AO 10 Rev. 1/2011	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010	Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, William P.	2. Court or Organization District Court - New Mexico	3. Date of Report 08/12/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U. S. District Court 333 Lomas Blvd., NW, #640 Albuquerque, NM 87102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer_______________ Date_______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Conservator	Estate #1
2.	Board Member, Vice President of Programs	Great Southwest Council, Boy Scouts of America
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2010	NM State Employees Pension Fund; pension at age 64; no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2010	UNM Law School - teaching	$2,656.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2010	State of New Mexico, retirement pension
2. 2010	Self employed consultant in the juvenile justice field
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase	credit card/unsecured loan	J
2.	Ed Financial	Student loan for [redacted] college	J
3.	NM Bank & Trust	unsecured line of credit	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Wells Fargo Bank (checking accounts)	D	Interest	J	T					
2.	Pershing, LLC, (IRA custodian)					Closed	07/12/10	L		
3.	NFS/FMTC, (IRA custodian					Open	07/12/10	L		
4.	--Prime Capital Reserves Fund (money market)	A	Dividend	J	T	Open	07/12/10	J		
5.	--Apache Corp com stock	A	Dividend	J	T					
6.	--Chevron Corp com stock	A	Dividend	J	T					
7.	--Coca Cola com stock	A	Dividend	J	T					
8.	--Exxonmobile com stock	A	Dividend	J	T					
9.	--Ford Motor Co. com stock		None	J	T					
10.	--Freeport McMoran Inc. com stock	A	Dividend	J	T					
11.	--Kinross Gold Corp com stock	A	Dividend			Sold	12/27/10	J	A	
12.	--Peabody Ener. com. stock	A	Dividend	J	T					
13.	--Pfizer Inc com stock	A	Dividend	J	T					
14.	--Proctor & Gamble c.stock	A	Dividend	J	T					
15.	--Proshares R Shrt Russell 2000		None	J	T					
16.	--Proshares Tr Short Dow 30 Proshares		None	J	T					
17.	--Proshares Tr Short S&P 500 Proshares					Sold	01/29/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Johnson, William P.	08/12/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.	--Streettracks Gold TR SHS (GLD)		None	J	T	Sold (part)	12/27/10	J	A	
19.	Custodial Account #1									
20.	--American Cent. Select Fund	A	Dividend	J	T					
21.	Estate #1 (Conservatorship)									
22.	--Bank of America (various accounts)	G	Interest	L	T					
23.	--Bank of America, certificates of deposit	E	Interest	L	T	Matured	02/10	K	A	
24.	--Rental Property #1, Albuquerque, NM	D	Rent	M	W					
25.	--Real Estate Contract	B	Distribution	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: Court appointed Conservator [redacted] No compensation.

Part VII. Investments and Trusts:

--Lines 2 and 3: In July 2010, the broker who manages the IRA account changed brokerage firms so effective 7/12/10, Pershing, LLC ceased to be IRA custodian and National Financial Services/Fidelity Management Trust Co. (NFS/FMTC) became the new IRA custodian. All assets in the IRA on 7/12/10 were transferred from Pershing, LLC to NFS/FMTC.

--Line 4: Prime Capital Reserves Fund is a money market fund where all cash proceeds within the IRA are held. This money market fund fluctuates based on income such as dividends from stocks in the IRA and asset purchases and asset sales in and out of the IRA account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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