

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2008**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) <b>POLOZOLA, FRANK J.</b>	2. Court or Organization <b>U.S. District Court Middle District Louisiana</b>	3. Date of Report <b>MAY 11, 2009</b>
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) <b>U.S. District Judge SENIOR STATUS</b>	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period <b>JAN. 1, 2008</b> to <b>Dec. 31, 2008</b>
7. Chambers or Office Address <b>777 Florida St. Ste 313 BATON ROUGE, LA. 70801</b>	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	<b>Board of Directors (Jan. 1 - June 30, 2008)</b>	<b>Catholic High School</b>
2.		
3.		
4.		
5.		

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 11

Name of Person Reporting

**POLOZOLA, FRANK J.**

Date of Report

**MAY 11, 2009**

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

**IV. REIMBURSEMENTS -** transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 11

Name of Person Reporting

POLOZOLA, FRANK J.

Date of Report

MAY 11, 2009

**V. GIFTS.** (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		DONATIONS ARE MADE TO A SCHOLARSHIP FUND SETUP IN MY NAME	
2.		BY FORMER LAWYERS AND STAFF. THE AMOUNTS OF DONATIONS AND	
3.		THE DONOR'S NAME ARE NOT DISCLOSED TO ME [REDACTED]	
4.			
5.			

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

# FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

POLOZOLA, FRANK J.

Date of Report

MAY 11, 2009

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. POSTAL CREDIT UNION	A	INT	J	T					
2. CAPITAL ONE ACCTS	B	INT	N	T					
3. KANSAS CITY LIFE (IRAS)			J	T					
4. Aggressive Equity Mid CAP									
5. Fixed Income Large CAP									
6. CHASE BANK ACCTS CDs	D	INT	J	T	Redemption 8 CD	11/24	M	D	transferred to CAP ONE
7. KANSAS CITY LIFE (VAN)	<del>A</del>		K	T					
8. Aggressive Equity USEQUITY Sector									
9. MID CAP Fixed Income Large CAP									
10. Met Life whole IRAS	A	DIV	K	T					
11. MORGAN KEEGAN (RFD) (Fund)	A	INT			transfer to DGG fund	3/31	K	A	transferred to DGG fund
12. MORGAN KEEGAN (RFD) (Fund)	A	INT	K	T					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

POLOZOLA, FRANK J

Date of Report

MAY 11, 2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

There are no pages 5-9

VII INVESTMENTS + TRUSTS

- (3) KANSAS CITY LIFE (IRAs) - NO INCOME WAS EARNED FOR THE YEAR BECAUSE OF LOSS FOR THE YEAR. THEREFORE B (1)+(2) WERE LEFT BLANK.
- (4) KANSAS CITY LIFE (VAR POLICY) NO INCOME WAS EARNED FOR THE YEAR BECAUSE OF LOSS FOR THE YEAR. B (1)+(2) WERE LEFT BLANK.

**FINANCIAL DISCLOSURE REPORT**

Page 11 of 11

Name of Person Reporting

POLOZOLA, Frank J

Date of Report

MAY 11, 2009

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544