage Account(Schwab Reg) # 1									
4. Ford Motor Credit	A	Interest	M	T	Buy	07/14/10	L		
5. Tortoise Energy Infrastructres	A	Dividend	L	T	Buy	07/27/10	K		
6. Baird Core Plus	B	Interest	L	T	Buy	07/27/10	K		
7. PIMCO Mortgage Backed Sec	D	Interest	M	T	Buy	06/29/10	L		
8. NY City Muni Wtr Fin, 6/15/31	D	Interest			Matured	06/15/10	N	C	
9. NY City Muni Wtr Fin, 6/15/24	D	Interest	M	T					
10. NYS Dorm Revs St Supp, 2/15/16	C	Interest	M	T					
11. PIMCO Total Return	D	Interest	M	T	Buy	03/31/10	L		
12. Aston Lake Partners Fund	A	Dividend	L	T	Buy	12/16/10	K		
13. PIMCO Global Multi Asset	B	Int./Div.	L	T	Buy	12/16/10	K		
14. Schwab Money Market Fund	A	Int./Div.	J	T					
15. Transatlantic Holding, Inc. Bond	B	Interest			Sold	03/25/10	M	F	
16. Vanguard S-T Inv Grade	D	Interest	M	T					
17. SPDR S&P Div	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.	Baird Int Muni Bond Fund	A	Int./Div.	M	T	Redeemed (part)	01/06/10	J	A	
19.		D	Int./Div.			Redeemed (part)	12/16/10	M	A	
20.	Merrill Lynch IRA	A	Dividend	L	T					
21.	- ML Pacific Bond Fund									
22.	Brokerage Acct Schwab IRA #1	E	Int./Div.	PI	T					
23.	- Ishares MSCI Aus Idx Fd					Buy	03/17/10	K		
24.	- MS CAP TR III					Buy	04/13/10	L		
25.	- Buffalo Mid Cap					Buy	04/12/10	K		
26.	- Oakmark Equity Income					Buy	04/09/10	K		
27.	- PIMCO Total Return D (2009 -partial sale not full sale)									
28.	- PIMCO Total Return Inst									
29.	- Schwab Money Market Fund									
30.	- Dow Chem Bond									
31.	- Morgan Stanley Bond (2009 partial sale not full sale)									
32.	- Terex Corp Bond									
33.	- Baird I-T Bond Fund									
34.	- JP Morgan Emerg Mkt Debt									

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - JP Morgan Strategic Income									
36. - PIMCO Foreign Bond									
37. - JP Morgan Mortgage Backed Sec (2009 referenced as PIMCO)									
38. - Matthews India									
39. - Ishares TR S&P Mid Cap									
40. Brokerage Account Schwab IRA # 2	B	Int./Div.	M	T					
41. - Metro West Total Return									
42. - Oakmark Equity Income					Buy	01/08/10	J		
43. - TCW Total Return									
44. - JP Morgan Strategic Income									
45. - Permanent Portfolio (PRPFX)									
46. - Eaton Vance Global Macro									
47. Fidelity Annuity		None	J	T					
48. - Fidelity Money Market Fund					Sold (part)	02/19/10	L		
49.					Sold (part)	10/08/10	K		
50. White & Case SIP	E	Int./Div.	PI	T					
51. - Pimco Total Return					Redeemed (part)	01/08/10	M	B	

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
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52. - State Street Money Market Fund									
53. - Permanent Portfolio (PRPFX)					Buy	12/29/10	K		
54. - PIMCO Global Multi Asset					Buy	12/23/10	K		
55. - Vanguard Infl Protection (partial sale in 2009, not total)									
56. - TCW High Yield					Buy	12/29/10	K		
57. - ING Money Market Fund									
58. - Ishares Brazil									
59. - Sector SPDR Energy									
60. - TFS Market Neutral									
61. - PIMCO High Yield									
62. - PIMCO Mortgage Backed									
63. - SPDR Index SHS S&P China									
64. - Vanguard Short Term Inv Grade									
65. - Wintergreen (WGRNX)									
66. Schwab IRA # 3	A	Int./Div.	J	T					
67. - TCW Total Return									
68.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Regarding Section I:

Partner, Van Duyn Associates. Van Duyn Associates is a general partnership formed under New York law pursuant to a restated and amended agreement dated December 21, 1979. [redacted] own with [redacted] a 60% interest in the partnership which owns the building [redacted]

2. Regarding Section II:

White & Case Savings and Investment Plan ("SIP"). White & Case is my former law firm. The White & Case SIP was originally established in 1983. It is a qualified 401(K) plan. Subject to certain qualifications, all employees can participate in the plan and may remain in the plan after retirement. Participants are able to select their investments from among a limited list of mutual funds only. In addition, a brokerage account is also available to participants that allows for additional investments.

White & Case Retirement Income Plan ("RIP"). White Case is my former law firm. Tbe White & Case RIP is a defined benefit pension originally adopted in 1985 and is limited to certain partners and employees of the Firm. Tbe RIP provides upon retirement an annual benefit which is a percentage of the total compensation earned by a participant during membership in the plan. The contributions to RIP are actuarially determined and are paid by the Firm to a trust fund. I am fully vested in the plan. Participants have no control over the trust fund's investment.

White & Case Partnership Agreement. White & Case is my former law firm. Tbe amended and restated partnership agreement dated August 1, 2000 governs the operation of the Firm. The equity partners of the Firm are parties to the agreement. Upon ceasing to he an equity partner, such partner's capital account is fixed and distributed in monthly payments over a term of six years. All such payments were made by December 31,2009.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544