AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norma, Shapiro L.	**2. Court or Organization** U.S. District Court, EDPA	**3. Date of Report** 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (Senior)	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address 10614 United States Courthouse 601 Market Street Philadelphia, PA 19106	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** **Reviewing Officer**_______________ **Date**_______	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Jewish Publication Society
2.	Member, Committee on Women in the Profession (WIP)	Pennsylvania Bar Association
3.	Chair, Leadership Development & Recruitment Committee	Pennsylvania Bar Association
4.	Co-Chair, Goverance Committee (WIP)	Pennsylvania Bar Association
5.	Member, Nominating Committee (WIP)	Pennsylvania Bar Association
6.	Member, Awards Committee (WIP)	Pennsylvania Bar Association
7.	Chair, Board of Directors	Violette De Mazia Foundation
8.	Member, Standing Committee on Judicial Independence	American Bar Association
9.	Member, ABA 2009 John Marshall Award Selection Committee	American Bar Association
10.	American Bar Association Representative	Appellate Judges Education Institute, Board of Directors
11.	Executrix	Estate of [redacted] (See Part VIII)

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		

Name of Person Reporting	Date of Report
Norma, Shapiro L.	05/12/2010

2.

3.

Name of Person Reporting	Date of Report
Norma, Shapiro L.	05/12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	ABA	05/21/09 - 05/23/09	Fort Lauderdale, FL	Stndng Comm.Jud.Independ.	Transportation, meals & lodging.
2.	ABA	10/15/09 - 10/17/09	Washington, D.C.	Fall Planning Mtg., Stndng Comm.Judicial Independence	Transportation, meals & lodging.
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Norma, Shapiro L.	05/12/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mellon Bank (Checking Acct.)	A	Interest	J	T					
2. Firstrust Bank (Checking)	A	Interest	J	T					
3. Firstrust Bank (Savings)	A	Interest	J	T					
4. Alliance Bernstein Cap Reserve	A	Dividend	J	T					
5. State of Israel Savings Bonds	A	Interest	J	T	Sold (part)	05/01/09	J	A	
6. Bank of America	A	Interest	J	T					
7. Calamos Global Dynamic	A	Dividend	K	T					
8. Eaton Vance Enhanced Equity	A	Dividend			Sold	08/23/09	K	A	
9. Vv Finance	A	Dividend	J	T					
10. Oppenheimer PA Municipal Bond	B	Dividend	K	T					
11. Van Kampen Cohen & Steers, Inc. Fd.	B	Dividend			Sold	08/18/09	J	A	
12. Advisors Disciplined TR Unit 224	B	Dividend			Sold	08/18/09	K	A	
13. Advisors Disciplined TR Unit 390	A	Dividend	K	T	Buy	08/18/09	K		
14. Firstrust Unit 2104	A	Dividend	J	T	Buy	08/18/09	J		
15. Firstrust Unit 2174	A	Dividend	K	T	Buy	08/23/09	K		
16. LifeStrategy Mod. Growth - IRA	C	Dividend	M	T	Sold (part)	02/06/09	L	A	
17. STAR Fund - IRA	C	Dividend	M	T	Sold (part)	02/06/09	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Norma, Shapiro L.	05/12/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. GNMA Fund - IRA	B	Dividend	J	T	Buy Sold	02/06/09 08/06/09	L M	 A	
19. GNMA Fund Admiral - IRA	D	Dividend	M	T	Buy Buy	02/06/09 08/06/09	M M		
20. Rental Property, Hallandale, FL	D	Rent	M	V					
21.									
22.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

Additional information re: Part I - Positions:

Numbers 1, 2, 3, 4, 5, 6, 8, 9, 10 are non-profit organizations. I have no control over the expenditures of these organizations, although with effort I could ascertain their assets.

Number 7 This is a 501(c)(3) charitable organization. I do vote on the expenditure of funds together with the other four Directors; I receive no compensation. The total assets of this organization at the end of 2009 was the amount of approximately $9,000,000.

died on November 27, 2007. His Will names me the Executrix and sole beneficiary of his Estate.

His assets, listed under Section VII, became assets of the Estate in 2008, they were transferred to a Tax Exempt Marital Trust and rolled over into my Vanguard IRA. All assets listed in Section VII which were in his name prior to his death were in the Estate at year end and transferred to the Tax Exempt Marital Trust in 2008. Bank accounts that were previously accounts are now titled in my name only, except for the Estate account of less than $500 to keep the account open. No interest was received..

Certain insurance proceeds were received from Metropolitan Life and Northwestern Mutual Insurance Company. Minimal amounts of interest on accounts opened by the insurance companies were received until I withdrew the funds and transferred them in 2008 to savings or checking accounts in my name.

Section VII
Line 20. The appraisal date is 2/11/08.
The rental property was placed under an Agreement of Sale early in 2010. The value was determined by the market price, i.e., the sales amount.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signatur

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544