| AO 10<br>Rev. 1/2012 |
|---|

# FINANCIAL DISCLOSURE REPORT
# FOR CALENDAR YEAR 2011

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Kallon, Abdul K. | Northern District of Alabama | 09/17/2012 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Judge -- Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>5b. ☑ Amended Report | 01/01/2011<br>to<br>12/31/2011 |
| **7. Chambers or Office Address** | | |
| 419 Hugo Black United States Courthouse<br>1729 Fifth Avenue North<br>Birmingham, AL 35203 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director | Children's Village Board of Directors |
| 2. | Director | Sister Cities of Birmingham |
| 3. | Chair | Beta Kappa Boule Charitable Foundation |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

| DATE | PARTIES AND TERMS |
|---|---|
| 1. 1995 | Bradley Arant 401K, former law firm, managed by Charles Schwab, no control |
| 2. 2010 | Bradley Arant partnership capital return, which, consistent with the partnership agreement, the firm returned to me in January, 2012. |
| 3. | |

| Name of Person Reporting | Date of Report |
|---|---|
| Kallon, Abdul K. | 09/17/2012 |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2011 | Bradley Arant Boult Cummings -- interest on partnership capital contribution | $4,571.52 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| Kallon, Abdul K. | 09/17/2012 |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | Sallie Mae | Co-signer of student loans | L |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| Kallon, Abdul K. | 09/17/2012 |

## VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Regions Bank Account 1 | A | Interest | K | T | | | | | |
| 2. Regions Bank Account 2 | A | Interest | J | T | | | | | |
| 3. BB and T Bank Account | A | Interest | K | T | Open | 11/29/11 | K | | |
| 4. Synergy Real Estate -- Purchased August 1, 2008 for $56,000 | C | Distribution | L | R | | | | | |
| 5. Bradley Arant 401K | | | | | | | | | |
| 6. --Dodge & Cox Stock | | None | | | Closed | 09/29/11 | M | A | |
| 7. --Putnam Equity Income | | None | M | T | Buy | 09/29/11 | M | | |
| 8. --American Funds Growth Fund of America | | None | | | Closed | 09/29/11 | M | A | |
| 9. --MainStay Large Cap Growth I | | None | M | T | Buy | 09/29/11 | L | | |
| 10. --Vanguard Institutional Index | | None | M | T | | | | | |
| 11. --Harbor Small Cap Growth Instl | | None | L | T | | | | | |
| 12. --JP Morgan Mid Cap Value Select | | None | | | Closed | 09/29/11 | J | A | |
| 13. --AllianceBern Small- Mid Cap Value I | | None | J | T | Buy | 09/29/11 | J | | |
| 14. --American Funds EuroPacific Growth | | None | J | T | | | | | |
| 15. --Columbia International Value | | None | | | Closed | 09/29/11 | J | A | |
| 16. --Harbor International Adm | | None | J | T | Buy | 09/29/11 | J | | |
| 17. --Oakmark Equity & Income | | None | M | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| Kallon, Abdul K. | 09/17/2012 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 18. Brokerage Account | | | | | | | | | |
| 19. --Apple Common Stock | | None | J | T | | | | | |
| 20. --Berkshire Hathaway Class B | | None | K | T | | | | | |
| 21. --Boston Scientific | | None | | | Sold | 03/02/11 | J | A | |
| 22. --Chesapeake Energy Corp Common Stock | A | Dividend | | | Sold | 11/21/11 | J | A | |
| 23. --Coca Cola Stock | A | Dividend | J | T | Buy | 08/11/11 | J | | |
| 24. --Conoco Phillips Common Stock | A | Dividend | J | T | | | | | |
| 25. --Education Realty Trust Inc. Common Stock | A | Dividend | | | Sold | 03/02/11 | J | A | |
| 26. --Energen Corp | A | Dividend | J | T | Buy | 08/11/11 | J | | |
| 27. --ExxonMobil | A | Dividend | J | T | Buy | 08/11/11 | J | | |
| 28. --Gilead Sciences | | None | J | T | Buy | 03/02/11 | J | | |
| 29. --Google Common Stock | | None | J | T | | | | | |
| 30. --Great Plains Energy Common Stock | A | Dividend | J | T | | | | | |
| 31. --Martin Marietta Materials Common Stock | A | Dividend | J | T | | | | | |
| 32. --McDonald's Corp | A | Dividend | J | T | Buy | 08/11/11 | J | | |
| 33. --Montpelier Holdings Common Stock | A | Dividend | J | T | | | | | |
| 34. --Mueller Water Products | A | Dividend | J | T | Buy | 08/11/11 | J | | |

| | | | | | |
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| Kallon, Abdul K. | 09/17/2012 |

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| | A. Description of Assets (including trust assets) | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 35. | --Sirius XM Radio Common Stock | | None | | | Sold | 01/13/11 | J | A | |
| 36. | --Teradata Common Stock | | None | J | T | | | | | |
| 37. | --United Technologies Corp | A | Dividend | J | T | Buy | 08/11/11 | J | | |
| 38. | --Vulcan Materials Common Stock | A | Dividend | J | T | Buy | 08/11/11 | J | | |
| 39. | --Walter Energy | A | Dividend | J | T | | | | | |
| 40. | --Walter Investment Management Corp (X) | | None | | | Sold | 03/02/11 | J | A | |
| 41. | --Goldman Sachs FS Money Market | A | Interest | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -- Line $ -- Synergy's CPA requested an extention and finally provided my K-1 for 2011 on September 13, 2012.

| Name of Person Reporting | Date of Report |
|---|---|
| Kallon, Abdul K. | 09/17/2012 |

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Abdul K. Kallon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544