AO 10 Rev 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C app §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Jordan, Kent A.	Third Circuit Court of Appeals	5/4/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

844 N. King Street
Unit 10
Wilmington, DE 19801

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member of Execuitve Committee	Richard S. Rodney Inn of Court
2.	Board Member	American Inns of Court
3.	Board Member	Ministry of Caring
4.	Board Member	Sacred Heart Village
5		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2/2011	Vanderbilt University - teaching	$8,955.00
2. 9/2011	University of Pennsylvania School of Law - teaching	$10,000.00
3. 9/2011	Vanderbilt University - teaching	$8,000 00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 7/11-12/11	Webster Dermatology - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children, see pp 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Washington University	1/18/11	Washington, DC	judging moot court competition	food and transportation
2.	Vanderbilt University	2/11-19/11	Nashville, TN	teaching	food, lodging and transportation
3.	Harvard University	3/10-11/11	Boston, MA	speaker at seminar	food, lodging and transportation
4	Pittsburgh Intellectual Property Law Assoc.	3/15-16/11	Pittsburgh, PA	speaker at seminar	food, lodging and transportation
5.	New York Intellectual Property Law Assn.	3/25-26/11	New York, NY	annual dinner	food, lodging and transportation

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6.	Intellectual Property Owners Association	5/21-26/11	Brussels	speaker at seminar	food, lodging and transportation
7.	Vanderbilt University	9/24-30/11	Nashville, TN	teaching	food, lodging and transportation
8.	The Sedona Conference	10/12-14/11	Del Mar, CA	speaker at seminar	food, lodging and transportation
9	American Intellectual Property Law Assn.	10/20/11	Washington, DC	speaker	food and transportation
10	American Inn of Court Foundation and Rodney Inn of Court	11/4-5/11	Washington, DC	Annual Board Meeting	food, lodging and transportation

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Jordan, Kent A.	5/4/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4			
5.			

Name of Person Reporting	Date of Report
Jordan, Kent A.	5/4/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g., div., rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab U.S. Treasury Money Fund	A	Dividend	L	T					
2. Gateway Index Plus Fund	A	Dividend	K	T	Sold (part)	8/9/11	J	A	
3.					Buy (add'l)	12/29/11	J		
4. Loomis Sayles Bond	A	Dividend	K	T	Sold (part)	9/23/11	J	A	
5					Buy (add'l)	10/24/11	J		
6					Sold (part)	11/21/11	J	A	
7.					Buy (add'l)	12/29/11	J		
8. Pioneer High Yield Fund Class A	A	Dividend			Sold (part)	8/4/11	J	A	
9.					Sold	8/8/11	J	A	
10. Pioneer Global High Yield A	A	Dividend			Sold (part)	8/9/11	J	A	
11.					Sold	8/24/11	J	A	
12. JPMorgan Core Plus Bond A	A	Dividend	K	T					
13. T Rowe Price Capital Appreciation		None			Sold (part)	8/8/11	J	A	
14.					Sold	8/18/11	J	A	
15. Rydex Technology		None			Buy	2/2/11	J		
16.					Sold (part)	3/10/11	J	A	
17					Sold (part)	6/10/11	J	A	

1 Income Gain Codes (See Columns B1 and D4)	A =$1 000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000 000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15 001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250 000	
	N =$250,001 - $500 000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000 000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Jordan, Kent A.	5/4/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	6/16/11	J	A	
19 Schwab Emerging Markets Equity	A	Dividend			Sold (part)	5/24/11	J		
20.					Sold	8/5/11	J		
21. Franklin Convertible Securities A	A	Dividend			Buy	2/8/11	K		
22.					Sold (part)	8/3/11	J	A	
23					Sold	8/8/11	J	A	
24. Rydex Inverse Emerging Markets 2X		None			Buy	3/11/11	J		
25					Sold	7/8/11	J		
26 DireXion HY Bear Fund		None			Buy	6/17/11	J		
27.					Buy (add'l)	8/2/11	J		
28					Sold	10/12/11	K		
29. ProFunds Short Real Estate		None			Buy	9/29/11	J		
30.					Sold	10/21/11	J		
31. DWS High-Yield Fund	A	Dividend	K	T	Buy	10/26/11	J		
32					Buy (add'l)	10/27/11	J		
33 Rydex Healthcare		None	K	T	Buy	11/3/11	J		
34					Buy (add'l)	11/11/11	J		

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35.					Sold (part)	11/22/11	J		
36.					Buy (add'l)	12/29/11	J		
37. Rydex Inverse High Yield Strategy H		None			Buy	11/17/11	J		
38.					Buy (add'l)	11/23/11	J		
39.					Sold (part)	11/28/11	J		
40.					Sold	12/2/11	J		
41. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
42. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
43. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
44.									
45.									
46.									
47.									

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kent A. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544