

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2003

*Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)*

| | | |
|--|--|---|
| 1. Person Reporting (Last name, first, middle initial) Keenan, John F. | 2. Court or Organization United States District Court Southern District of New York | 3. Date of Report 5/3/04 |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge Southern District of New York | 5. Report Type (check appropriate type) ___ Nomination, Date _____ ___ Initial <input checked="" type="checkbox"/> Annual ___ Final | 6. Reporting Period 1/1/03 - 12/31/03 |
| 7. Chambers or Office Address Daniel Patrick Moynihan United States Courthouse 500 Pearl Street, Room 1930 New York, New York 10007-1312 | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____ | |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

| | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-------------------------------------|---------------------------------|------------------------------------|
| <input checked="" type="checkbox"/> | NONE (No reportable positions.) | |
| 1 | _____ | _____ |
| 2 | _____ | _____ |
| 3 | _____ | _____ |

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

| | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------------------------------|----------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | NONE (No reportable agreements.) | |
| 1 | _____ | _____ |
| 2 | _____ | _____ |

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

| | <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>GROSS INCOME</u> |
|---|---|--|---------------------|
| A. Filer's Non-Investment Income | | | |
| <input type="checkbox"/> | NONE (No reportable non-investment income.) | | |
| 1 | 2003 | New York City Pension—Retired New York City Employee | \$ 35,000 |
| 2 | _____ | _____ | \$ |
| 3 | _____ | _____ | \$ |

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

| | | | |
|--------------------------|---|--|--|
| <input type="checkbox"/> | NONE (No reportable non-investment income.) | | |
| 1 | 2003 | Archdiocese of New York, Pension as Retired Parochial School Teacher | |
| 2 | _____ | _____ | |

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Keenan, John F.

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IV. REIMBURSEMENTS – transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

| | <u>SOURCE</u> | <u>DESCRIPTION</u> |
|-------------------------------------|---|--------------------|
| <input checked="" type="checkbox"/> | NONE (No such reportable reimbursements.) | |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |
| 7 | | |

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

| | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|-------------------------------------|----------------------------------|--------------------|--------------|
| <input checked="" type="checkbox"/> | NONE (No such reportable gifts.) | | |
| 1 | | | \$ |
| 2 | | | \$ |
| 3 | | | \$ |
| 4 | | | \$ |

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

| | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE*</u> |
|-------------------------------------|-----------------------------------|--------------------|--------------------|
| <input checked="" type="checkbox"/> | NONE (No reportable liabilities.) | | |
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

*Value Codes: F=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
 P2=\$5,000,001-\$25,000,000 P3=25,000,001-50,000,000 P4=50,000,001 or more

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| | |
|---|--------------------------|
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|---|--------------------------|

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A Description of Assets (including trust assets) <i>(Enter "NONE" after entries if none from prior disclosure)</i> | B Income during reporting period | | C Gross value at end of reporting period | | D Transactions during reporting period | | | | |
|---|-------------------------------------|-----------------------------|---|---------------------------------|---|-------------------------|--------------------------|-------------------------|--|
| | (1) Ann. Code1 (A-H) | (2) Type (Div, Int, etc) | (3) Value Code2 (I-P) | (4) Value Method Code3 (Q-W) | (5) Type (Buy, Sell, etc) | (6) Date/ Month/ Day | (7) Value Code2 (I-P) | (8) Gain Code3 (A-F) | (9) Identity of Buyer/Seller (if private transaction) |
| <input type="checkbox"/> NONE (No reportable income,) | | | | | | | | | |
| 1 Apple Savings Bank | C | Int. | M | T | | | | | |
| 2 Chase Bank (Savings Account) | C | Int. | L | T | | | | | |
| 3 Country Bank | C | Int. | L | T | | | | | |
| 4 Wachovia Bank | A | Int. | J | T | | | | | |
| 5 U.S. Savings Bonds | C | Int. | K | T | Buy | Each Month | Payroll Deduction | | |
| 6 Ford Motor Common Stock | A | Div. | J | T | | | | | |
| 7 AT&T Common Stock | A | Div. | J | T | | | | | |
| 8 Con Ed Common Stock | A | Div. | J | T | | | | | |
| 9 | | | | | | | | | |
| 10 | | | | | | | | | |
| 11 | | | | | | | | | |
| 12 | | | | | | | | | |
| 13 | | | | | | | | | |
| 14 | | | | | | | | | |
| 15 | | | | | | | | | |
| 16 | | | | | | | | | |
| 17 | | | | | | | | | |

| | | | | | |
|--|---------------------------|----------------------------|-----------------------------|------------------------------|-------------------------------|
| 1. Income/Gain Codes (See Col. B1, D4) | A=\$1,000 or less | B=\$1,001-\$2,500 | C=\$2,501-\$5,000 | D=\$5,001-\$15,000 | E=\$15,001-\$50,000 |
| 2. Value Codes (See Col. C1, D3) | F=\$15,000 or less | G=\$15,001-\$50,000 | H=\$50,001-\$100,000 | I=\$100,001-\$500,000 | J=\$500,001-\$1,000,000 |
| 3. Value Method Codes (See Col. C2) | K=\$1,000,001-\$5,000,000 | L=\$5,000,001-\$25,000,000 | M=\$25,000,001-\$50,000,000 | N=\$50,000,001-\$100,000,000 | O=\$100,000,001-\$500,000,000 |
| | Q=Appraisal | R=Cost (real estate only) | S=Assessment | T=Cash/Market | U=Book value |
| | V=Other | W=Estimated | | | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature _____

Date 5/3/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

MAILING INSTRUCTIONS

Mail in original and 2 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544