

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial) Leinenweber, Harry D.	<b>2. Court or Organization</b> U.S.D.C. (ILLINOIS NORTHERN)	<b>3. Date of Report</b> 05/11/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (Senior)	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b> Suite #1946 219 S. Dearborn Street Chicago, IL 60604	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Life Member	National Conference of Commissioners on Uniform State Laws
2. Member, Board of Directors	Good Shepherd Manor, Momence, Illinois
3.	
4.	
5.	

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## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 8

Name of Person Reporting

Leinenweber, Harry D.

Date of Report

05/11/2010

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Illinois General Assembly Retirement	\$13,356.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Dreyfus Florida Muni Money Market Fund - Director Fees
2. 2009	Deutsche Bank - Consulting Fees
3. 2009	Ryder Systems - Director Fees
4. 2009	Dreyfus NJ Muni Bond Fund - Director Fees
5. 2009	Dreyfus NY Tax Exempt Bond Fund - Director Fees
6. 2009	Dreyfus Global Investing - Director Fees
7. 2009	Dreyfus Strategic World Investment Fund - Director Fees
8. 2009	Dreyfus Florida Intermediate Muni Bond Fund - Director Fees
9. 2009	The Coca-Cola Company - Consulting Fees
10. 2009	Dreyfus Investor GNMA Fund - Director Fees
11. 2009	Dreyfus 100% U.S. Treasury Short-Term Fund - Director Fee
12. 2009	Dreyfus 100% U.S. Treasury Intermediate Term Fund - Director Fees
13. 2009	Dreyfus 100% U.S. Treasury Money Market Fund - Director Fees
14. 2009	Procter & Gamble - Director Fees
15. 2009	AT&T Corporation - Director Fees

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 8

Name of Person Reporting

Leinenweber, Harry D.

Date of Report

05/11/2010

16. 2009	Office of Personnel Management - Congressional Retirement
17. 2009	Dreyfus Strategic Growth fund - Director Fees
18. 2009	Constellation Energy Group - Director Fees
19. 2009	Neiman Marcus - Residuals from Previous Directorship *See Section VIII for Additional Comments.

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Deutsche Bank Latin American Advisory Board, New York, NY	March 25 - 26, 2009	Sao Paulo, Brazil	Trip with [REDACTED]	Travel, Lodging
2.	Deutsche Bank, New York, NY	May 11 - 12, 2009	Frankfurt, Germany	Trip with [REDACTED]	Travel, Lodging
3.	AT&T Corp., Dallas, TX	July 29 - 31, 2009	Jackson Hole, Wyoming	Trip with [REDACTED]	Travel, Lodging
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**  
Page 4 of 8

Name of Person Reporting  
Leinenweber, Harry D.

Date of Report  
05/11/2010

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

# FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Leinenweber, Harry D.

Date of Report

05/11/2010

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ryder Systems	C	Dividend		T	Grant	05/01/09	L		*See Notes in Section VIII
2. Ryder Systems, Inc. Stock Options Vested and Unexercised		None	L	W					
3. J.P. Morgan Chase (formerly Bank One) checking account	C	Interest	K	T					*See Notes in Section VIII
4. J.P. Morgan Chase (formerly Bank One) checking account	C	Interest	J	T					*See Notes in Section VIII
5. J.P. Morgan Chase (formerly Bank One) savings account	C	Interest	L	T					*See Notes in Section VIII
6. AT&T Common Stock	E	Dividend	O	T	Grant	04/04/09	L		*See Notes in Section VIII
7. Procter & Gamble Common Stock	C	Dividend	O	T	Grant	02/08/09	K		*See Notes in Section VIII
8. Procter & Gamble Common Stock Options Vested Unexercised		None	M	W					
9. J.P. Morgan Chase	A	Dividend	J	T					
10. Insignia Bank		None	K	W					
11. Real Estate, Chicago, IL		None	M	T					*See Notes in Section VIII
12. Affiliated Network Services, L.L.C.		None			Closed	11/11/09	J		
13. FMI Cust 403(b)(7) Acct. Northwestern Univ. Magellan Fund	B	Dividend	K	T					
14. FMI Cust. 403(b)(7) Acct. Northwestern Univ. Overseas Fund	B	Dividend	K	T					
15. FMI Cust. 403(b)(7) Acct. Northwestern Univ. Puritan Fund	B	Dividend	K	T					
16. J.M. Smuckers	A	Dividend	J	T					
17. Constellation Energy Group	C	Dividend	M	T	Grant	04/28/09	L		*See Notes in Section VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

**FINANCIAL DISCLOSURE REPORT**  
Page 6 of 8

Name of Person Reporting  
Leinenweber, Harry D.

Date of Report  
05/11/2010

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Northrup Grumman Corp. Stock Option Unexercised		None	K	W					
19. First Energy Corp. Common Stock	A	Dividend	J	T					
20. Dreyfus Premier China Fund	A	Dividend	K	T					
21. USJWFL INDX 3.375% 01/12	C	Interest	M	T					
22. Vanguard Intermediate Term Corporate Fund	A	Dividend			Sold	05/20/09	K	A	
23. Vanguard Short Term Corporate Fund	C	Interest	M	T					
24. Third Avenue Small Cap Value Fund	A	Dividend			Sold	02/19/09	J	A	
25. CGM Realty Fund	A	Dividend			Sold	02/19/09	K	A	
26. JPMorgan High Yield Bond Fund	C	Dividend	L	T	Buy	05/15/09	L		
27. Pimco Total Retirement Fund	C	Dividend	N	T	Buy	02/19/09	M		
28. Pimco Commodity Real Retirement Strategic	A	Dividend			Sold	02/19/09	K	A	
29. Pimco Foreign Bond Unhedged Fund	A	Dividend	M	T					
30. Vanguard 500 Index Fund	A	Dividend	M	T					
31. Vanguard Interim Term Inv. Grade Fund	A	Dividend			Sold	05/20/09	K	A	
32. Royce Value Plus	B	Distribution	K	T					
33. Liquidity Services, Inc.		None			Sold	06/05/09	J		
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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**FINANCIAL DISCLOSURE REPORT**  
Page 7 of 8

Name of Person Reporting  
Leinenweber, Harry D.

Date of Report  
05/11/2010

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

III. - B. Spouse's Non-Investment Income

19. [REDACTED] was a former director of the parent company of Neiman Marcus. [REDACTED] receives a discount for retail purchases.

VII. - Investment and Trusts.

1. [REDACTED] received in lieu of partial Director Compensation a grant of stock-based units. (Redeemable at a later date as shares of stock on a one-for-one basis.)
3. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.
4. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.
5. This J.P. Morgan Chase account is a personal savings account. Deposits and withdrawals are made throughout the year.
6. [REDACTED] received a grant of shares as partial Director compensation.
7. [REDACTED] received a grant of shares as partial compensation as a non-employee member of the Board of Directors.
11. Real Estate was sold on a four-year contract.
17. [REDACTED] received a grant of stock as partial compensation as a non-employee member of the Board of Directors.

# FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Leinenweber, Harry D.

Date of Report

05/11/2010

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544