AO 10 Rev. 1/2010	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Lefkow, Joan H.	**2. Court or Organization** U.S DISTRICT COURT, NDIL	**3. Date of Report** 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address 219 South Dearborn Street Suite 1956 Chicago, IL 60604	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer______________ Date______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Law Board (Advisory)	Northwestern University Law School
2.	Executor	Estate [redacted]
3.	Executive Committee, Board Member	Federal Judges' Association
4.	Adjunct Faculty Member	John Marshall Law School
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Lefkow, Joan H.	05/12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2008-09	John Marshall Law School; Teaching stipend	$6,000.00
2. 1/09-12/09	Book Sales: "I Speak of Simple Things" (Ampersand, Inc. 2007); SIMPLE THINGS JOINT VENTURE with Judith H. Smith	$0.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. Federal Judges' Association	12/3-4	Washington, D.C.	Board meeting	Round-trip airfare, hotel, meals
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lefkow, Joan H.	05/12/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	[redacted]	Tuition agreement*	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lefkow, Joan H.	05/12/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. U.S. Employees Credit Union account	A	Int./Div.	J	T					
2. BROKERAGE ACCOUNT IRA									
3. - EVSGX	A	Dividend	J	T					
4. - IEMBX (X)			J	T	Buy	10/29/09	J		
5. - CRSAX	A	Dividend	J	T					
6. - DVRBX			J	T					
7. - OGMBX	A	Dividend	J	T					
8. - INPBX	A	Dividend	J	T					
9. - AMVBX					Sold	10/29/09	J		
10. - DBA			J	T					
11. Cash Money Market Ameriprise Financial**		None	J	T					
12. BROKERAGE ACCOUNT NON-IRA									
13. - CRSAX	A	Dividend	J	T					
14. - DEAXX***									
15. - FLTAX	A	Dividend	J						
16. - MDIDX					Sold	05/06/09	J		
17. - SRFAX					Sold	05/06/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lefkow, Joan H.	05/12/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	- OPGSX					Sold	07/24/09	J		
19.	- RFGXX					Sold	01/20/09	J		
20.	- SMAVX	A	Int./Div.			Sold	10/27/09	K	A	
21.	- Cash Money Market Ameriprise Finc'l****	A	Interest	K	T					
22.	- DDMAX					Sold	10/27/09	K	A	
23.	- DSX					Sold	07/24/09	J		
24.	- OIL					Sold	10/30/09	K	C	
25.	- BEARX (X)			J	T	Buy	05/07/09	J		
26.	- WASAX (X)	A	Dividend	J	T	Buy	07/27/09	J		
27.	- WMFAX (X)	A	Int./Div.			Buy	10/28/09	K		
28.	BROKERAGE [redacted]	A	Int./Div.	J	T					
29.	- Cash Money Market Ameriprise Finc'l *****			J	T					
30.	- TWTIX	A	Dividend	J	T					
31.	PROTECTIVE UNIVERSAL LIFE INS		None	K	U					
32.	ESTATE [redacted] ******									
33.	- Unliquidated contingent fee			L	W					
34.	Cash Account, Northern Trust Co.	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT Page 6 of 7	Name of Person Reporting Lefkow, Joan H.	Date of Report 05/12/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

*

VI. Although I have reported [redacted] loans over the years, I have inadvertently omitted tuition agreements [redacted]
For the past seven years, I have had tuition agreements of "K" value code [redacted]

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VII, 1.11 Funds are deposited in amounts <$1,000 each in the following banks:
Sovereign Bank, Philadelphia, PA
Associated Bank Green Bay, WI
Sun Trust, Atlanta, GA
AMEX Bank, Salt Lake City, UT
Ameriprise Bank, Minneapolis, MN
M and T Trust Co., Buffalo, NY

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VII, 1.14 DEAXX (1.16 on the 2008 report) is a typographical error. It should be DEAAX, which was sold 9/30/08. Thus, it does not appear on this report.

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VII, 1.21 Funds are deposited as follows:
Sun Trust, Atlanta, GA [redacted]
M and I Marshall & Isky Bank, Milwaukee, WI [redacted]
New York Community Bank, Wootbu y, NY [redacted]

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VII, 1.29 Funds are deposited in amounts <$1,000 in the following banks:
Associated Ba k, Green Bay, WI
Sun Trust, Atlanta, GA
Amerprise Bank, Minneapolis, MN

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VII, 1.32 The estate has a 1/3 contingent interest in an Illinois judgment, representing an attorney's fee, with a value between $50,0001 - $100,000.
The remainder of the estate is a bank account at Northern Trust Company, with less than $15,000 cash balance. I am the sole beneficiary.

Name of Person Reporting	Date of Report
Lefkow, Joan H.	05/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544