AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Matsumoto, Kiyo A.	U.S. District Court (EDNY)	8/13/2012

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Active Article III	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011

7. Chambers or Office Address

United States Courthouse
225 Cadman Plaza East
Brooklyn, NY 11201

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board member	Residential coop: [redacted]
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2011	Teaching, [redacted]
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	ABA	1/7/11-1/9/11	San Francisco, CA	Committee meeting	Transportation, meals, lodging
2.	ABA	11/10-12/11	Chicago, IL	Committee meeting	Transportation, meals, lodging
3.	Goverment of South Korea, Ministry of Justice	9/27-10/1/11	Seoul, South Korea	Speaker at International Symposium	Transportation, meals, lodging
4.	Harvard Unviersity School of Law	2/26-27/11 and 3/22-24/11	Cambridge,MA	Invited panelist at law school; second invitation for moot court competition	Transportation, meals, lodging
5.	University of Pennsylvania School of Law	1/29/11	Philadelphia, PA	Invited panelist at law school	Transportation, meals, lodging

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	[redacted]	Tuition	L
2.	[redacted]	Tuition	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Matsumoto, Kiyo A.	8/13/2012

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Citibank IRA & Savings Acct	B	Interest	K	T					
2.	HSBC(Accts)	A	Interest	J	T					
3.	Chase Bank, savings and checking	A	Interest	J	T					
4.	New England Variable Life	B	Interest	L	T					
5.	Charitable Remainder Trust (Northern Trust Securities)	E	Int./Div.	N	T					
6.	[redacted] Memorial Partnership (El Cerrito, CA)	D	Rent	L	W					
7.	Series EE Savings Bonds	A	Interest	J	T					
8.	Charles Schwab IRA/Accts (inc. cust. accts [redacted]	B	Interest	K	T	Sold (part)	09/01/11	J	A	
9.	Colgate Palmolive (common) (part of item 8 above)	A	Int./Div.	J	T	Sold (part)	09/01/11	J	A	
10.	Procter & Gamble (common) (part of item 8 above)	A	Int./Div.	J	T	Sold (part)	09/01/11	J	A	
11.	Hewlett Packard (common) (part of item 8 above)	A	Int./Div.	J	T	Sold (part)	09/01/11	J	A	
12.	Time Warner (common) (part of item 8 above)	A	Int./Div.	J	T					
13.	Diversified Investment Thrift Plan	B	Int./Div.	N	T					
14.	NY State Edu. Fund: Moderate Age Opt., Conserv. Growth	A	Interest	J	T					
15.	Bank of America: savings and checking	A	Interest	J	T					
16.										
17.										

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts:

Item 5: Charitable Remainder Trust/ Northern Trust Securities: Market value as of 12/31/11: $337,382.74
Dodge & Cox Income: $57,535.87
Vanguard GNMA: $81,052.48
Vanguard Longterm Invmt. Grade: $84,898.83
Money market: $13,895.56

Items 8-11:
Charles Schwab account was liquidated in September 2011 to make partial tuition payment.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ Kiyo A. Matsumoto

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544