AO 10 Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Riley, William J.	Eighth Circuit Court of Appeals	05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2011 to 12/31/2011
7. Chambers or Office Address		
Roman Hruska U.S. Courthouse 111 South 18th Plaza, #4303 Omaha, NE 68102-1322		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member & Manager	Riley Quest, L.L.C.
2.	Adjunct Professor	University of Nebraska, College of Law
3.	Delegate to House of Delegates	Nebraska State Bar Association since 1998
4.	Treasurer, Nebraska Chapter	American Board of Trial Advocates
5.	Counselor/Judicial Member	Robert M. Spire Inns of Court
6.	Trustee, Board of Trustees	MidAmerica Council, Boy Scouts of America

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 04/2011	University of Nebraska-Lincoln, College of Law, Adjunct Faculty, Spring 2012
2. 04/01/75	Profit Sharing and 401(k) Retirement Plan, Fitzgerald, Schorr, Barmettler & Brennan, P.C., L.L.O., former law firm, no control after termination 8/15/2001
3.	

FINANCIAL DISCLOSURE REPORT Page 2 of 8	Name of Person Reporting Riley, William J.	Date of Report 05/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	Spring2011	University of Nebraska, College of Law	$7,700.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Vanderbilt Law School	02/04/2011 to 02/05/2011	Nashville, TN	Judge Moot Court Competition	Airfare, hotel, airport parking & meals
2.	AccessData	05/16/2011 to 05/17/2011	Las Vegas, NV	Speaker at Conference	Airfare, hotel & meals
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Riley, William J.	05/11/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Reduced Membership	American Board of Trial Advocates	$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Riley, William J.	05/11/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	First National Bank of Omaha Accounts	A	Interest	K	T					
2.	Mass Mutual Life Insurance - Cash Values		None	L	T					
3.	Riley Quest, L.L.C. [redacted] major real estate interest)	A	Dividend	O	Q					
4.	Marasico Growth Fund	A	Dividend	J	T					
5.	IRA #1	A	Dividend	L	T					
6.	-Janus Growth & Income									
7.	-Weitz Value Fund									
8.	-Marsico Focus Fund									
9.	IRA - #2	A	Dividend	L	T					
10.	-American Century Income & Growth Fund									
11.	-Marsico Growth & Income Fund									
12.	-American Century Equity Income									
13.	IRA - #3	A	Dividend	J	T					
14.	-Janus Fund									
15.	-Janus Twenty Fund									
16.	RBC Dain Rauscher 403(b) Account	A	Div. & Int.	K	T					
17.	-Evergreen Gro. - A Fund									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

FINANCIAL DISCLOSURE REPORT Page 5 of 8	Name of Person Reporting Riley, William J.	Date of Report 05/11/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18.	-Fidelity Adv. Grow & Inc.-T			J						
19.	-Fidelity Adv. Divers Intl.-T			J						
20.	-Fidelity Adv. MidCap II CL-T			J						
21.	-Fidelity Adv. Val Leaders-T			J						
22.	-Fidelity Adv. LVGD Co. S&K CL-T			J						
23.	First National Bank of Omaha - Profit Sharing & 401(k) FSB&B	D	Div. & Int.	O	T					
24.	-Goldman Sachs -U.S. Government Money Market Fund					Distributed (part)	01/01/11	K		
25.	-Berkshire Hathaway, Inc. Class A Common									
26.	-Marsico Focus Fund									
27.	-Marsico Growth Fund									
28.	-Oakmark Equity & Income I Fund									
29.	-T Rowe Price Equity Income Fund									
30.	RBC Account X[redacted] securities)	D	Int./Div.	N	T	[redacted]	02/01/11	M		
31.	-Money Market X									
32.	-Dodge & Cox Income X					Sold	04/08/11	L		
33.	-Lord Abbett Affiliated X					Sold	10/31/11	L		
34.	-PIMCO Funds X					Sold	04/08/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	

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35.	-Thornburg Income Trust X					Sold	04/08/11	L		
36.	-Thornburg Int'l Value X									
37.	-Templeton Foreign X									
38.	-Prime Money Market X	A	Interest	J		Buy	04/01/11	J		
39.	-Ashton Funds Montag & Caldwell Growth X	A	Dividend	J		Buy	08/31/11	J		
40.	-Davis New York Venture Fund X	A	Dividend	K		Buy	10/31/11	K		
41.	-Public Power Generation Agency Nebraska X	C		L		Buy	07/27/11	L		
42.	-Sarpy County Nebrasaka Recovery Zone X	B		K		Buy	05/16/11	K		
43.	-Omaha Public Power District Nebraska X	B		K		Buy	05/16/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

[redacted] died January 31, 2011, and I [redacted] almost 100% of [redacted] estate. Real estate appraisals as of January 31, 2011.

FINANCIAL DISCLOSURE REPORT

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig[redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY F[redacted]**CT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544