

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF PENNSYLVANIA

CHAMBERS OF
NORMA L. SHAPIRO
JUDGE

10614 UNITED STATES COURTHOUSE
INDEPENDENCE MALL WEST
PHILADELPHIA, PENNSYLVANIA 19106-1774
(215) 597-9141

August 1, 2008

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2008 AUG 11 A 10:52
FINANCIAL
DISCLOSURE OFFICE

Re: Financial Disclosure Report 2007

Enclosed you will find an original and three
copies of Page 5 of my Financial Disclosure Report
for Calendar Year 2007. This is in response to the
letter of Judge Ortrie D. Smith dated July 21, 2008.

Thank you for your inquiry.

Sincerely,



Enc.

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Norma, Shapiro L

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.) NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mellon Bank (Checking Acct.)	A	Interest	J	T					
2. Firsttrust Checking Accts.	A	Interest	J	T					
3. Firsttrust Savings Accounts	A	Interest	J	T					
4. Alliance Bernstein Cap Reserve	A	Dividend	J	T					
5. Wachovia	A	Interest	J	T					
6. State of Israel Savings Bonds	A	Interest	J	T					
7. Bank MBNA	A	Interest	J	T	Redemp(part)	12/12	K	A	
8. Bank of America (X)	B	Interest			Inheritance				
9. Calamos Global Dynamic	B	Dividend	L	T	Buy	7/07	K		
10. Lord Abbett All Value Ltd.		Dividend			Sell	3/07	L	C	
11. Eaton Vance Enhanced Eq. Inc.	C	Dividend	K	T					
12. Vv Finance	A	Dividend	J	T					
13. Oppenheimer PA Municipal Bond		Interest	K	T					
14. Claymore Securities Defined Portfolios	A	Dividend			Sell	6/22	K	B	
15. Van Kampen Cohen & Steers, Inc. Fd.	C	Dividend	K	T					
16. Claymore Closed End	B	Dividend	K	T	Buy	06/07	K		
17. Life Strategy Mod. Growth	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norma, Shapiro L	2. Court or Organization U.S. District Court, EDPA	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (Senior)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 10614 United States Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee/Committee Member, National Advisory Council	Jewish Publication Society
2. Member, Committee on Women in the Profession	Pennsylvania Bar Association
3. Chair, Leadership Development & Recruitment Committee	Pennsylvania Bar Association
4. Chair, Board of Directors	Violette De Mazia Foundation
5. Secretary, Board of Trustees	Adath Jeshurun Synagogue
6. Executive Committee (Annual Conf. Co-chair)	National Association of Women Judges
7. Member, Standing Committee on Judicial Independence	American Bar Association
8. Member, ABA 2008 John Marshall Award Selection Committee	American Bar Association
9. Member, Standing Committee on Professional Discipline	American Bar Association
10. American Bar Association Representative	Appellate Judges Education Institute, Board of Directors
11. Executrix	Estate of [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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2.

3.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Albert Einstein Medical Center 403(b) Tax Shelter Prudential Insurance Co. America
2. 2007	Albert Einstein Medical Center - Pension
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association (ABA)	02/11/07 - 02/13/07	Miami, FL	Midyear Meeting	Meals & lodging.
2.	National Association of Women Judges (NAWJ)	05/19/07 - 05/20/07	Richmond, VA	District 4 Meeting	Meals & Lodging (50%)
3.	ABA	05/31/07 - 06/02/07	Chicago, IL	Stdng Comm/Prof.Discipl.	Transportation, meals & lodging.
4.	ABA	08/08/07 - 08/15/07	San Francisco, CA	Annual Meeting	Lodging.
5.	ABA	09/15/06 - 09/17/06	Chicago, IL	Section Officers Conf.	Transportation, meals & lodging (reimbursement received in May 2007)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

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18. STAR Fund	B	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Additional information, Section I - Positions:

Numbers 1, 2, 4, 5, 6, 7, 8, 9, 10 These are non-profit organizations. I have no control over the expenditures of these organizations, although with effort I could ascertain their assets.

Number 3 This is a 501(c)(3) charitable organization. I do vote on the expenditure of funds together with the other four Directors for which I receive no compensation. The total assets of this organization at the end of 2007 was approximately \$12,000,000.

██████████ died on November 27, 2007. His Will names me the Executrix and sole beneficiary of his estate.

His assets, listed under Section VII, became assets of the Estate of ██████████ in 2008, they were transferred to a Tax Exempt Marital Trust and rolled over into my Vanguard IRA. All assets listed in Section VII which were in his name prior to his death were in the Estate of ██████████ at year end and transferred to the Tax Exempt Marital Trust in 2008. All bank accounts that were previously ██████████ accounts are now titled in my name only.

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Name of Person Reporting

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05/14/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

A large black rectangular redaction box covers the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

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Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544