

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|   |  |  |
|---|--|--|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Rogers, Judith W.  | <b>2. Court or Organization</b><br><br>U.S.Court of Appeals for the D.C. Circuit   | <b>3. Date of Report</b><br><br>06/18/2012                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Active  | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2011<br>to<br>12/31/2011 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Court of Appeals for the D.C. Circuit<br>333 Constitution Av., N.W.<br>Washington, D.C. 20001  |  |  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i> |  |  |

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | _____           | _____                              |
| 2. | _____           | _____                              |
| 3. | _____           | _____                              |
| 4. | _____           | _____                              |
| 5. | _____           | _____                              |

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. | _____       | _____                    |
| 2. | _____       | _____                    |
| 3. | _____       | _____                    |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>      | <u>INCOME</u><br><i>(yours, not spouse's)</i> |
|-------------|-----------------------------|---|
| 1. 2011     | D.C. Judges Retirement Fund | \$96,147.40                                   |
| 2.          |                             |   |
| 3.          |                             |   |
| 4.          |                             |   |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. | C. Marvin     | suit               | \$1,100.00   |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

|     | A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |  | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |  |
|-----|---|--|--|---|------------------------------------|--|------------------|--------------------------|-------------------------|--|
|     |   | (1)                                    | (2)                                    | (1)   | (2)                                | (1)  | (2)              | (3)                      | (4)                     | (5)  |
|     |   | Amount<br>Code 1<br>(A-H)              | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1.  | SunTrust Bk.  | A                                      | Interest                               | M   | T                                  |  |                  |                          |                         |  |
| 2.  | Am.Fds: Inv. Co. of Amer.   | D                                      | Dividend                               | N   | T                                  | Sold<br>(part)                             | 12/13/11         | M                        | A                       |  |
| 3.  | Am.Fds.: Wash. Mut. Inv. Fd.  | D                                      | Dividend                               | N   | T                                  | Sold<br>(part)                             | 12/13/11         | M                        | E                       |  |
| 4.  | Am.Fds.: New Persp. Fd.   | A                                      | Dividend                               | N   | T                                  | Sold<br>(part)                             | 12/31/11         | M                        | E                       |  |
| 5.  | Am.Fds.: Growth Fd. Amer.   | C                                      | Dividend                               | N   | T                                  | Sold<br>(part)                             | 12/13/11         | M                        | E                       |  |
| 6.  | Am.Fds.:Cap.Wrld.Gwth & Inc. Fd.  | C                                      | Dividend                               | M   | T                                  | Sold<br>(part)                             | 12/13/11         | M                        | A                       |  |
| 7.  | Am.Fds.: Sm.Cap.Wrld.Fd.  | A                                      | Dividend                               | L   | T                                  | Sold<br>(part)                             | 12/13/11         | L                        | B                       |  |
| 8.  | Am.Fds: New World Fund-A  | A                                      | Dividend                               | J   | T                                  |  |                  |                          |                         |  |
| 9.  | Am.Fds: Capital World Bond Fd-A   | B                                      | Dividend                               | J   | T                                  |  |                  |                          |                         |  |
| 10. | Am. Fds:Capital World Bond Fd-A (listed<br>twice in 2010)   |  | None                                   | J   | T                                  |  |                  |                          |                         |  |
| 11. | Am.Fds: New World Fd-A (listed twice in<br>2010)  |  | None                                   | J   | T                                  |  |                  |                          |                         |  |
| 12. | Am. Fds: US Govt Sec. Fd.-A   | A                                      | Dividend                               | J   | T                                  | Sold<br>(part)                             | 7/29/11          | L                        | A                       |  |
| 13. | Inc. Fd. Amer. (IRA)  | A                                      | Distribution                           | J   | T                                  | Sold<br>(part)                             | 12/13/11         | J                        | A                       |  |
| 14. | Am.Skd: T.Rowe Price Lg Cap Growth  |  | None                                   | L   | T                                  |  |                  |                          |                         |  |
| 15. | Am.Skd: Marisico Cap Growth   |  | None                                   | L   | T                                  |  |                  |                          |                         |  |
| 16. | Am.Skd: Goldman Sachs Sm Cap Val  |  | None                                   | K   | T                                  |  |                  |                          |                         |  |
| 17. | Am.Skd: Internat'l Growth   |  | None                                   | L   | T                                  |  |                  |                          |                         |  |

|  |   |  |   |  |                         |
|--|---|--|---|--|-------------------------|
| 1 Income Gain Codes<br>(See Columns B1 and D4) | A = \$1,000 or less<br>I = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)        | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

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|--|------------------------------|
| Name of Person Reporting<br><b>Rogers, Judith W.</b> | Date of Report<br>06/18/2012 |
|--|------------------------------|

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end<br>of reporting period |   | D.<br>Transactions during reporting period      |                         |                                 |                                |   |
|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|   | 18. Am.Skd: Blackrock Value Portfolio   |   | None  | L   | T   |                         |                                 |                                |   |
| 19. Am.Skd: Wells Fargo Adv. VT Small Cap Grth Class 1  |   | None  | K   | T   |   |                         |                                 |                                |   |
| 20. Am.Skd: Century Inc & Growth  |   | None  | M   | T   |   |                         |                                 |                                |   |
| 21. Vanguard Bd Index   | A                                       | Dividend                                      | L   | T   | Buy   | 12/19/11                | L                               |                                |   |
| 22. Vanguard Infrm.Tax Exempt Admrl Class   | A                                       | Dividend                                      | M   | T   | Buy   | 12/29/11                | M                               |                                |   |
| 23. Loomis Sayles Global Bond   | A                                       | Dividend                                      | K   | T   | Buy   | 12/29/11                | K                               |                                |   |
| 24. Am.Fds: Ind. Fd. Am.  | A                                       | Dividend                                      | J   | T   | Buy   | 4/7/11                  | J                               |                                |   |

1 Income Gain Codes- A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000

2 Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000

3 Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
(See Column C2) U=Book Value V=Other W=Estimated

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

III. Net income reported.

VII. Lines 14-20: I hold an annuity in Amerucan Skandia ("Am.Skd."), which buys and sells funds during the year. Holdings and valuations reflect year-end statement I received.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Judith W. Rogers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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