

United States District Court  
Southern District of California  
940 Front Street  
San Diego, California 92101-8905

October 18, 2004

Chambers of  
John S. Rhoades  
Judge

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

FINANCIAL  
DISCLOSURE OFFICE

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Re: Calendar Year 2003 Filing

In response to your letter dated August 5, 2004, the following additional information is what is needed in order to close my 2003 report.

In Part VII, page 1, line 1, Column C(1), the applicable value code is "M".

In Part VII, page 1, lines 2-4, "Pasadena California CTFSMuni Bond(J)", Column D(2) should have been on the Calendar Year 2000 FDR. It was redeemed on May 4, 2000. Column D(3) should be "J".

"California State GEN OB Muni Bond (J)", Column D(2) should be November 3 and Column D(3) should be "K".

"Fairfield Calif PUB FIN Muni Bond (J)", Column D(2) should be August 1 and Column D(3) should be "J".

In Part VII, page 1, lines 8 and 9, "L.A. Public Works BE 4900", Column D(2) should have been reported on the Calendar Year 2001 FDR. The transaction took place on March 21, 2001. Column D(3) should be "J" and Column D(4) should be "A".

"Active Assets Tax Free Trust", Column D(2) should have been reported on the Calendar Year 2001 FDR. This transaction also took place on March 21, 2001. Column D(3) should be "J" and Column D(4) should be "A". Both of these should have been reported on the Calendar Year 2002 FDR also.

For your information, "Active Assets Tax Free Trust" is a checking account maintained by Morgan Stanley with regular deposits and withdrawals as any other regular checking account.

  
John S. Rhoades

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Rhoades, John S	2. Court or Organization Southern District	3. Date of Report 5/10/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)  Senior status	5. Report Type (check appropriate type)  <input type="radio"/> Nomination,      Date  <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period  1/1/2003 to 12/31/2003
7. Chambers or Office Address Edward J. Schwartz Courthouse 940 Front Street, Suite 3130 San Diego, California 92101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

**NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

**NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____

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**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Rhoades, John S

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Incom** **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.  

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**B. Spouse's Non-Investment Incom** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)) **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.  

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**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.  

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**FINANCIAL DISCLOSURE REPORT**

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. U.S. Bank	1st Mortgage on home	L

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Putnam Growth & Income Fund CI-A	B	Dividend	G	T					
2. Pasadena California CTFS Muni Bond	A	Interest			Redeem			D	
3. California State GEN OB Muni Bond	B	Interest			Redeem			E	
4. Fairfield Calif PUB FIN Muni Bond	A	Interest			Redeem			D	
5. San Pablo REDEV Muni Bond	A	Interest	J	T					
6. Dean Witter Money Market Fund	A	Interest	J	T					
7. Land - Julian, CA		None	M	S					
8. L.A. Public Works BE 4900	A	Interest	J	T					
9. Active Assets Tax Free Trust	A	Interest	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 HI = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

PART VII Line 7 - Value \$238,984

Land: 100,222  
Improvements: 138, 762  
Assessed Value: \$238,984

**FINANCIAL DISCLOSURE REPORT**

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/10/04

NOTE: ANYONE WHO FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544