SELF INITIATED AMENDMENT

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
U.S. COURTHOUSE
700 STEWART STREET, ROOM 14229
SEATTLE, WASHINGTON 98101

MARSHA J. PECHMAN
JUDGE

(206) 370-8820

May 17, 2010

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: 2009 FDR and Revised 2008 FDR

To whom it may concern:

Enclosed please find the signed original and three copies of my 2009 Financial Disclosure Report. Thank you for the brief extension to finalize this report, along with the revised 2008 FDR which is also enclosed with this cover letter.

The revised 2008 FDR was necessitated when my staff discovered (during preparation of the 2009 FDR) documentation of several 2008 investments [redacted] law firm which had not been provided in advance of preparing the 2008 FDR last year. I am at a loss to account for the oversight by the law firm's investment consultants, but do note that the investments are all in bank CD's and mutual funds and do not implicate any potential conflicts of interest which might have been overlooked during 2009.

Thank you again for the extension of the FDR deadline which permitted my staff to integrate this new information into the preparation of the two reports.

[redacted]

United States District Judge

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AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

SELF INITIATED AMENDMENT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pechman, Marsha J.	**2. Court or Organization** U.S. District Court	**3. Date of Report** 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge - active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2008 to 12/31/2008
7. Chambers or Office Address 700 Stewart Street, Room 14229 Seattle, WA 98101	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer ______ Date ______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1/1/99	Public Employees Retirement System, State of Washington (retirement benefit plan)
2.		
3.		

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2008	Preg O'Donnel & Gillett
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1. Visa	Credit card	J
2. [redacted] college tuition	Periodic payment contract w/ [redacted]	K
3. [redacted] college tuition	Periodic payment contract w/ [redacted]	K
4.		
5.		

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Advanta Bank Corp CD		None	K	T	Buy	10/21	K		(see VIII. notes)
2. Alliance-Bernstein Global Tech Cl B		None			Sold	10/23	K	A	
3. Bank Dep Sweep Opt	A	Interest	L	T					
4. Baron Asset Fund		None	J	T	Buy	10/21	J		
5.					Buy (add'l)	12/24	J		
6. Bridgeway Fund, Inc.		None	J	T	Buy	10/21	J		
7.					Buy (add'l)	12/18	J		
8. Capital World Growth & Income Fund, Cl A	B	Dividend			Sold	8/5	J	A	
9. Capital World Growth & Income Fund, Cl F	B	Dividend			Sold	8/5	K	B	
10. Centennial Money Mkt	A	Interest			Closed	8/5	J		(see VIII. notes)
11. Cisco Systems		None	J	T					
12. Colonial Bank CD		None	L	T	Buy	10/21	L		(see VIII. notes)
13. Comerica Bank CD		None	L	T	Buy	10/21	L		(see VIII. notes)
14. Davis New York Venture Fund Inc. Cl. Y		None	J	T	Buy	10/21	J		(see VIII. notes)
15. Dodge & Cox Income		None	J	T	Buy	10/21	J		
16.					Buy (add'l)	12/24	J		
17. Dodge & Cox International		None	J	T	Buy	10/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Dodge & Cox International					Buy (add'l)	12/24	J		
19. Dodge & Cox International					Buy (add'l)	12/26	J		
20. Duke Energy Corp.	A	Dividend	J	T					
21. Emerson Electric	A	Dividend	K	T					
22. EuroPacific Growth Fund		None	J	T	Buy	10/21	J		
23.					Buy (add'l)	12/24	J		
24. Exxon/Mobil Corp.	A	Dividend	J	T					(see VIII. notes)
25. Federated Money Market	D	Interest	M	T					
26. Fidelity Adv Eq & Inc., Cl A (converted fr om FA Eq Port B)	A	Dividend			Sold	10/21	J	D	
27. Fidelity Adv Mid Cap Fund, Cl A		None			Sold	10/21	K	A	
28. Franklin Custodian Funds, Growth Series Cl A		None			Sold	7/28	J	C	
29. Franklin Rising Dividends A	A	Dividend			Sold	7/28	K	C	
30. Franklin Small Mid Cap Growht Fund, Cl A		None			Sold	7/28	K	A	
31. General Electric	A	Dividend	K	T					
32. Growth Fund America Inc., Cl A	A	Dividend			Sold	8/5	J	A	
33. Growth Fund America Inc., Cl F	A	Dividend			Sold	8/5	K	C	
34. Harbor Bond Fund		None	J	T	Buy	10/21	J		(see VIII. notes)

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

VII. INVESTMENTS and TRUSTS – *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Investment Co. America, Cl F	A	Dividend			Sold	8/5	K	B	
36. Janus Inv. Fund Perkins Mid Cap Value F und	A	Dividend	J	T	Buy	10/21	J		(see VIII. notes)
37. Johnson & Johnson	A	Dividend	K	T					
38. Medtronic, Inc.	A	Dividend			Sold	10/6	J	A	
39. Mutual Service Fund, Inc., Beacon Fund Cl A	A	Dividend			Sold	8/5	K	A	
40. Oppenheimer Main Str Fund	A	Dividend	K	T					
41. Pioneer Cullen Value Fund Cl A		None			Buy	10/21	J		(see VIII. notes)
42. Powershares Biotech & Genome Portfolio		None			Sold	7/28	J	A	
43. Powershares Wilder Hill Clean Energy Portf olio	A	Dividend			Sold	7/28	J	A	
44. Spectra Energy Corp.	A	Dividend	J	T					
45. Target	A	Dividend	J	T					(see VIII. notes)
46. Templeton Fund (World Funds Cl A)	A	Dividend			Sold	8/5	J	A	
47. Templeton Growth Fund	A	Dividend			Sold	7/28	K	A	
48. TCW Funds Inc Relative Value Lg Cap Cl I	A	Dividend	J	T	Buy	10/21	J		(see VIII. notes)
49. Touchstone Instl Funds		None			Buy	10/21	J		(see VIII. notes)
50. T Rowe Price Growth Stock Fund Inc		None			Buy	10/21	J		(see VIII. notes)
51. T Rowe Price Pers Strategy Funds Inc		None			Buy	10/21	J		(see VIII. notes)

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Vanguard Bond Index	A	Dividend	L	T	Buy	10/21	L		(see VIII. notes)
53. Vanguard Inflation-Protected Securities	A	Dividend	K	T	Buy	8/13	K		
54. Vanguard Prime Money Mkt Fund	A	Dividend	K	T	Open	8/14	K		
55. Vanguard Strategic Equity Fund	A	Dividend	K	T	Buy	8/04	K		
56. Vanguard Total International Stock Index F und	A	Dividend	K	T	Buy	8/04	J		
57.					Buy (add'l)	8/13	K		
58. Vanguard Total Stock Market Index Fund	A	Dividend	L	T	Buy	8/13	L		
59. Vanguard Wellesley Income Fund	A	Dividend	J	T	Buy	8/04	J		
60. Vanguard Wellington Fund	A	Dividend	K	T	Buy	8/13	K		
61. Washington Mutual Investors Fund	A	Dividend			Sold	7/28	K		
62. Wells Fargo & Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. 1. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 10. Centennial Money Market was the money market fund associated with Judge Pechman's investments with AG Edwards. Judge Pechman moved all her investments over to Vanguard Securities in August 2008; AG subsequently was dissolved.

VII. 12. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 13. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 14. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 21. The Exxon Mobil stock was mistakenly reported as "Sold" in the 2007 FDR; that transaction should have been reported as "Sold (part)" as there are still holdings of Exxon stock in [redacted] portfolio.

VII. 34. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 36. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 39. The Target stock was mistakenly reported as "Sold" in the 2007 FDR; that transaction should have been reported as "Sold (part)" as there are still holdings of Target stock in [redacted] portfolio.

VII. 41. This investment was inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

VII. 48-52. These investments were inadvertently left off the original 2008 FDR; the omission was discovered when reviewing the 2009 investment information.

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10 Rev. 1/2010	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Pechman, Marsha J.	**2. Court or Organization** U.S. District Court	**3. Date of Report** 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge - active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address 700 Stewart Street, Room 14229 Seattle, WA 98101	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**________ **Date**______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1/1/99	Public Employees Retirement System, State of Washington (retirement benefit plan)
2.		
3		

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Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2009	Preg O'Donnel & Gillett
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Visa	Credit card	J
2.	[redacted] college tuition	Periodic payment contract w/ [redacted]	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pechman, Marsha J.	05/14/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Advanta Bank Corp CD	B	Interest	L	T					(see VIII. notes)
2. Bank Dep Sweep Opt (money market acct)	A	Interest	J	T					
3. Baron Asset Fund	A	Dividend			Sold	12/03/09	J	A	
4. Bridgeway Fund, Inc.	A	Dividend			Sold	12/03/09	J	A	
5. Cisco Systems		None	J	T					
6. Colonial Bank CD		None			Sold	10/23/09	L	A	(see VIII. notes)
7. Columbus Bank & Trust Co. CD		None	K	T	Buy	08/31/09	K		
8. Comerica Bank CD		None	L	T					(see VIII. notes)
9. Davis New York Venture Fund Inc. Dl. Y	A	Dividend	J	T					(see VIII. notes)
10. Dodge & Cox Income	A	Dividend	J	T					
11. Dodge & Cox International	A	Dividend	J	T	Buy (add'l)	12/03/09	J		
12. Duke Energy Corp.	A	Dividend	J	T					
13. Emerson Electric	A	Dividend	J	T					
14. EuroPacific Growth Fund	A	Dividend	J	T	Buy (add'l)	12/03/09	J		
15. Exxon/Mobil Corp.	A	Dividend	J	T					
16. Fairholme Funds Inc.		None	K	T	Buy	12/03/09	K		
17. Federated Money Market	B	Interest			Sold (part)	02/20/09	M	A	

1. Income Gain Codes. (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Federated Money Market					Sold	08/31/09	L	A	
19. General Electric	A	Dividend	J	T					
20. Harbor Bond Fund	A	Dividend	J	T					(see VIII. notes)
21. Janus Inv. Fund Perkins Mid Cap Value Fund	A	Dividend			Sold	12/03/09	J	A	(see VIII. notes)
22. Johnson & Johnson	A	Dividend	K	T					
23. Oppenheimer Main Str Fund	A	Dividend			Sold	12/03/09	K	A	
24. Pioneer Cullen Value Fund Cl A	A	Dividend	J	T					(see VIII. notes)
25. Professionally Mngd Fund Portfolio - Ostweis Funds	A	Dividend	K	T	Buy	12/03/09	K		
26. Spectra Energy Corp.	A	Dividend	J	T					
27. Target	A	Dividend	J	T					
28. TCW Funds Inc Relative Value Lg Cap Cl I	A	Dividend			Sold	12/03/09	J	A	(see VIII. notes)
29. Touchtone Instl Funds		None	J	T					(see VIII. notes)
30. T Rowe Price Growth STock Fund Inc.	A	Dividend	J	T					(see VIII. notes)
31. T Rowe Price Pers Strategy Funds Inc.	A	Dividend	J	T					(see VIII. notes)
32. Vanguard Bond Index	A	Dividend	L	T	Buy (add'l)	04/21/09	J		(see VIII. notes)
33.					Buy (add'l)	08/31/09	K		
34.					Buy (add'l)	12/03/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$2[illegible],001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vanguard Fixed Income	A	Dividend	M	T	Buy	02/20/09	M		
36.					Buy (add'l)	04/21/09	J		
37.					Buy (add'l)	08/31/09	K		
38.					Buy (add'l)	12/03/09	K		
39. Vanguard Inflation-Protected Securities	A	Dividend	K	T					
40. Vanguard Prime Money Mkt Fund	A	Dividend	K	T					
41. Vanguard Short Term - Treas Admiral	A	Dividend	M	T	Buy	02/20/09	M		
42.					Buy (add'l)	04/21/09	J		
43. Vanguard Strategic Equity Fund	A	Dividend	K	T					
44. Vanguard Total International Stock Index Fund	A	Dividend	K	T					
45. Vanguard Total Stock Market Index Fund	B	Dividend	L	T					
46. Vanguard Wellesley Income Fund	A	Dividend	J	T					
47. Vanguard Wellington Fund	B	Dividend	K	T					
48. Wells Fargo & Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. 1. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 6. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 8. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 9. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 20. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 21. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 24. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 24. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

VII. 28-32. This holding was acquired in 2008 but inadvertently omitted from the 2008 FDR; a revised 2008 FDR has been submitted.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signat

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544