



FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

Form with fields: 1. Person Reporting (last name, first, middle initial), 2. Court or Organization, 3. Date of Report, 4. Title, 5a. Report Type, 5b. Amended Report, 6. Reporting Period, 7. Chambers or Office Address, 8. On the basis of the information contained in this Report...

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

[] NONE (No reportable positions.)

Table with 2 columns: POSITION, NAME OF ORGANIZATION/ENTITY. Rows include Partner at Wiggins & Rose Stables L.L.P. and Partner at KSJ Stables Llp.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

[] NONE (No reportable agreements.)

Table with 2 columns: DATE, PARTIES AND TERMS. Rows include 1. 1976 Public Employees Retirement System, no control, retirement and 2. 2005 Northwestern Mutual Individual retirement account - self directed (rolled from Ohio Deferred Comp Fund).

Rose, Thomas M.

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Name of Person Reporting Rose, Thomas M.	Date of Report 04/28/2011
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2010	Ohio Public Employee Retirement System (Retirement)	\$76,557.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Ohio Public Retirement System (Retirement)
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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Rose, Thomas M.	04/28/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

Rose, Thomas M.

Date of Report

04/28/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Amcap Fund Inc. Class A Am Funds	A	Dividend	K	T					
2. Small Cap World Fund Class A Am Funds	A	Dividend	K	T					
3. Aim Mutual Fund Inc. Class A Am Funds	C	Dividend	K	T					
4. Bond Fund of Am Inc. Class A Am Funds	B	Dividend			Sold	09/15/10	L		
5. Capital World Bond Fund Class A Funds	A	Dividend	L	T	Buy	09/15/10	L		
6. Euro Pacific Growth B Fd. Class A Am Funds	A	Dividend	K	T					
7. Archer - Daniels - Midland Co.	A	Dividend	K	T					
8. Babcock Wilcox	A	Dividend	J	T	Buy	12/15/10	K		
9. Bank of Am Coop Pref	A	Dividend	J	T	Buy	10/13/10	J		
10. Berkshire Health	A	Dividend	K	T	Buy	07/23/10	K		
11. BP P L C ADR Sponsored	B	Dividend			Sold	05/05/10	K		
12. BP P L C ADR Spon	A	Dividend	J	T	Buy	07/16/10	J		
13. Central Fund of Canada LTD CL	A	Dividend	J	T					
14. Cheseapeake Corp	A	Dividend	J	T	Buy	10/18/10	J		
15. Cisco System Inc.	A	Dividend	J	T	Buy	03/23/10	J		
16. Citigroup Cap Trust	A	Dividend	J	T	Buy	10/13/10	J		
17. Claymore Exchange Traded FD Zacks YLD Hog	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting Rose, Thomas M.	Date of Report 04/28/2011
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	18. Comm Vault Sys	A	Dividend	J	T	Buy	12/15/10	J	
19. Exelon Corp	A	Dividend	J	T	Buy	10/13/10	J		
20. Fomento Economico Mexicano	A	Dividend	J	T					
21. Huntington Bancshares	A	Dividend	K	T					
22. Ishares Tr DJ Sel Div Indx	A	Dividend	J	T					
23. Ishares Tr Ftse Znhua IDX	A	Dividend	K	T					
24. Ishares TR S&P Global 100	A	Dividend	K	T					
25. J.P. Morgan Chase	A	Dividend	J	T	Buy	10/13/10	J		
26. McDermott	A	Dividend	J	T	Buy	12/15/10	J		
27. Microsoft Corp	A	Interest	J	T					
28. Napoleon Ohio SD	A	Dividend	K	T	Buy	06/25/10	K		
29. Nat City Cap	A	Dividend	J	T	Buy	10/13/10	J		
30. PNC	A	Dividend	J	T					
31. Newell Rubbermaid Inc	A	Dividend	J	T					
32. Northridge Ohio SD	A	Dividend	J	T	Buy	03/23/10	J		
33. Oracle	A	Dividend	J	T	Buy	12/15/10	J		
34. SPDR Index SHS FDS Mideast Africa	A	Dividend	J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

35. Springfield Ohio SD	A	Dividend	J	T	Buy	03/23/10	J		
36. Wilmington Ohio Water Rev Bond	A	Dividend	J	T	Buy	06/25/10	J		
37. Wisdomtree Trust Emg Mkts	A	Dividend	J	T					
38. \$American Muni Power Oh	B	Interest	K	T					
39. @\$Buckeye Ohio TOB Settlem BE Fing Auth Asset	A	Interest	K	T					
40. \$Celina Ohio	A	Interest	K	T					
41. \$Centerville Ohio Hlth Ca Bethany Luthern Vlg Proj	A	Interest	K	T					
42. \$Centerville Ohio Hlth Ca Bethany Lutheran Vlg. Proj	B	Interest	J	T					
43. \$Clyde Green Springs Obligation Bond	A	Dividend	K	T					
44. \$Cuyahoga Cnty Ohio Hosp Ref & Impt Revenue Bonds	A	Interest	J	T					
45. Fairfield Co Hosp.	A	Dividend	J	T					
46. Franklin Co Health Care Bond	A	Dividend	J	T	Buy	12/10/10	J		
47. \$Franklin County Ohio Hea Care Facs	A	Interest	J	T					
48. \$Franklin Ohio Pub Imps General Obligation Bonds	A	Interest	J	T					
49. Franklin City (Warren Co) Ohio	A	Interest	K	T					
50. General Electric	A	Dividend	J	T	Buy	12/15/10	J		
51. \$Hamilton Cnty Ohio Hlth	B	Interest	K	T					

- 1. Income Gain Codes: A=\$1,000 or less; B=\$1,001 - \$2,500; C=\$2,501 - \$5,000; D=\$5,001 - \$15,000; E=\$15,001 - \$50,000; F=\$50,001 - \$100,000; G=\$100,001 - \$1,000,000; H1=\$1,000,001 - \$5,000,000; H2=More than \$5,000,000; N=\$250,001 - \$500,000; O=\$500,001 - \$1,000,000; P1=\$1,000,001 - \$5,000,000; P2=\$5,000,001 - \$25,000,000; P3=\$25,000,001 - \$50,000,000; P4=More than \$50,000,000
- 2. Value Codes: J=\$15,000 or less; K=\$15,001 - \$50,000; L=\$50,001 - \$100,000; M=\$100,001 - \$250,000; Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market; U=Book Value; V=Other; W=Estimated
- 3. Value Method Codes: Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market; U=Book Value; V=Other; W=Estimated

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Name of Person Reporting

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Date of Report

04/28/2011

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. \$Hamilton County Ohio Facs Rev Bond	B	Interest	K	T					
53. \$Huber Hts (Montgomery Co)	B	Interest	K	T					
54. \$Independence Ohio GO	A	Interest	K	T					
55. Juniper Networks	A	Dividend	J	T	Buy	12/16/10	J		
56. \$Lorain County Ohio Hosp Ref & Impt Rev Bonds Catholic Hlter	A	Interest	K	T					
57. \$Mahoning County Ohio Gen Obligation Bonds	B	Interest	K	T					
58. \$Mansfield (Richland Cnty Genl Oblig Bonds	B	Interest	K	T					
59. Mahoning Co. Ohio Hosp. Fac Bonds	A	Dividend	K	T					
60. \$Ohio St Bldg Auth Revenu Bonds	A	Interest	K	T					
61. \$Ohio St Higher Edl Fac (Ohio Christian Univ Proj)	B	Interest	K	T					
62. Ohio St Higher Ed	A	Dividend			Redeemed	12/10/10	K		
63. Ohio St Water Dev. Bonds	A	Dividend	K	T	Buy	12/10/10	K		
64. Ross Cnty Ohio Hosp. Rev Bonds	A	Dividend			Redeemed	12/10/10	K		
65. ThornburgValue Fund - A Excahnge in	A	Dividend	K	T					
66. Sweney Cartwright Stock Cash Account	B	Interest	J	T					
67. Wiggins & Rose Stables L.L.P.		None	J	W					
68. Rental Prop. #1, Xenia OH		None			Distributed	02/22/10	K		

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 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
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 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

69.	KSJ Stables LLP		D	Distribution		K	W							
70.														
71.														
72.														
73.														
74.														
75.														
76.														
77.														
78.														
79.														

- 1. Income Gain Codes: (See Columns B1 and D4)
 - A=\$1,000 or less
 - F=\$50,001 - \$100,000
 - J=\$15,000 or less
 - N=\$250,001 - \$500,000
 - P3=\$250,000.001 - \$500,000.000
- 2. Value Codes (See Columns C1 and D3)
 - B=\$1,001 - \$2,500
 - G=\$100,001 - \$1,000,000
 - K=\$15,001 - \$50,000
 - O=\$500,001 - \$1,000,000
 - R=Cost (Real Estate Only)
 - V=Other
- 3. Value Method Codes (See Column C2)
 - C=\$2,501 - \$5,000
 - H1=\$1,000,001 - \$5,000,000
 - L=\$50,001 - \$100,000
 - P1=\$1,000,001 - \$5,000,000
 - P4=More than \$50,000,000
 - S=Assessment
 - W=Estimated
- D=\$5,001 - \$15,000
- H2=More than \$5,000,000
- M=\$100,001 - \$250,000
- P2=\$5,000,001 - \$25,000,000
- T=Cash Market
- E=\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Rose**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544