AO-10 (WP)
Rev. 1/2007

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978, (5 U.S.C. app. §§101-111)

1. Person Reporting *(Last name, first, middle initial)*
McLaughlin, Joseph M.

2. Court or Organization
U.S. Court of Appeals
Second Circuit

3. Date of Report
5/15/07

4. Title *(Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)*
Senior Status

5a. Report Type (check appropriate type)
___ Nomination, Date ________
___ Initial X Annual ___ Final

5b. ___ Amended Report

6. Reporting Period
Jan. 1, 2006 to
Dec. 31, 2006

7. Chambers or Office Address

8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.

Reviewing Officer ____________________ Date ________

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of Instructions.)*

POSITION — NAME OF ORGANIZATION/ENTITY

X NONE (No reportable positions.)

1

2

3

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of Instructions.)*

DATE — PARTIES AND TERMS

X NONE (No reportable agreements.)

1

2

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

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	DATE	SOURCE AND TYPE	INCOME
A.	**Filer's Non-Investment Income**		
		NONE (No reportable non-investment income.)	
1	2006	Matthew Bender, Royalty	$110,482
2			$
3			$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

NONE (No reportable non-investment income.)

1

2

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
[X]	**NONE** (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	SOURCE	DESCRIPTION	VALUE
[X]	**NONE** (No such reportable gifts.)		
1			$
2			$
3			$
4			$

VI. LIABILITIES. *(Includes those of spouse and dependent children See pp. 32-33 of Instructions.)*

	CREDITOR	DESCRIPTION	VALUE CODE*
[X]	**NONE** (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000
N=$250,001-$500,000 O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000
P2=$5,000,001-$25,000,000 P3=$25,000,001-50,000,000 P4=$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period (1) Amt. Code1 (A-H)	B. (2) Type (e.g., div., rent or int.)	C. Gross value at end of reporting period (1) Value Code2 (J-P)	C. (2) Value Method Code3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	NONE (No reportable income, assets, or transactions.)									
1	Citigroup Smith Barney Money Market acct.	A	Int.	J	T					
2	Common Stock DNP Select Income Fund	E	Div.	N	T					
3	USAA Tax Exempt Bond Fund	E	Div.	O	T	Buy	9/28 06	K		Public Trading
4	"					Buy	4/11 06	K		Public Trading
5	Common Stock Suburban Propane	B	Div.	K	T					
6	Common Stock Citicorp	B	Div.	K	T					
7	TIAA/Cref Managed Allocation Fund	E	Div.	O	T	Received Transfer 9/22/06		L		Explanation in VIII
8	TIAA/CREF Growth Equity Fund	A	Div.	J	T	Transferred funds 9/22/06		L		Explanation in VIII
9	Annual Summary State. TIAA/CREF Pension	G	Min. Dist.	P1	V					Explanation in VIII
10	Annual Summary State. Keogh	B	Min. Dist.	L	V					Explanation in VIII
11	TIAA/CREF IRA TIAA/CREF Growth Fd.	B	Min. Dist.	K	V					Explanation in VIII
12										
13										
14										
15										
16										
17										

1	Income/Gain Codes: (See Col. B1, D4)	A=$1,000 or less F=$50,001-$100,000	B=$1,001-$2,500 G=$100,001-$1,000,000	C=$2,501-$5,000 H1=$1,000,001-$5,000,000	D=$5,001-$15,000 H2=More than $5,000,000	E=$15,001-$50,000
2	Value Codes: (See Col. C1, D3)	J=$15,000 or less N=$250,001-$500,000 P3=$25,000,001-$50,000,000	K=$15,001-$50,000 O=$500,001-$1,000,000	L=$50,001-$100,000 P1=$1,000,001-$5,000,000 P4=More than $50,000,000	M=$100,001-$250,000 P2=$5,000,001-$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

VIII
Line 7 & 8 I transferred funds from TIAA/CREF Growth Equity to TIAA/CREF Managed Allocation Fund.

VIII
Line 9 TIAA/CREF is a retirement plan established while I was a law teacher. I am now taking Minimum Distribution from this plan.

VIII
Line 10 A large portion of my Keogh funds were rolled over to TIAA/CREF in 2003. TIAA/CREF gives me a Minimum Distribution from these funds. I took a Minimum Distribution from the remaining funds in 2006.

VIII.
Line 11 These are old IRA accounts with TIAA/CREF from which I am now taking Minimum Distribution.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signatu[redacted] Date May 15, 2007

NOT[redacted]LLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SU[redacted] app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544