AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pregerson, Dean D.	Central District of California	06/12/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011
7. Chambers or Office Address		
312 N. Spring Street Los Angeles, CA 90012		

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Salvation Army Los Angeles Metropolitan
2.	Custodian	West,pimt Asset Management U/CA/UTMA
3.	Custodian	West,pimt Asset Management U/CA/UTMA
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Pregerson, Dean D.	06/12/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2011	Self-Employed Consultant/Counselor
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Pregerson, Dean D.	06/12/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	MorganStanley	Portfolio CreditLine	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pregerson, Dean D.	06/12/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Community Property A/C									
2.	- Microsoft	D	Dividend	M	T					
3.	- Metlife Ins Co of Connecticuit Annuity		None	N	T					
4.	[redacted] B									
5.	- Smith Barney Money Market	A	Dividend	K	T					
6.	- Intel	A	Dividend			Sold	06/27/11	J	A	
7.	- Microsoft	A	Dividend			Sold	06/27/11	J	A	
8.	- Pfizer Inc	A	Dividend			Sold	06/27/11	J	C	
9.	- Tim Hortons Inc	A	Dividend			Sold	06/27/11	J	A	
10.	- Wal-Mart Stores INc	A	Dividend			Sold	06/27/11	J	C	
11.	- Wendys International Inc	A	Dividend	J	T					
12.	- Fidelity Spartan 500	A	Dividend			Sold	06/27/11	J	A	
13.	- Janus Fund	A	Dividend			Sold	06/27/11	J	A	
14.	- Janus Global Select	A	Dividend			Sold	06/27/11	K	A	
15.	- Israel Due 2014 7th Dev B	A	Dividend	J	T					
16.	- Black Rock Equity Dividend Fund	A	Dividend	J	T	Buy	06/27/11	J		
17.	- FMI Large Cap	A	Dividend	J	T	Buy	07/18/11	J		

1. Income Gain Codes. (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Fidelity Contrafund	A	Dividend	J	T	Buy	06/27/11	J		
19. - JP Morgan Mid-Cap Value Fund	A	Dividend	J	T	Buy	06/27/11	J		
20. - Loomis Sayles Small Cap Growth	A	Dividend	J	T	Buy	11/02/11	J		
21. - Morgan Stanley Instit - Mid-Cap Growth	A	Dividend	J	T	Buy	06/28/11	J		
22. - Touchstone Small Cap Core	A	Dividend	J	T	Buy	11/02/11	J		
23. - Artisan International Fund	A	Dividend	J	T	Buy	06/27/11	J		
24. - Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy	06/27/11	J		
25. - Oakmark International Small Cap Fund	A	Dividend	J	T	Buy	06/27/11	J		
26. - Aberdeen Emerging Markets	A	Dividend	J	T	Buy	06/28/11	J		
27. - Nuveen Tradewinds Global All-Cap	A	Dividend	J	T	Buy	07/18/11	J		
28. - Aston/River Road Independent Value	A	Dividend	J	T	Buy	11/02/11	J		
29. - Center Coast MLP Focus Instl	A	Distribution	J	T	Buy	06/28/11	J		
30. - Marketfield Fund	A	Dividend	J	T	Buy	06/28/11	J		
31. - Permanent Portfolio	A	Dividend	J	T	Buy	06/28/11	J		
32. - RiverNorth Core Opportunity Fund	A	Dividend	J	T	Buy	06/28/11	J		
33. - DoubleLine Total Return Bond I	A	Int./Div.	J	T	Buy	06/28/11	J		
34. - Vangurard Interim-Term Tax-Exempt Bond Fund	A	Int./Div.	J	T	Buy	06/29/11	J		

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. - Templeton Global Total Return Bond Fund	A	Int./Div.	J	T	Buy	10/12/11	J		
36. - Templeton Global Bond Fund	A	Int./Div.			Buy	06/29/11	J		
37.					Sold	10/12/11	J	A	
38. - Schwab AMT Tax-Free Money Fund	A	Int./Div.	J	T	Buy	05/20/11	J		
39. [redacted] D									
40. - Smith Barney Cash & Moeny Market	A	Dividend	J	T					
41. - Intel Corp	A	Dividend			Sold	06/27/11	J	A	
42. - Microsoft Corp	A	Dividend			Sold	06/27/11	J	A	
43. - Pfizer Inc	A	Dividend			Sold	06/27/11	J	B	
44. - Wal-Mart Stores Inc	A	Dividend			Sold	06/27/11	J	B	
45. - Fidelity Concord Str Spartan US Equity	A	Dividend			Sold	06/27/11	J	A	
46. - Janus Fund Inc	A	Dividend			Sold	06/27/11	J	A	
47. - Janus Invt Fd Twenty Fd	A	Dividend			Sold	06/27/11	J	A	
48. - Janus Global Select	A	Dividend			Sold	06/27/11	K	A	
49. - Black Rock Equity Dividend Fund	A	Dividend	J	T	Buy	06/28/11	J		
50. - FMI Large Cap	A	Dividend	J	T	Buy	07/19/11	J		
51. - Fidelity Contrafund	A	Dividend	J	T	Buy	06/28/11	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. - JP Morgan Mid-Cap Value Fund	A	Dividend	J	T	Buy	06/27/11	J		
53. - Loomis Sayles Small Cap Growth	A	Dividend	J	T	Buy	11/02/11	J		
54. - Morgan Stanley Instit Mid-Cap Growth	A	Dividend	J	T	Buy	06/27/11	J		
55. - Touchstone Small Cap Core	A	Dividend	J	T	Buy	11/02/11	J		
56. - Artisan International Fund	A	Dividend	J	T	Buy	06/28/11	J		
57. - Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy	06/28/11	J		
58. - Oakmark International Small Cap Fund	A	Dividend	J	T	Buy	06/28/11	J		
59. - Aberdeen Emerging Markets	A	Dividend	J	T	Buy	06/28/11	J		
60. - Nuveen Tradeewinds Global All-Cap	A	Dividend	J	T	Buy	07/18/11	J		
61. - Aston/River Road Independent Value	A	Dividend	J	T	Buy	11/02/11	J		
62. Center Coast MLP Focus Instl	A	Distribution	J	T	Buy	06/28/11	J		
63. Marketfield Fund	A	Dividend	J	T	Buy	06/28/11	J		
64. Permanent Portfolio	A	Dividend	J	T	Buy	06/28/11	J		
65. RiverNorth Corp Opportunity Fund	A	Dividend	J	T	Buy	06/28/11	J		
66. - Double Line Total Return Bond I	A	Int./Div.	J	T	Buy	06/29/11	J		
67. - Vangurard Interim-Term Tax Exempt Fund	A	Int./Div.	J	T	Buy	06/29/11	J		
68. - Templeton Global Total Return	A	Int./Div.	J	T	Buy	10/12/11	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. Schwab AMT Tax-Free Money Fund	A	Int./Div.	J	T	Buy	06/29/11	J		
70. Smith Barney IRA #2									
71. - Alliancebernstein International Growth A	A	Dividend			Sold	05/20/11	J	A	
72. - Legg Mason Partners Aggressive Growth Fd	A	Dividend			Sold	05/20/11	K	A	
73. - Legg Mason Partners Appreciation Fund A	A	Dividend			Sold	05/20/11	J	A	
74. Fiduciary Cap Pension Prts DDP		None	J	U					
75. Smith Barney IRA #1									
76. - Citibank NA South Dakota Bank Deposit Pr	A	Dividend			Closed	05/10/11	L		
77. - GNMA MATY: 2015	A	Interest			Sold	05/16/11	L	C	
78. - CG Capital Markets - Large Cap Value Inv	D	Dividend			Sold	05/04/11	M	C	
79. - CG Capital Markets - Small Cap Value Inv	A	Dividend			Sold	05/04/11	K	B	
80. - CG Capital Markets - Emerging Markets In	C	Dividend			Sold	05/04/11	M	C	
81. - CG Capital Markets - Internat'l Equity	B	Dividend			Sold	05/04/11	K	C	
82. - CG Capital Markets - Large Cap Growth	A	Dividend			Sold	05/04/11	J	C	
83. - CG Capital Markets - Small Cap Growth	A	Dividend			Sold	04/20/11	J	A	
84. - Money Market	A	Interest	J	T	Buy	05/20/11	K		
85. - American Express Centurion Bk CD	A	Interest	L	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. - Capmart Bank CD	A	Interest	L	T					
87. - GMAC Bank CD	A	Interest	L	T					
88. - Keybank Nat'L Association CD	A	Interest	K	T					
89. IRA Rollover DP									
90. Blackrock Equity Dividend Fund	A	Dividend	L	T	Buy	05/20/11	L		
91.					Sold (part)	11/03/11	J	A	
92. FMI Large Cap	A	Dividend	L	T	Buy	07/08/11	L		
93. Fidelity Contrafund	A	Dividend	L	T	Buy	05/20/11	L		
94.					Sold (part)	11/03/11	J	A	
95. JP Morgan Mid-Cap Value Fund	A	Dividend	K	T	Buy	05/20/11	K		
96. Loomis Sayles Small Cap Growth	A	Dividend	K	T	Buy	11/02/11	K		
97. Morgan Stanley Instit Mid Cap Growth	A	Dividend	K	T	Buy	05/20/11	K		
98. Touchstone Small Cap Core	A	Dividend	K	T	Buy	11/02/11	K		
99. Artisan International Fund	A	Dividend	K	T	Buy	05/20/11	K		
100.					Sold (part)	11/03/11	J	A	
101. Dodge & Cox International Stock Fund	A	Dividend	K	T	Buy	05/20/11	K		
102.					Sold (part)	11/03/11	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103. Oakmark International Small Cap Fund	A	Dividend	K	T	Buy	05/20/11	K		
104. Aberdeen Emerging Marketsw	A	Dividend	K	T	Buy	05/23/11	L		
105.					Sold (part)	11/03/11	J	A	
106. Nuveen Tradewinds Global Alll-Cap	A	Dividend	L	T	Buy	07/11/11	K		
107. Aston/River Road INdependent Value	A	Dividend	L	T	Buy	11/03/11	L		
108. Marketfield Fund	A	Dividend	L	T	Buy	05/20/11	L		
109.					Sold (part)	11/03/11	J	A	
110. Permanent Portfolio	A	Dividend	L	T	Buy	05/20/11	L		
111.					Sold (part)	11/03/11	J	A	
112. RiverNorth Core Opportunity Fund	A	Dividend	L	T	Buy	05/20/11	L		
113. UBS E-Tracs Alerian MLP Infrastructure ETN.	A	Distribution	L	T	Buy	05/20/11	L		
114.					Sold (part)	11/03/11	J	A	
115. DoubleLine Total Return Bond I	B	Interest	L	T	Buy	05/20/11	L		
116.					Sold (part)	11/03/11	J	A	
117. PIMC|O Total Return Fund	A	Interest	L	T	Buy	05/20/11	O		
118. Templeton Global Gond Fund	B	Interest	L	T	Buy	05/20/11	L		
119. Allianz AGIC Opportunity Fund	A	Dividend			Buy	05/20/11	K		

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pregerson, Dean D.	06/12/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120.					Sold	11/01/11	K	A	
121. ICM Small Company Portfolio	A	Dividend			Buy	05/20/11	K		
122.					Sold	11/03/11	K	A	
123. JP Morgan Strategic Income Fund	A	Dividend			Buy	05/20/11	L		
124.					Sold	11/03/11	L	A	
125. Key Bank CD	A	Interest			Buy	05/19/11	K		
126.					Sold	10/11/11	K	A	
127.									

1. Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Pregerson, Dean D.	06/12/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#1 is a header
#4 is a header
#39 is a header
#70 is a header
#89 is a header

Name of Person Reporting	Date of Report
Pregerson, Dean D.	06/12/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Dean D. Pregerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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