AO 10
Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lake, Simeon T.	**2. Court or Organization** United States District Court	**3. Date of Report** 04/26/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 515 Rusk Avenue, Room 9535 Houston, Texas 77002	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**__________ **Date**______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	rental property #1-Houston, TX	A	Rent	N	W					
2.	rental property #2-Houston, TX	B	Rent	L	W					
3.	rental property #3-Houston, TX	A	Rent	M	W					
4.	rental property #4-Houston, TX	A	Rent	N	W					
5.	property, Washington Cnty, TX	A	Rent	N	W					
6.	J.P. Morgan Chase	A	Interest	J	T					
7.	Exxon Common Stock	F	Dividend	P1	T					
8.	Wells Fargo Bank Account	A	Interest	J	T					
9.	Royalty Interest, Washington County, Texas	C	Royalty	K	W					
10.	Merrill Lynch Money Mkt Acct (IRA)	A	Interest	J	T					
11.	AT&T Inc. Common Stock (IRA)	B	Dividend	K	T					
12.	Novartis ADR (IRA)	B	Dividend	L	T					
13.	IBM Corp. Common Stock (IRA)	B	Dividend	L	T					
14.	General Electric Common Stock (IRA)	A	Dividend	J	T					
15.	Intel Corp. Common Stock (IRA)	A	Dividend	J	T					
16.	Syngenta AG ADR (IRA)	A	Dividend	J	T					
17.	Nestle Corp. ADR (IRA)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Dr. Reddy's Lab ADR (IRA)	A	Dividend	L	T					
19. Freds, Inc. Common Stock (IRA)	A	Dividend	J	T					
20. Johnson & Johnson Inc. Common Stock (IRA)	A	Dividend	K	T					
21. Procter & Gamble Inc Common Stock (IRA)	B	Dividend	L	T					
22. Washington Mutual Inc. Common Stock (IRA)		None	J	T					
23. Microsoft Common Stock (IRA)	A	Dividend	J	T					
24. Air Transport Services Group, Inc. Common Stock (IRA)		None	J	T	Sold	03/11/10	J	B	
25. McCormick Inc. Non-Voting (IRA)	A	Dividend	K	T					
26. Pfizer Inc. Common Stock (IRA)	A	Dividend	K	T					
27. 3M Company (IRA)	A	Dividend	K	T					
28. Alabama Power Co. (IRA)	B	Dividend		T	Buy	03/11/10	K		
29. Trust #1									
30. --XCEL Energy, Inc. Common Stock	A	Dividend	K	T					
31. --Walgreen Co. Common Stock	A	Dividend	K	T					
32. --Hewlett Packard Co. Common Stock	A	Dividend	K	T					
33. --Merrill Lynch Money Market Acct	A	Dividend	J	T					
34. --Southwest Airlines Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --Apple Computer Inc. Common Stock		None	N	T					
36. --Bristol Myers Squib Co. Inc. Common Stock	C	Dividend	L	T					
37. --Pfizer Inc. Common Stock	A	Dividend	K	T					
38. --Microsoft Common Stock	A	Dividend	K	T					
39. --Johnson & Johnson Co. Common Stock	B	Dividend	K	T					
40. Merrill Lynch Money Fund	B	Dividend	L	T					
41. AT&T Inc. Common Stock	A	Dividend	J	T					
42. Abbott Labs Common Stock	B	Dividend	K	T	Buy (add'l)	11/15/10	J		
43. Trust #2									
44. --Exxon Corp. Common Stock	F	Dividend	P1	T					
45. --Merrill Lynch Money Fund	A	Dividend	J	T					
46. --Abbott Labs Common Stock	A	Dividend	K	T					
47. --General Electric Common Stock	A	Dividend	K	T					
48. Pfizer Inc. Common Stock	A	Dividend	K	T					
49. Nokia Corp. Common Stock	A	Dividend	K	T					
50. Kellog Co. Common Stock	A	Dividend	K	T					
51. Hospira Inc. Common Stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Procter & Gamble, Inc.	B	Dividend	K	T					
53. Bank of Texas Account	A	Interest	J	T					
54. Caterpillar Inc.	A	Dividend	K	T					
55. Fidelity Municipal Money Mkt a/c	A	Interest	J	T					
56. I shares Global Financials Sector Index	A	Dividend	J	T					
57. I shares S&P Global Telecom Sector Index	A	Dividend	J	T					
58. Kraft Foods	B	Dividend	K	T					
59. I shares Pacific Ex Japan Index	A	Dividend	J	T					
60. I shares FTSE China 25 Index	A	Dividend	J	T					
61. I shares Emerging Mkts Index Fd	A	Dividend	J	T	Sold	07/22/10	J	A	
62. I shares S&P Latin Amer 40 Index	A	Dividend	J	T					
63. I shares Global Materials Index	A	Dividend	J	T					
64. I shares S&P Metals & Mining ETF	A	Dividend	J	T					
65. Nuveen Muni Value Fund	A	Interest	K	T					
66. Texas Water Assistance Board Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SECTION VII

PAGE 5

Line 28 -- Alabama Power Co. (IRA) is a new entry.

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/26/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Simeon T. Lake

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544