

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF PENNSYLVANIA

CHAMBERS OF  
ROBERT F. KELLY  
JUDGE

11613 UNITED STATES COURTHOUSE  
INDEPENDENCE MALL WEST  
PHILADELPHIA, PENNSYLVANIA 19106  
215-597-0736

April 15, 2004

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
One Columbus Circle, N.E.  
Suite 2-301  
Washington, DC 20544


ATTN: Mary M. Lisi, Chair

IN RE: Calendar Year 2003 Filing

Dear Judge Lisi:

Enclosed please find three copies of an amended Part VII, page 1 with line 14 showing Vanguard Prime Cap Fund as still being owned by me. Apparently this was left off because of a problem we were having with the software.

Very truly yours,

  
Robert F. Kelly  
Senior Judge

RFK:mcm  
Enclosures

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# FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting

KELLY, ROBERT F

Date of Report

3/19/2004

## VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Wachovia Bank (formerly First Union Bank) Savings Account	A	Interest	K	T					
2. Wachovia Bank (formerly First Union Bank) Savings Account	A	Interest	J	T					
3. Vanguard Tax Free PA Mini Money Market Fund	A	Dividend	K	T					
4. Freeport McMoRan Copper & Gold Common Stock, Class B	A	Dividend	K	T					
5. McDonald's Corp. Common Stock	A	Dividend	K	T					
6. U.S. Series EE Bonds	A	Interest	J	W					
7. IRA Vanguard Star Fund	B	Dividend	L	T					
8. Vanguard PA LT Ins. Tax Free Fund	A	Dividend	K	T					
9. Vanguard International Growth Fund	A	Dividend	J	T					
10. Vanguard Treasury Money Market Fund	A	Dividend	J	T					
11. Vanguard GNMA Fund	A	Dividend	J	T					
12. Vanguard Hi-Yld. Corp. Bond Fund	A	Dividend	J	T					
13. Vanguard Index 500 Fund	A	Dividend	J	T					
14. Vanguard Prime Cap Fund	A	Dividend	J	T					

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1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

# FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

Calendar Year 2003

1. Person Reporting (Last name, First name, Middle initial) <b>KELLY, ROBERT F</b>	2. Court or Organization <b>U.S. DIST. CT. for E.D. PA</b>	3. Date of Report <b>3/19/2004</b>
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) <b>ARTICLE III JUDGE (Senior)</b>	5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period <b>1/1/2003</b> to <b>12/31/2003</b>
7. Chambers or Office Address <b>UNITED STATES COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106</b>	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

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## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

KELLY, ROBERT F

Date of Report

3/19/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	<u>ANNUAL</u>	<u>STATE OF PENNSYLVANIA—Pension Annuity</u>	<u>\$18,222.60</u>

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	<u>ANNUAL</u>	<u>CRAWFORD, WILSON &amp; RYAN, LLC (Law firm)</u>

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

**FINANCIAL DISCLOSURE REPORT**

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3/19/2004

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

# FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting  
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Date of Report  
3/19/2004

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1.									
2. First Union Bank Savings Acct.	A	Interest	J	T					
3. First Union Bank Savings Acct.	A	Interest	K	T					
4. Vanguard Tax Free PA Money Market Fund	A	Dividend	K	T	Buy	Var.	J		Reinvested Dividends
5. Freecport McMoran Copper & Gold Common Stock	A	Dividend	L	T					
6. McDonald's Corp. Common Stock	A	Dividend	K	T					
7. U.S. Series EE Savings Bonds	A	Interest	J	V					
8. IRA - Vanguard Star Fund	B	Dividend	L	T	Buy	Var.	J		Reinvested Dividends
9. Vanguard PA LT Ins. Tax Free Fund	B	Dividend	K	T	Buy	Var.	J		Reinvested Dividends
10. Vanguard International Growth Fund	A	Dividend	J	T	Buy	12/12	J		Reinvested Dividend
11. Vanguard Treasury Money Market Fund	A	Dividend	J	T	Buy	Var.	J		Reinvested Dividends
12. Vanguard GNMA Fund	A	Dividend	J	T	Buy	Var.	J		Reinvested Dividends
13. Vanguard HI-Yld. Corp. Bond Fund	A	Dividend	J	T	Buy	Var.	J		Reinvested Dividends
14. Vanguard Index 500 Fund	A	Dividend	J	T	Buy	Var.	J		Reinvested Dividends

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
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 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
 (See Column C2) U = Book Value V = Other W = Estimated

**FINANCIAL DISCLOSURE REPORT**

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

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Date of Report

3/19/2004

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

March 19, 2004

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544