

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

<b>1. Person Reporting (last name, first, middle initial)</b>  Illston, Susan Y.	<b>2. Court or Organization</b>  U. S. District Court, N.D.Cal.	<b>3. Date of Report</b>  05/07/2011
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2010 to 12/31/2010
<b>7. Chambers or Office Address</b>  U.S. District Court 450 Golden Gate Avenue San Francisco, CA 94102	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Insurance Policies
2.	Custodian	Annuities
3.		
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**Illston, Susan Y.**

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Illston, Susan Y.

Date of Report

05/07/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Judges Association	5/22/10 - 5/23/10	Washington, D.C.	FJA Board Meeting	Hotel, Meals
2.	Sonoma County Bar Association	9/17/10-9/18/10	Santa Rosa, A	Bench/Bar Meeting	Hotel, Meals
3.	Federal Judicial Assistants Association	10/8/10-10/9/10	Boise, ID	Annual Seminar	Travel, Hotel, Meals
4.	White House Writers Group/Patent Law Policy Conference	10/21/10-1/24/10	Washington, D.C.	Patent Conference	Travel, Hotel, Meals
5.	Sedona Conference	10/27/10-10/29/10	Phoenix, AZ	Antitrust Conference	Travel, Hotel, Meals

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

Illston, Susan Y.

Date of Report

05/07/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Chase	Credit Cards	J
2. Citibank	Credit Cards	J
3. Schwab Bank	Credit Cards	J
4.		
5.		

# FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting <b>Illston, Susan Y.</b>	Date of Report <b>05/07/2011</b>
--	-------------------------------------

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Charles Schwab & Co. - Money Market Fund (Y)									
2.	Charles Schwab & Co. - GNMA Mortgage Pool (Y)									
3.	Alliant Credit Union (Y)									
4.	JP Morgan Chase (formerly Washington Mutual) (Y)									
5.	Citibank	A	Interest	M	T					
6.	Flight Deck Resources Common Stock	A	Dividend			Sold	12/31/10	J		
7.	Flight Deck Resources - Loan	A	Interest			Sold	12/31/10	J		
8.	RES Finance, Inc. Convertible Promissory Note	A	Interest			Sold	12/31/10	J		
9.	Mortgage, Sela Maka (Y)									
10.	Bank of America Savings Account	A	Interest	M	T					
11.	Bond Fund of America IRA (Y)									
12.	TIAA-CREF Retirement Fund (Y)									
13.	Northwestern Mutual Life Annuity	A	Interest	M	T					
14.	Northwestern Mut. Life Annuity MidCap Growth Stock Fund (Y)									
15.	Northwestern Mutual Life Children's Insurance		None	N	T					
16.	Northwestern Mutual Life Children's Annuities	A	Distribution	L	T					
17.	Northwestern Mutual Life Insurance Trust		None	PI	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000  
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000  
 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000  
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market  
 (See Column C2) U = Book Value V = Other W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 5 of 7

Name of Person Reporting  Illston, Susan Y.	Date of Report  05/07/2011
---	----------------------------------

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. -Trustee, [redacted] San Mateo, CA										
19. Provident Federal Credit Union (X)	A	Interest	O	T	Open	04/22/10	O			

- 1. Income Gain Codes:
  - A = \$1,000 or less
  - F = \$50,001 - \$100,000
  - J = \$15,000 or less
  - N = \$250,001 - \$500,000
  - P3 = \$25,000,001 - \$50,000,000
- 2. Value Codes
  - B = \$1,001 - \$2,500
  - G = \$100,001 - \$1,000,000
  - K = \$15,001 - \$50,000
  - O = \$500,001 - \$1,000,000
  - R = Cost (Real Estate Only)
  - V = Other
- 3. Value Method Codes
  - Q = Appraisal
  - U = Book Value
  - C = \$2,501 - \$5,000
  - H1 = \$1,000,001 - \$5,000,000
  - L = \$50,001 - \$100,000
  - P1 = \$1,000,001 - \$5,000,000
  - P4 = More than \$50,000,000
  - S = Assessment
  - W = Estimated
  - D = \$5,001 - \$15,000
  - H2 = More than \$5,000,000
  - M = \$100,001 - \$250,000
  - P2 = \$5,000,001 - \$25,000,000
  - T = Cash Market
- E = \$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

Name of Person Reporting

Illston, Susan Y.

Date of Report

05/07/2011

---

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

**FINANCIAL DISCLOSURE REPORT**

Page 7 of 7

Name of Person Reporting Illston, Susan Y.	Date of Report 05/07/2011
---	------------------------------

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan Y. Illston**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
--