

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, Thomas D.	2. Court or Organization Middle District of N C	3. Date of Report 05/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date _____ <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 251 North Main Street, Suite 223A Hiram Ward Federal Courthouse Winston-Salem, North Carolina 27101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1/1/2006	ALAS Insurance Contract with Womble Carlyle Sandridge & Rice, PLLC, no control
2. 4/1/1994	Firm Operating Agmt. Womble Carlyle. Operating Reserve balance payable up to 5 years following my departure 1/8/2008; other accounts paid upon departure
3. 1/08/2008	Womble Carlyle letter agreement with me, resolving firm's obligations, including those in items 1 and 2 above

FINANCIAL DISCLOSURE REPORT
Page 2 of 9

Name of Person Reporting
Schroeder, Thomas D.

Date of Report
05/13/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 1/29/2009	Womble Carlyle Sandridge & Rice, PLLC; return of ALAS insurance reserve and portion of Operating Reserve (capital account)	\$18,209.59
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Piedmont Land Conservancy, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
Schroeder, Thomas D.

Date of Report
05/13/2010

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	education institution	tuition and housing balance for 2009-10 school year	J
2.	education institution	tuition and housing balance for 2009-10 school year	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting Schroeder, Thomas D.	Date of Report 05/13/2010
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

1.	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code J (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Wachovia Accounts		D Interest	M	T					
2.	BB&T Account		A Interest	J	T					
3.	US Treasury Series EE Bonds		None	J	T					
4.	First Tennessee Accounts		C Interest	N	T					
5.	Arbor Account									
6.	iShares Russell 1000 Index		B Dividend	L	T					
7.	iShares Russell 2000 Index		A Dividend	J	T					
8.	iShares MSCI EAFE Index		A Dividend	J	T					
9.	Vanguard Intern-Term Tax Exempt Adm		A Dividend			Buy	02/02/09	M		
10.						Sold	03/11/09	M	A	
11.	Vanguard Intern-Term Investmnt-Grd Adm		A Dividend			Buy	02/02/09	M		
12.						Sold	03/11/09	M	A	
13.	PIMCO Total Return Fund Instl		B Dividend			Buy	02/02/09	M		
14.						Sold	03/11/09	M	A	
15.	Vanguard Ltd-Term Tax Exempt Adm		C Dividend	M	T					
16.	Vanguard Short-Term Tax Exempt		A Dividend	K	T					
17.	Fidelity Tax Free Money Market		A Dividend	J	T					

1. Income Code (See Columns B) and D4):
 A = \$1,000 or less
 B = \$1,001 - \$2,500
 C = \$2,501 - \$5,000
 D = \$5,001 - \$15,000
 E = \$15,001 - \$50,000
 F = \$50,001 - \$100,000
 G = \$100,001 - \$1,000,000
 H = \$1,000,001 - \$5,000,000
 I = \$5,000,001 - \$100,000,000
 J = \$100,001 - \$250,000
 K = \$250,001 - \$500,000
 L = \$500,001 - \$1,000,000
 M = \$1,000,001 - \$5,000,000
 N = \$5,000,001 - \$25,000,000
 O = \$25,000,001 - \$50,000,000
 P = More than \$50,000,000
 Q = Appraisal
 R = Cash (Real Estate Only)
 S = Assessment
 T = Cash Market
 U = Book Value
 V = Other
 W = Estimated

FINANCIAL DISCLOSURE REPORT
Page 5 of 9

Name of Person Reporting
Schroeder, Thomas D.

Date of Report
05/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18	-Fidelity Instl: Tax Exempt Portfolio CI 1	B	Dividend	O	T					
19	Arbor Account									
20	-iShares Russell 1000 Index	A	Dividend	L	T					
21	-PowerShares TITSE RAFI US 1000	A	Dividend	K	T					
22	-iShares Russell 2000 Index	A	Dividend	J	T					
23	-iShares MSCI EAFE Index	A	Dividend	K	T					
24	-iShares MSCI Emerging Markets Index	A	Dividend	J	T					
25	-PIMCO All Asset Fund Instl	B	Dividend	K	T					
26	-Vanguard Inflation-Protected Sec.	A	Dividend	K	T					
27	Vanguard Short-Term Invstmnt -Grd	B	Dividend	K	T					
28	-Eaton Vance Floating Rate Adv	B	Dividend	L	T					
29	-Fidelity Tax Free Money Market	A	Dividend	J	T					
30	IRA									
31	-iShares Russell 1000 Index	A	Dividend	K	T					
32	-PowerShares FTSE RAFI US 1000	A	Dividend	J	T					
33	-iShares Russell 2000 Index	A	Dividend	J	T					
34	-iShares MSCI EAFE Index	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000; P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market
(See Column C2) U = Book Value; V = Other; W = Estimated

FINANCIAL DISCLOSURE REPORT
Page 6 of 9

Name of Person Reporting Schroeder, Thomas D.	Date of Report 05/13/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	-iShares MSCI Emerging Markets Index	A	Dividend	J	T					
36.	-Vanguard Inflation-Protected Sec.	A	Dividend	K	T					
37.	-Vanguard Short-Term Invstmnt-Grd	A	Dividend	J	T					
38.	-Eaton Vance Floating Rate Adv.	A	Dividend	J	T					
39.	IRA									
40.	-iShares Russell 1000 Index	C	Dividend	M	T					
41.	-PowerShares FTSE RAFI US 1000	B	Dividend	L	T					
42.	-iShares MSCI EAFE Index	B	Dividend	L	T					
43.	-iShares MSCI Emerging Markets Index	A	Dividend	K	T					
44.	-Vanguard Inflation-Protected Sec. Adm	B	Dividend	M	T					
45.	-PIMCO Total Return Fund Instl	D	Dividend	M	T					
46.	-Eaton Vance Floating Rate Adv	C	Dividend	M	T					
47.	-Fidelity Cash Reserves	A	Dividend	J	T					
48.	Mass Mutual Whole Life Insurance	B	Dividend	L	T					
49.	Mass Mutual Whole Life Insurance	A	Dividend	K	T					
50.	Loyal American Insurance	A	Dividend	J	U					
51.	Winston-Salem Foundation Donor Advised Fund		None	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)
 2. Value Codes: (See Columns C1 and D3)
 3. Value Method Codes: (See Column C2)

A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P3 = \$25,000,001 - \$50,000,000
 Q = Appraisal
 U = Book Value

B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated

D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Schroeder, Thomas D.

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Vanguard 529									
53. -Vanguard Interest Accumulation Portfolio	B	Interest	L	T					
54. Vanguard 529									
55. -Vanguard Interest Accumulation Portfolio	B	Interest	L	T					

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

I = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 8 of 9

Name of Person Reporting Schroeder, Thomas D.	Date of Report 05/13/2010
---	-------------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part III(A). Of this amount, \$1,404.81 is gross interest income; remaining amount is return of capital account (Operating Reserve and insurance) net of taxes, insurance, and other expenses (the tax payments were reported on my return for the tax year ending December 31, 2008). This payment resolves all obligations to me as to items 1, 2 and 3 listed in section II

Part VII. Treasury Series EE bonds value based on value reported on the government website (<http://www.publicdebt.treas.gov/BC/SBCPrice>) as of December 2009. Last year's AO-10 (for calendar year 2008) listed in line 26 an account with the Fidelity Institutional Tax Exempt Portfolio Class I, and my subsequent letter of July 24, 2009, to the Committee erroneously listed this as a "buy" on 1/24/08, when it should have been listed as a "sell"; accordingly, this account was not held in 2009. By way of clarification, last year's AO-10 (for calendar year 2008) listed a Keogh account (lines 49-56) and a Custodial account (lines 57-59) that were sold/transferred in calendar year 2008, as noted in last year's AO-10 and July 24, 2009 letter to the Committee.

The Winston-Salem Foundation Donor Advised Fund reflects money we donated irrevocably to, and is owned by, the Foundation, but I and [redacted] can direct donations from that Fund to charities of our choice. We can receive no income from its investment; rather, the Fund receives the income

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	05/13/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544