

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

*Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)*

<b>1. Person Reporting</b> <i>(Last name, first, middle initial)</i> Lynch, Gerard E.	<b>2. Court or Organization</b> US District Court - SDNY	<b>3. Date of Report</b> 5/12/2006
<b>4. Title</b> <i>(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</i> US District Judge - active	<b>5a. Report Type</b> (check appropriate type) ___ Nomination, Date _____ ___ Initial <u>X</u> Annual ___ Final <b>5b.</b> ___ Amended Report	<b>6. Reporting Period</b> 1/1/2005 - 12/31/2005
<b>7. Chambers or Office Address</b> 500 Pearl Street New York, NY 10007	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	

*IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of Instructions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
<input type="checkbox"/> <b>NONE</b> (No reportable positions.)	
1 Professor of Law	Columbia University
2	
3	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of Instructions.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
<input checked="" type="checkbox"/> <b>NONE</b> (No reportable agreements.)	
1	
2	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u>
<b>A. Filer's Non-Investment Income</b> <input type="checkbox"/> <b>NONE</b> (No reportable non-investment income.)			
1	1/1/05	Columbia University	\$ 24,700
2			\$
3			\$

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)**

<input type="checkbox"/> <b>NONE</b> (No reportable non-investment income.)			
1	1/1/05	Flushing Hospital (salary)	
2	1/1/05	Private practice of psychotherapy (business earnings)	

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Name of Person Reporting Lynch, Gerard E.	Date of Report 5/12/2006
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**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
<input type="checkbox"/>	NONE (No such reportable reimbursements.)	
1	Institute for Law and Economic Policy	Apr. 8-10, Lake Las Vegas, NV: taught in CLE program (transportation, room, meals)
2	Yale University	April 28-29, New Haven, CT: taught class (transportation, room, meals)
3		
4		
5		
6		
7		

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
<input checked="" type="checkbox"/>	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
<input checked="" type="checkbox"/>	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes:	J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000
	N=\$250,001-\$500,000	O=\$500,001-\$1,000,000		P1=\$1,000,001-\$5,000,000
	P2=\$5,000,001-\$25,000,000	P3=\$25,000,001-50,000,000		P4=\$50,000,001 or more

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Name of Person Reporting

Lynch, Gerard E.

Date of Report

5/12/2006

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions.)									
1 Citibank accounts	A	Interest	L	T					
2 Merrill Lynch Money Market	A	Dividend	K	T					
3 John Hancock US Global Growth	None		K	T					
4 H&R Block Money Market	A	Interest	K	T					
5 New York NY FSA-CR	A	Interest	K	T	Buy	4/18	K		
6 New York St PWR AT RV	B	Interest	K	T	Buy	4/18	K		
7 Blackrock NY Muni Inc. Tr.	A	Dividend	K	T					
8 Gabelli Utility Trust PFD	A	Dividend	J	T					
9 ING Savings Account	A	Interest	J	T	Open	3/1	J		
10 Nicholas Applegate Con. Fd.	A	Dividend	J	T					
11 ING Clarion Global Equity Fund	A	Dividend	J	T	Buy	3/28	J		
12 PIMCO NY Muni Pnc Fd.	A	Dividend	J	T					
13 ML Global Allocation B	A	Dividend	J	T					
14 Triborough BTA Bonds	B	Interest	K	T					
15 Alliance Bernstein Gr/Inc. Fund A&B	A	Dividend	J	T					
16 Eaton Vance Enhanced Equity Fd	A	Dividend	J	T	Buy	1/28	J		
17 Eaton Vance Tax Managed Global Fd	A	Dividend	J	T	Buy	9/27	J		

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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**VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions** (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets)  <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gam Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
18 Nuveen Municipal Value	A	Dividend	K	T					
19 Oppenheimer Main St A	A	Dividend	K	T					
20 PIMCO Floating Rate Strategy FD	A	Dividend	J	T					
21 Oppenheimer Conv Sec Cl B	B	Dividend			Sell	4/18	K	Loss	
22 Putnam Health Serv Tr Cl B	None		J	T					
23 Putnam Intl Gr Fund Cl B	A	Dividend	K	T					
24 Seligman Lg Cap Val Cl B	None		K	T					
25 Columbia Growth Stock Cl B	None		J	T					
26 AIM Tax Free Int Shares	A	Dividend	J	T					
27 Eaton Vance Floating Rate Inc. Tr.	A	Dividend	J	T					
28 Rochester Muni Fd Cl A	C	Dividend	L	T	Partial Sell	4/18	K	Loss	
29 Templeton Dev. Mkt. Trust A	A	Dividend	J	T					
30 Yonkers NY Indl Dev. Agency	A	Interest	K	T					
31 Rydex Ser Tr. Juno Fd.	None		J	T					
32 ING Clarion Global Real Estate Fd	A	Dividend	J	T					
33									
34									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001- \$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
35									
36									
37 Nuveen Tx Free Unit TRINSD	B	Interest	K	T					
38 Sun America Strat. Inv. Fd. A	None		J	T					
39 TIAA-CREF	None		N	T					
40									
41 Pioneer Fd. CI B	A	Dividend	K	T					
42 Citibank IRA	A	Interest	J	T					
43									
44 Alliance Tech Fd CI B	A	Dividend			Sell	4/18	J	Loss	
45 Federated Intl Small Co Fd	None		J	T					
46									
47 John Hancock Small Cap Fd	None		J	T					
48									
49									
50									
51 NYS Dorm Auth Bonds	A	Interest	K	T	Partial Buy	11/3	K		

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature 

Date 5/12/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544