

AO 10  
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

Report Required by the Ethics  
in Government Act of 1978  
15 U.S.C. app. §§ 101-111

1. Person Reporting (last name, first, middle initial) <b>McLaughlin, Joseph M.</b>	2. Court or Organization <b>United States Court of Appeal for the Second Circuit</b>	3. Date of Report <b>5/10/2010</b>
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  <b>Senior Status</b>	5a. Report Type (check appropriate type)  <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period <b>Jan. 1, 2009</b> to <b>Dec. 31, 2009</b>
7. Chambers or Office Address <b>U.S. Courthouse 2402 Thurgood Marshall U.S. Courthouse 40 Centre St., N.Y. 10007</b>	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1	None	
2		
3		
4		
5		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	None	
2		
3		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1	2009	Matthew Bender, Royalty	\$116,138
2			
3			
4			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1	None	
2		
3		
4		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1	None				
2					
3					
4					
5					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1	None		
2			
3			
4			
5			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1	None		
2			
3			
4			
5			

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Morgan Stanley, Smith Barney Money Mkt. Acct.	A	Int.	J	T					
2 Common Stock, DNI Select Income Fund	E	Div.	M	T					
3 USAA Tax Exempt Bond Fund	E	Div.	O	T	Buy	5/8 09	K		Public Trad.
4				T	Buy	6/8 09	J		
5				T	Buy	7/28 09	K		
6 Common Stock Suburban Propane	C	Div.	K	T					
7 Common Stock Citicorp	A	Div.	J	T					
8 TIAA/CREF Managed Allocation Fund	E	Div.	O	T	Buy	9/28 09	K		Public Trad.
9 TIAA/CREF Growth Equity Fund	A	Div.	J	T					
10 Annual Summary State. TIAA/CREF Pension		None	Pl	V					Explanation in VIII
11 Annual Summary State. Keogh		None	L	V					
12 TIAA/CREF IRA TIAA/CREF Growth Fund		None	K	V					
13									
14									
15									
16									
17									

1 Income Gain Codes (See Columns B1 and D4)	A \$1,000 or less F \$50,001 - \$100,000 J \$15,000 or less N \$250,001 - \$500,000 Pj \$25,000,001 - \$50,000,000	B \$1,001 - \$2,500 G \$100,001 - \$1,000,000 K \$15,001 - \$50,000 O \$500,001 - \$1,000,000	C \$2,501 - \$5,000 H1 \$1,000,001 - \$5,000,000 I \$50,001 - \$100,000 P1 \$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D \$5,001 - \$15,000 H2 More than \$5,000,000 M \$100,001 - \$250,000 P2 \$5,000,001 - \$25,000,000	E \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q Appraisal U Book Value	R Cost (Real Estate Only) V Other	S Assessment W Estimated	T Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII.

Line 10 TIAA/CREF is a retirement plan established while I was a law teacher. I did not take minimum distribution from this plan in 2009.

VII.

Line 11 A large portion of my 401k funds were rolled over to TIAA/CREF in 2003. I took no minimum distribution from these funds in 2009. I took no minimum distribution from the remaining funds in 2009.

VII.

Line 12 These are old IRA accounts with TIAA/CREF from which I took no minimum distribution in 2009.

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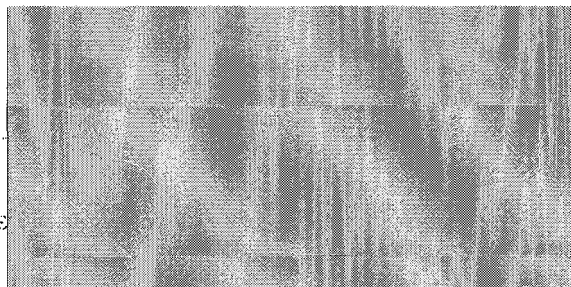
Name of Person Reporting <b>McLaughlin, Joseph M.</b>	Date of Report <b>5/10/2010</b>
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544