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Rev. 1/2007

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pfaelzer, Mariana R	Central District of California	05/10/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
Senior U.S. District Judge	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	01/01/2006 to 12/31/2006
7. Chambers or Office Address	**8.** On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
U.S. District Court 312 North Spring Street Los Angeles, CA 90012	Reviewing Officer______________ Date________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Trustee	Norton Simon Museum
2.	Trustee	Trust # 1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Name of Person Reporting	Date of Report
Pfaelzer, Mariana R	05/10/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		
5.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[X] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.		
2.		
3.		
4.		
5.		

Name of Person Reporting	Date of Report
Pfaelzer, Mariana R	05/10/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pfaelzer, Mariana R	05/10/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank IRA (CDS)	C	Interest	M	T					
2.	D	Distribution							See Section VIII
3. Wells Fargo Checking	A	Interest	M	T					
4. Wells Fargo IRA #1 (U.S. Treasury Notes)	G	Interest	P1	T					
5.	G	Distribution							See Section VIII
6. Wells Fargo Custody Account # 1									
7. Zenith National Insurance Co. Common Stock	D	Dividend	N	T					
8. Equity Office Properties Trust Common Stock	A	Dividend	J	T					
9. Shares Wells Fargo CA Tax-Free Money Mkt Fund	D	Dividend	N	T					
10. CA Health Facs Fing Auth Bonds 6% 7/01/06	D	Interest		T	Redemption	07/01	N	A	
11. CA State 3.5% 3/1/07	C	Interest	L	T					
12. CA State 7.2% 5/1/06	C	Interest		T	Redemption	05/01	M	A	
13. CA State 6.5% 10/1/08	C	Interest	M	T					
14. CA State General Obligation 6.5% 11/1/08	A	Interest	M	T	Buy	05/23	M		
15. CA State 6.25% 9/1/07	C	Interest	M	T					
16. CA State 6.3% 10/1/07	D	Interest	N	T					
17. CA State 6.4% 9/1/07	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Pfaelzer, Mariana R	05/10/2007

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CA State 4.75% 9/1/08	A	Interest	M	T	Buy	05/26	M		
19. CA State General Obligation 4.6% 9/1/06	C	Interest		T	Redemption	09/01	L	A	
20. CA State 6.1% 10/1/09	B	Interest	M	T	Buy	01/03	M		
21. CA State 4% 12/1/08	B	Interest	L	T					
22. CA State General Obligation 5.5% 10/1/07	C	Interest	M	T					
23. CA State Ref 5.0% 10/1/08	C	Interest	M	T	Buy	09/21	M		
24. CA State 5.0% 3/1/09	B	Interest	M	T	Buy	09/19	M		
25. CA State General Obligation 4.75% 5/1/08	C	Interest	M	T					
26. CA State 5.0% 10/1/07	C	Interest	.M	T					
27. CA State 5.0% 3/1/09	C	Interest	M	T					
28. CA State 4.75% 6/1/07	C	Interest	M	T					
29. CA State 4.25% 11/1/06	B	Interest		T	Redemption	11/01	L	A	
30. CA State 5.0% 11/1/07	D	Interest	N	T					
31. CA State 5.25% 11/1/08	C	Interest	M	T					
32. CA State 5.0% 2/1/09	C	Interest	M	T					
33. CA State 4.0% 2/1/08	D	Interest	N	T					
34. CA State 4.5% 2/1/09	C	Interest	M	T	Buy	05/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Pfaelzer, Mariana R	05/10/2007

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. CA State 4.5% 2/1/09	A	Interest	M	T	Buy	11/09	M		
36. CA State 5.0% 2/1/08	C	Interest	M	T					
37. CA State Public Works Bd Energy Efi Rev 5.15% 9/1/07	B	Interest	L	T					
38. CA State Economic 5.0% 7/1/07	D	Interest	N	T					
39. CA State Economic 5.0% 1/1/08	D	Interest	N	T					
40. CA State Economic 5.0% 7/1/23	D	Interest	N	T					
41. CA State Economic 5.0% 7/1/23	D	Interest	N	T					
42. CA State Economic 3.0% 7/1/23	C	Interest	L	T					
43. CA State 3.5% 7/1/23	D	Interest	N	T					
44. CA State Pub 5. 5.0% 12/1/07	B	Interest	L	T					
45. CA State Public Works Bd Energy Efi Rev 6.0% 1/1/06	B	Interest		T	Redemption	01/01	N	A	
46. Fresno California JT Powers 4.75% 9/1/08	A	Interest	M	T	Buy	05/26	M		
47. Fresno CA USD 4.5% 5/1/10	B	Interest	L	T					
48. Los Angeles County 6.9% 6/30/08	D	Interest	M	T	Buy	04/17	M		
49. Sacramento CA Power 4.0% 7/1/08	C	Interest	M	T					
50. US Treasury Notes 5.5% 2/15/08	C	Interest	M	T					
51. US Treasury Notes 5.625% 5/15/08	C	Interest	M	T	Buy	05/04	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. US Treasury Notes 4.75% 11/15/08	B	Interest	L	T	Buy	08/24	L		
53. US Treasury Notes 3.25% 8/15/07	D	Interest	M	T					
54. US Treasury Notes 3.0% 11/15/07	D	Interest	M	T					
55. US Treasury Notes 3.0% 2/15/08	B	Interest	L	T	Buy	01/23	L		
56. US Treasury Notes 2.0% 5/15/06	C	Interest		T	Redemption	05/15	N	A	
57. US Treasury Notes 2.375% 8/15/06	D	Interest		T	Redemption	08/15	O	A	
58. US Treasury Notes 3.25% 8/15/08	D	Interest	N	T					
59. US Treasury Notes 3.125% 9/15/08	A	Interest	L	T	Buy	08/24	L		
60. US Treasury Notes 3.375% 11/15/08	D	Interest	L	T	Partial Sell	11/14	L	A	
61. US Treasury Notes 3.375% 12/15/08	C	Interest	L	T					
62. US Treasury Notes 2.25% 4/30/06	C	Interest		T	Redemption	04/30	M	A	
63. US Treasury Notes 3.125% 5/15/07	D	Interest	N	T					
64. US Treasury Notes 2.75% 8/15/07	D	Interest	N	T					
65. US Treasury Notes 2.875% 11/30/06	D	Interest		T	Redemption	11/30	N	A	
66. US Treasury Notes 3.125% 1/31/07	D	Interest	N	T					
67. US Treasury Notes 3.375% 2/15/08	D	Interest	N	T					
68. US Treasury Notes 3.75% 5/15/08	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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69. US Treasury Notes 4.125% 8/15/08	B	Interest	N	T	Buy	06/15	N		
70. CA State 5.0% 10/1/2009		None	M	T	Buy	10/16	M		
71. CA State FGIC-TCRS 6.6% 02/1/09		None	M	T	Buy	10/11	M		
72. CA State Economic Recovery 5.0% 01/01/09		None	M	T	Buy	07/20	M		
73. CA State Economic Recovery 5.0% 01/01/09		None	M	T	Buy	09/18	M		
74. Sacramento CA City Uni Sch Ser A 5.75% 07/01/13		None	L	T	Buy	12/01	L		
75. South Orange County CA Public Financing 8.0% 8/15/09		None	L	T	Buy	12/15	L		
76. 2010 Reservoir RD Investors LLC	D	Rent	L	U					
77.	A	Interest							See Section VIII
78.	C	Distribution							See Section VIII
79. Wells Fargo Checking # 2 " Contruction Account"	A	Dividend		T					
80. Trust # 1									
81. Shares Wells Fargo Govt Money Market Fund	A	Dividend	J	T					
82. Fed Home Loan Bank Bond 5.0% 08/20/08	C	Interest	L	T					
83.									
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Pfaelzer, Mariana R	05/10/2007

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.									
87.									
88.									
89.									
90.									
91.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** May 14, 2007

NOTE: ANY [redacted] **TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMI**[redacted]

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544