

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  McCurn, Neal P.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  06/07/2012
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  Senior U.S. District Court	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2011 to 12/31/2011
<b>7. Chambers or Office Address</b>  P.O. Box 7365 100 S Clinton Street Syracuse, NY 13261		
<p align="center"><b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i></p>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2011	NYS Employee Ret System	\$2,860.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage acct stks Bds Wells Fargo Adv									
2. AT&T	B	Dividend	K	T					
3. Amer Electric Power	B	Dividend	K	T					
4. Heiinz HJ	A	Dividend	K	T					
5. Int'l Business Machines	A	Dividend	L	T					
6. Valero Energy	A	Dividend	J	T					
7. Verizon Comm	A	Dividend	K	T					
8. YUM Brands	A	Dividend	K	T					
9. Biotech Holders Tr	A	Dividend	K	T					
10. Fairport Comm		None			Sold	02/11/11	J		
11. Coming	A	Dividend	J	T					
12. Coming	A	Dividend	K	T					
13. Franklin Temp Mutual Disc	B	Dividend	L	T					
14. NYS Dorm 3.5% 7/1/16	A	Interest	J	T					
15. NYS Dorm 3.25% 7/1/14	A	Interest	K	T					
16. NYS Dorm 5% 3/15/13	B	Interest	K	T					
17. Fl St PWR 4% 10/1/15	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NYC WTR 4.75% 6/15/33	B	Interest	K	T					
19. NYC WTR 5% 6/15/34	A	Interest	K	T					
20. Southampton 4% 12/15/25	A	Interest	J	T					
21. Lake Success NY 3% 1/15/15	C	Interest	M	T					
22. Campbell Strategic	A	Interest	M	T					
23. Agilent Tech Inc (Y)									
24. Stryker Corp	A	Dividend	K	T					
25. Port Auth NY 4.25% 10/15/30	C	Interest	L	T					
26. NYC WTR 4.5% 6/15/34	A	Interest	K	T					
27. Amer Fds Cap Wrld G&I	A	Dividend	J	T					
28. EOG Resources	A	Dividend	K	T					
29. First Busey Corp(Y)									
30. Hewlett Packard	A	Dividend	J	T					
31. Johnson & Johnson	A	Dividend	K	T					
32. Eli Lilly	A	Dividend	J	T					
33. Pfizer	A	Dividend	J	T					
34. Vodafone Group	A	Dividend	J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (Sec Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (Sec Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (Sec Column C2) U=Book Value V=Other W=Estimated

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (I-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

35. Xerox	A	Dividend	J	T					
36. NYS Envrmt 4% 6/15/14	A	Interest	K	T					
37. MTA NY Trans 4.5% 11/15/38		None	K	T	Buy	12/02/11	K		
38. Mass WTR 2% 8/1/11	A	Interest			Matured	08/01/11	L		
39. Norwalk CT 2%7/1/12	A	Interest	K	T					
40. NYS Dorm 4%12/15/16	A	Interest	K	T					
41. NYS Envrmt 4.625% 6/15/33	A	Interest	K	T					
42. Sentl Cap Mkts	A	Dividend			Sold	11/01/11	L	D	
43. Financial Sector SPDR	A	Dividend	J	T					
44. Intuitive Surgical		None	L	T					
45. NY TWY 3.125% 3/15/25	A	Interest	K	T					
46. Port Auth 4% 9/15/30	C	Interest	L	T					
47. NYS LOC 4% 4/1/24	A	Interest	K	T	Buy	01/05/11	K		
48. NYS Dorm 3.75% 03/15/26	A	Interest	L	T	Buy	07/28/11	L		
49. NYC WTR 4.5% 06/15/29	A	Interest	J	T	Buy	05/20/11	J		
50. NYC TRANS 4.125% 7/15/31		None	K	T	Buy	12/14/11	K		
51. NYS DORM 4.25% 7/1/39		None	L	T	Buy	11/02/11	L		

- |  |  |  |  |  |                         |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value  | R = Cost (Real Estate Only)<br>V = Other   | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |
| 3. Value Method Codes<br>(See Column C2)         |  |  |  |  |                         |

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. NYS DORM 5% 5/01/41		None	K	T	Buy	12/02/11	K		
53. NYS WTR 4.5% 6/15/37	A	Interest	J	T	Buy	11/02/11	J		
54. Halliburton Co	A	Dividend	J	T					
55. Dreyfus Appreciation	A	Dividend	K	T					
56. Dreyfus Tax Exempt	A	Interest	K	T					
57. Dreyfus Emerging Leaders name change/ merged Opp Small Cap		None	K	T					
58. Dreyfus Mid Cap	B	Dividend	L	T					
59. Dreyfus Short Muni	A	Interest	K	T					
60. Dreyfus Global Stock	A	Dividend	K	T					
61. Dreyfus Strat Val	A	Dividend	J	T	Buy	12/07/11	J		
62. NYS Dorm 4.25% 7/1/32		None	L	T	Buy	12/02/11	K		
63. NYS Envmntl 4.5 % 11/15/34	A	Interest	J	T	Buy	5/09/11	J		
64. IRA Acct Wells fargo									
65. Boston Scientific (Y)									
66. Eli Lilly	A	Dividend	J	T					
67. Medco Health (Y)									
68. Pfizer	B	Dividend	K	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Simon Property	B	Dividend	K	T					
70. UDR Inc	B	Dividend	K	T					
71. American Express CD 12/30/11	A	Interest			Matured	12/30/11	K		
72. Franklin Mutual Discovery	A	Dividend	K	T					
73. New Economy	A	Dividend	K	T					
74. US Savings Bonds		None	K	T					
75. Summit/Syracuse Police Fed CUCDs & Base A/C	A	Interest	K	T					
76. M&T Bank Checking	A	Interest	K	T					
77. Metlife Bank & Money Mrk	A	Interest	J	T					

- |  |   |  |   |  |                       |
|--|---|--|---|--|-----------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A=\$1,000 or less<br>F=\$50,001 - \$100,000                                       | B=\$1,001 - \$2,500<br>G=\$100,001 - \$1,000,000   | C=\$2,501 - \$5,000<br>H1=\$1,000,001 - \$5,000,000                                 | D=\$5,001 - \$15,000<br>H2=More than \$5,000,000         | E=\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J=\$15,000 or less<br>N=\$250,001 - \$500,000<br>P3=\$250,000.001 - \$500,000.000 | K=\$15,001 - \$50,000<br>O=\$500,001 - \$1,000,000 | L=\$50,001 - \$100,000<br>P1=\$1,000,001 - \$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001 - \$250,000<br>P2=\$5,000,001 - \$25,000,000 |                       |
| 3. Value Method Codes<br>(See Column C2)         | Q=Appraisal<br>U=Book Value   | R=Cost (Real Estate Only)<br>V=Other               | S=Assessment<br>W=Esti  | T=Cash Market  |                       |



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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McCurn, Neal P.	06/07/2012

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Neal P. McCurn**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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