AO 10 Rev. 1/2011	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Schroeder, Thomas D.	**2. Court or Organization** Middle Districtof N C	**3. Date of Report** 07/08/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address 251 North Main Street, Suite 223A Hiram Ward Federal Courthouse Winston-Salem, North Carolina 27101	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**________________ **Date**________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Notre Dame Law Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2010	Piedmont Land Conservancy, salary
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Arizona State University Sandra Day O'Connor School of Law	April 23-27, 2010	Phoenix, AZ	Non-educational seminar: Forum on the Future of Legal Education (participant)	Meals, hotel, transportation
2.	N.C. Association of Defense Attorneys	June 17-20, 2010	Hilton Head, SC	Annual CLE meeting	Meals, hotel, transportation
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	[redacted]	tuition and housing balance for 2010 enrollment	None
2.	[redacted]	tuition and housing balance for 2010 enrollment	None
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wachovia Accounts	A	Interest	L	T					
2. BB&T Account	A	Interest	J	T					
3. US Treasury Series EE Bonds		None	J	T					
4. First Tennessee Accounts	E	Interest	N	T					
5. Arbor Joint Account (at Fidelity Investments)									
6. -iShares Russell 1000 Index	B	Dividend	L	T					
7. -PowerShares FTSE RAFI US 1000	A	Dividend	L	T	Buy	10/18/10	K		
8.					Buy (add'l)	12/13/10	K		
9.					Buy (add'l)	12/13/10	K		
10. -iShares Russell 2000 Index	A	Dividend			Sold	06/23/10	J	B	
11. -iShares MSCI EAFE Index	A	Dividend	L	T	Buy (add'l)	06/23/10	K		
12.					Buy (add'l)	10/18/10	J		
13.					Buy (add'l)	12/13/10	J		
14.					Buy (add'l)	12/13/10	K		
15. -iShares MSCI Emerging Markets Index	A	Dividend	L	T	Buy	06/23/10	K		
16.					Buy (add'l)	10/18/10	J		
17.					Buy (add'l)	12/13/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -PIMCO Low Duration Fund Instl	A	Dividend	M	T	Buy	10/18/10	L		
19.					Buy (add'l)	12/13/10	K		
20. -Vanguard Ltd-Term Tax Exempt Adm	C	Dividend	M	T					
21. -Vanguard Short-Term Tax Exempt	A	Dividend			Sold	06/23/10	K	A	
22. -Vanguard Short-Term Investment-Grd Adm	B	Dividend	M	T	Buy	06/23/10	M		
23. -Fidelity Tax Free Money Market	A	Dividend	J	T					
24. -Fidelity Instl: Tax Exempt Portfolio Cl I	A	Dividend	M	T					
25. Arbor Joint Account (at Fidelity Investments)									
26. -iShares Russell 1000 Index	A	Dividend	K	T	Sold (part)	01/21/10	J	A	
27. -PowerShares TFTSE RAFI US 1000	A	Dividend	K	T					
28. -iShares Russell 2000 Index	A	Dividend			Sold	01/21/10	J	A	
29. -iShares MSCI EAFE Index	A	Dividend	K	T					
30. -iShares MSCI Emerging Markets Index	A	Dividend	K	T	Buy (add'l)	01/21/10	J		
31. -PIMCO All Asset Fund Instl	C	Dividend	K	T					
32. -PIMCO 1-5 Year U.S. TIPS Bond Index	A	Dividend	K	T	Buy	10/18/10	K		
33. -Vanguard Inflation-Protected Sec.	A	Dividend			Sold (part)	01/21/10	J	A	
34.					Sold	10/18/10	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -PIMCO Global Advantage Strategy Bond Instl	A	Dividend	K	T	Buy	01/25/10	K		
36. Vanguard Short-Term Invstmnt -Grd	A	Dividend	K	T	Sold (part)	01/21/10	J	A	
37. -Eaton Vance Floating Rate Adv	B	Dividend	L	T	Sold (part)	01/21/10	J	A	
38. -Fidelity Tax Free Money Market	A	Dividend	J	T					
39. IRA (at Fidelity Investments)									
40. -iShares Russell 1000 Index	A	Dividend	K	T					
41. -PowerShares FTSE RAFI US 1000	A	Dividend	J	T					
42. -iShares Russell 2000 Index	A	Dividend			Sold	06/23/10	J	B	
43. -iShares MSCI EAFE Index	A	Dividend	J	T					
44. -iShares MSCI Emerging Markets Index	A	Dividend	J	T					
45. -PIMCO All Asset Fund Instl	C	Dividend	K	T	Buy	06/28/10	K		
46. -Vanguard Inflation-Protected Sec.	A	Dividend			Sold	06/23/10	K	A	
47. -Vanguard Short-Term Invstmnt-Grd	A	Dividend			Sold	06/23/10	J	A	
48. -Eaton Vance Floating Rate Adv.	A	Dividend	J	T	Sold (part)	06/23/10	J	A	
49.					Buy (add'l)	10/18/10	J		
50. -Fidelity Cash Reserves (X)	A	Dividend	J	T					
51. IRA (at Fidelity Investments)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	-iShares Russell 1000 Index	C	Dividend	K	T	Sold (part)	06/23/10	K	A	
53.						Sold (part)	10/18/10	L	A	
54.						Sold (part)	12/13/10	J	A	
55.						Sold (part)	12/13/10	J	A	
56.						Sold (part)	12/13/10	L	C	
57.	-PowerShares FTSE RAFI US 1000	B	Dividend	M	T					
58.	-iShares MSCI EAFE Index	B	Dividend	M	T					
59.	-iShares MSCI Emerging Markets Index	A	Dividend	L	T					
60.	-PIMCO All Asset Fund Instl	C	Dividend	L	T	Buy	10/20/10	K		
61.						Buy (add'l)	12/15/10	K		
62.	-Eaton Vance Global Absolute Return Cl	A	Dividend	L	T	Buy	06/25/10	K		
63.						Buy (add'l)	10/20/10	K		
64.						Buy (add'l)	12/15/10	K		
65.	-PIMCO 1-5 Year U.S. TIPS Bond Index	A	Dividend	M	T	Buy	10/18/10	M		
66.						Buy (add'l)	12/13/10	J		
67.	-Vanguard Inflation-Protected Sec. Adm	B	Dividend			Sold	10/18/10	M	E	
68.	-PIMCO Global Advantage Strategy Bond Instl	A	Dividend	L	T	Buy	06/23/10	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	12/15/10	K		
70. -PIMCO Total Return Fund Instl	C	Dividend	L	T	Sold (part)	06/23/10	L	C	
71. -Eaton Vance Floating Rate Adv	C	Dividend	M	T	Buy (add'l)	08/18/10	J		
72. -Fidelity Cash Reserves	A	Dividend	J	T					
73. Mass Mutual Whole Life Insurance	B	Dividend	L	T					
74. Mass Mutual Whole Life Insurance	A	Dividend	K	T					
75. Loyal American Insurance	A	Dividend	J	T					
76. Winston-Salem Foundation Donor Advised Fund		None	J	T					
77. Vanguard 529									
78. -Vanguard Interest Accumulation Portfolio	B	Interest	L	T					
79. Vanguard 529									
80. -Vanguard Interest Accumulation Portfolio	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Line 77: The Winston-Salem Foundation Donor Advised Fund reflects money we donated irrevocably to, and is owned by, the Foundation, but I and my spouse can direct donations from that Fund to charities of our choice. We can receive no income from its investment; rather, the Fund receives the income.

In 2010, I received a small distribution from M&I Instl. Trust Svcs., which managed my retirement account at my law firm before taking the bench. The account was closed in 2008 upon taking the bench, but in 2010 M&I made a small distribution for what was reported as a calculation error. The small distribution was distributed to the IRA at line 71 and used for the purchase noted on 08/18/10.

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	07/08/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas D. Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544